

## Hydropower development in the Republic of Georgia and implications for freshwater biodiversity conservation

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1 **Hydropower development in the Republic of Georgia and implications for freshwater**  
2 **biodiversity conservation**

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33

34 **Hydropower development in the Republic of Georgia and implications for freshwater**  
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36  
37 **Abstract:** The Caucasus region is a meeting point for culture and nature, lying at the nexus of  
38 Europe, the Middle East, Central Asia, and Africa, and identified as one of 36 global biodiversity  
39 hotspots. The Republic of Georgia, the center of the Caucasus biodiversity hotspot, encompasses  
40 a geographically diverse landscape inhabited by a remarkable, endemic, and understudied flora  
41 and fauna under increasing threat from human activities. A wave of new and proposed dams for  
42 hydropower presents one of the most pressing challenges for freshwater biodiversity  
43 conservation in Georgia, a country where hydropower accounts for >90% of electricity.  
44 However, this situation remains largely unknown to the international scientific community and  
45 there is limited scientific information available about Georgia in the internationally indexed peer-  
46 reviewed literature. In this article, we describe the geography, politics, and freshwater  
47 biodiversity of rivers of Georgia, with a focus on fishes. We examine trends in hydropower  
48 development over the past century and identify four distinct periods: the pre-Soviet period (until  
49 1921), the Soviet period (1922-1991), the 1990s immediately following Georgia's declaration of  
50 independence, and the 21<sup>st</sup> century. We explore the effects of existing and proposed dams on the  
51 connectivity of rivers of western Georgia and their potential consequences for conservation of  
52 diadromous, potamodromous, and resident fish. Using the Dendritic Connectivity Index (DCI) as  
53 an analytical lens, we found serial decreases in DCI values following different periods of  
54 hydropower development in the country. Finally, we offer four considerations for future research  
55 and conservation in light of ongoing hydropower development: i) expand biodiversity research  
56 and environmental monitoring, ii) assess and implement environmental flows for Georgian  
57 rivers, iii) implement strategic planning for new hydropower development, and iv) establish  
58 strict conservation areas for protection of endangered sturgeons.

59 **Introduction**

60 Few issues have defined the challenges for conservation of the world's freshwater fish  
61 fauna in the first part of the 21<sup>st</sup> century as significantly as the unprecedented boom in  
62 hydropower dam development currently underway in many countries. Whereas an earlier wave  
63 of hydropower dam building in the mid-20<sup>th</sup> century resulted in extensive river modification  
64 throughout North America and Western Europe, the current proliferation of hydropower dams  
65 has targeted rivers in countries with emerging economies (Zarfl et al. 2015; Couto and Olden  
66 2018). Geographically, this modern wave of hydropower development overlaps with places that  
67 harbor extraordinary freshwater fish species richness and endemism, including numerous  
68 migratory species, and extensive inland river fisheries that provide a major source of protein and  
69 income to riparian human populations (Winemiller et al. 2016; Anderson et al. 2018; Barbarossa  
70 et al. 2020). Indeed, the conservation challenge of the 21<sup>st</sup> century's rapid hydropower  
71 development for freshwater biodiversity has received substantial coverage in the scientific  
72 literature and popular media over the past decade. Nevertheless, most articles have documented  
73 the hydropower trends and related conservation challenges for tropical river basins such as the  
74 Amazon and Mekong (Ziv et al. 2012; Winemiller et al. 2016; Anderson et al. 2018).

75 This paper aims to increase knowledge of modern hydropower trends and their  
76 consequences for freshwater biodiversity beyond these already documented areas by offering a  
77 case study from a lesser-known area: the Republic of Georgia. Georgia, an important part of the  
78 Caucasus biodiversity hotspot (Myers et al. 2000), encompasses a geographically diverse  
79 landscape inhabited by a remarkable, endemic, and understudied flora and fauna under  
80 increasing threat from human activities, including hydropower development. In the past two  
81 decades, Georgia has experienced a proliferation of hydropower dams (most <100 MW) that

82 have added ~1000 MW in installed generation capacity. More than 100 proposed projects are in  
83 various stages of planning or licensing as of 2020. The same rivers in Georgia that are subjected  
84 to hydropower development harbor several fish species classified as critically endangered (CR)  
85 by the International Union for the Conservation of Nature (IUCN), including seven species of  
86 sturgeon. However, to our knowledge, no published studies to date have examined the effects of  
87 hydropower dams on these and other freshwater fishes in Georgia, and the conservation status of  
88 Georgia's freshwater fauna currently is not well understood.

89 Here, we examine trends in hydropower development in Georgia and their implications  
90 for rivers and their biodiversity. For context, we provide an overview of river geography,  
91 relevant political history, and freshwater biodiversity in Georgia, as many readers may be  
92 unfamiliar with this region given the paucity of published studies about Georgia in the natural  
93 resource conservation literature, particularly in English. We then examine historical and modern  
94 trends in hydropower development, highlighting their consequences for river connectivity and  
95 freshwater fish diversity in Georgia. Finally, we articulate a list of research needs for freshwater  
96 science and conservation in light of current hydropower development and its future trajectory.

97

## 98 **Geography, politics, and rivers of Georgia**

99 The Caucasus region has long been a meeting point for culture and nature, lying at the  
100 nexus of Europe, the Middle East, Central Asia, and Northern Africa. Within the larger Caucasus  
101 region, the Republic of Georgia covers an area of roughly 70,000 km<sup>2</sup> and is home to  
102 approximately 3.7 million people (Figure 1). Within this relatively small geographic area,  
103 Georgia is rich in freshwater resources, including an estimated 59,000 km of rivers. Most  
104 Georgian rivers flow either westward into the Black Sea or eastward through Azerbaijan and into

105 the Caspian Sea; the Likhi ridge divides these two drainages. Major rivers of eastern Georgia  
106 include the Kura, Alazani, and Iori, all of which drain transnational basins shared with either  
107 Turkey or Azerbaijan, or both. Of these, the Kura River is the largest and longest fluvial system  
108 of the Caucasus ecoregion, flowing for ~1515 km over Turkey, Georgia, and Azerbaijan, with a  
109 substantial portion of its headwaters in Georgia and an estimated annual discharge of 18.1 km<sup>3</sup>  
110 (579 m<sup>3</sup>/s). The Rioni River (estimated annual discharge of 12.7 km<sup>3</sup> (403 m<sup>3</sup>/s), flowing ~327  
111 km, is the principal system of western Georgia, with its 13,500 km<sup>2</sup> basin entirely within national  
112 territory and spanning from the Caucasus mountains to the Black Sea. Although its basin is less  
113 than 10% of the Kura in land area, the Rioni's average annual discharge is nearly 70% that of the  
114 Kura River, (Gigineishvili, 2000). In its upper drainage, the glacier-fed Rioni River flows  
115 through mountainous regions (Figure 2a, 2b). Its lowland region is characterized by extensive  
116 swamps, marshes, and floodplain areas as it approaches the Black Sea coastline (Figure 2c). Also  
117 draining western Georgia into the Black Sea are the Kodori, Enguri, Supsa and numerous smaller  
118 rivers. Given the country's mountainous topography, most rivers in Georgia are short (< 25 km  
119 long), high gradient, turbulent systems.

120 Rivers in present-day Georgia reflect the country's complex history over the past century.  
121 From 1922-1991, Georgia was part of the Soviet Union. This period, referred to as the 'Soviet  
122 period' was marked by large scale industrial projects in Georgia and other former-Soviet  
123 republics of the southern Caucasus region, like Azerbaijan and Armenia (Kuljanishvili et al.  
124 2020). For example, the Mingachevir Dam, built in Azerbaijan between 1945-1954, fragmented  
125 the Kura River and disconnected upstream areas of the Kura and Alazani basins within Georgia  
126 from the Caspian Sea. Other noteworthy industrial projects were the Vartsikhe Dam for  
127 hydropower, built between 1971-78 on the Rioni River, and the construction of an irrigation/high

128 water protective dam and the largest hydropower dam on the Enguri River in the 1970-80. This  
129 dam resulted in the disconnection of the Rioni and Enguri basins into isolated parts.

130 Introduction of non-native species to Georgian freshwaters, both accidentally and  
131 intentionally for aquaculture, also occurred during the Soviet period, especially between 1960-70  
132 (Kuljanishvili et al., In prep). For example, the most problematic invasive species, such as  
133 *Carassius gibelio* (Prussian carp), *Pseudorasbora parva* (topmouth gudgeon or stone moroko),  
134 and *Gambusia holbrooki* (mosquitofish), were all introduced in the 20<sup>th</sup> century and are now very  
135 widespread in the region. The Soviet period in Georgia was also a time of substantial research on  
136 freshwater systems and their fauna, particularly fishes, largely motivated by interest in  
137 aquaculture (Barach 1941; Demetrashvili 1977; Sharvashidze 1982; Elanidze 1983).

138 Georgia declared itself independent from the Soviet Union in 1991. In Georgia and  
139 throughout the Caucasus, the dissolution of the Soviet Union reportedly marked a shift in  
140 management of freshwater resources, such as fisheries, as fishing rights and regulations were  
141 suddenly divided among new independent states. In the Caspian Sea, for example, an increase in  
142 illegal harvest and trade of fish, particularly sturgeons, occurred immediately after Soviet  
143 disintegration, and continued throughout much of the 1990s (Pikitch et al. 2005).

144

#### 145 *Freshwater fishes of Georgia*

146 Presently available information on freshwater biota in Georgia is fragmented and  
147 incomplete. A recent analysis of Georgia's freshwater biodiversity reviewed 300 published  
148 works between 1930-2012 that contained data on fishes and other freshwater species in Georgia  
149 and identified a few trends (Japoshvili 2012). First, most published works are in Russian or  
150 Georgian, without English translations, and therefore not readily accessible to an international

151 scientific audience. Second, ichthyological research in general peaked in the 1960s and was  
152 focused primarily on rivers during that time. It gradually declined over the remainder of the 20<sup>th</sup>  
153 century but has started to see a slight rise since the early 2000s. These trends are similar across  
154 countries of the southern Caucasus (Armenia, Azerbaijan, Georgia) (Kuljanishvili et al. 2020),  
155 but run contrary to those seen in other parts of the world, particularly the tropics, where  
156 ichthyological research, both in terms of alpha taxonomy and ecological studies, has increased  
157 markedly since the 1970s (Reis et al. 2016). Ichthyological research has also lagged behind other  
158 biodiversity studies in Georgia (Mumladze et al. 2020). Indeed, the first checklist of fishes of  
159 Georgia from the post-Soviet period was compiled by Ninua and Japoshvili (2008) only just over  
160 a decade ago and based mainly on literature review. Third, nearly all publications on freshwater  
161 fishes and other freshwater biota in Georgian waters report results of taxonomic, ecological, or  
162 fisheries-related studies. While there has been an uptick in application of molecular methods that  
163 has improved ichthyological knowledge since 2000, there have been only very limited attempts  
164 at any kind of ecological monitoring or long-term research. Finally, of all freshwater animals,  
165 fishes are the best studied taxa in Georgia. No other freshwater species other than fishes are  
166 listed in the Georgian Red List, part of the Georgian Biodiversity Database, which is freely  
167 available online (Georgian Biodiversity Database 2021).

168         Acknowledging these limitations, current estimates report 96 freshwater fish species from  
169 Georgian freshwater bodies (Kuljanishvili et al. 2020). Within a larger biogeographic context,  
170 Georgian freshwater fishes represent an important vertebrate faunal component of the Caucasus  
171 biodiversity hotspot, from which 162 species of freshwater fishes and four species of lamprey—  
172 scaleless, jawless fishes that emerged roughly 280 million years ago—have been documented to  
173 date (Freyhof et al. 2020; Kuljanishvili et al. 2020). Of these, 51 fish species and one lamprey



174 are endemic to the Caucasus (Freyhof et al. 2020). Abell et al. (2008) recognize three main  
175 freshwater eco-regions in the Caucasus: the Western Transcaucasian, Kura-South Caspian, and  
176 Western Caspian. Similar to other biodiversity hotspots in their original designations (Myers et  
177 al. 2000), freshwater fish diversity was not considered among the criteria used to assign the  
178 Caucasus (and Georgia as a part) as a biodiversity hotspot. However, recent studies have shown  
179 that the freshwater biota is very diverse in the Caucasus, potentially exceeding terrestrial  
180 ecosystems in rate of endemism (including small range endemics) in many animal groups  
181 (Gabelashvili et al., 2018; Grego et al., 2020; Oláh et al., 2020).

182 Georgia and the larger Caucasus region once harbored a remarkable diversity of  
183 sturgeons (Order Acipenseriformes: Family Acipenseridae), but the current status of their  
184 populations in the region is unclear (Freyhof et al. 2020). Sturgeons are native to subtropical,  
185 temperate, and sub-Arctic freshwaters and coastal areas of Eurasia and North America. Of the  
186 four extant genera of sturgeons, two have been documented in the Caucasus region and in  
187 Georgia (*Huso* and *Acipenser*). Of the 26 sturgeon species recognized worldwide, seven are  
188 native to Georgia: Russian sturgeon (*A. gueldenstaedtii*), Persian sturgeon (*A. persicus*), Colchic  
189 sturgeon (*A. colchicus*), Fringebarbel or Ship sturgeon (*A. nudiventris*), Star sturgeon (*A.*  
190 *stellatus*), Atlantic (Baltic) sturgeon (*A. sturio*), and Beluga sturgeon (*Huso huso*) (CEPF 2003;  
191 Pikitch et al. 2005; Ninua and Japoshvili 2012; Fricke et al. 2020). However, there remain many  
192 ambiguities about the taxonomy of Black Sea sturgeons. All Caucasian sturgeon species, and  
193 nearly all sturgeons worldwide, are considered threatened or endangered (Table 1). Several  
194 characteristics of sturgeon's natural history make them especially vulnerable to hydropower  
195 development and to overfishing. Sturgeons are generally long-lived fishes, slow to grow and  
196 mature; female Fringebarbel sturgeon (*A. nudiventris*), for example, reach maturity at about 17

197 years and spawn once every three years (Vecsei et al. 2002). Ages of >100 years have been  
198 reported for Beluga sturgeon (*Huso huso*; Helfman et al. 1997). Commercial sturgeon species  
199 can reach lengths of several meters and weigh >100 kg. Life history and catch statistics for  
200 Caucasian species report large sizes for *A. gueldenstaedtii* (2.3 m max length; 100 kg max  
201 weight). *A. persicus* (2.4 m; 70 kg), *A. stellatus* (2.2 m; 80 kg). *Huso huso* is considered the  
202 world's largest freshwater fish (>6 m; 1300 kg; Pikitch et al. 2005). Finally, sturgeon spawn in  
203 freshwaters and most are diadromous; therefore, they depend on longitudinally connected  
204 riverine pathways that are unimpeded by dams to complete their life cycle. The Rioni River in  
205 Georgia is considered critical to global sturgeon conservation: it is thought to still harbor  
206 surviving populations of *A. persicus*, *A. stellatus*, *A. gueldenstaedtii*, and *H. huso* (Freyhof et al.  
207 2020).

208         The Caucasian fish fauna includes other anadromous species, represented by shads  
209 (Clupeidae), trout (Salmonidae), and barbels (*Luciobarbus*) that are also at risk from hydropower  
210 development and from commercial and recreational fisheries (Freyhof et al. 2020; Kuljanishvili  
211 et al. 2020). Bulatmai barbell (*Luciobarbus capito*) for instance, is one of the largest (semi)  
212 anadromous fish that are restricted to Caspian Sea drainage. The Kura River basin is still the  
213 largest part of its distribution area. However, within the Kura River, the population of this  
214 species is strongly fragmented as a consequence of barriers presented by dams and local  
215 extinction in the near future is a major concern. Other species with migratory life history traits  
216 (such as for instance potamodromous species; see Table 1) that are not globally threatened and  
217 have never been evaluated locally are subjected to the same threats of habitat fragmentation and  
218 impeded movement because of existing and future dams on Georgian rivers.

219

## 220 **Methods**

221           We used a mixed methods approach to gather information about hydropower  
222 development and its implications for river connectivity and freshwater fish species persistence in  
223 Georgia. To understand historical and modern trends in hydropower development, we reviewed  
224 information on dams constructed, planned, or proposed in Georgia over the past century.  
225 Information on hydropower development from the Soviet period (1922-1991) and before was  
226 gathered from publicly available information on the web page of the Ministry of Economy and  
227 Sustainable Development of Georgia (<http://energy.gov.ge>) and technical reports (Kochladze,  
228 2013). For information on hydropower development in Georgia since 1991, we requested and  
229 retrieved the most updated dataset of existing and proposed hydropower facilities from the  
230 United States Agency for International Development's (USAID) Energy Program of Georgia  
231 (<https://ge.usembassy.gov>). We used this dataset to classify dams as existing, planned, or  
232 proposed, and to plot the locations of all dams according to the most updated data available.

233           We used available data on dam locations and reported fish distributions to examine the  
234 consequences of dams for longitudinal river connectivity and freshwater fishes in western  
235 Georgia as revealed by a commonly-applied metric, the Dendritic Connectivity Index (described  
236 below; Cote et al. 2009). Longitudinal connectivity refers to the connectedness of upstream and  
237 downstream habitats in a riverscape, and has been identified as a critical ecological component  
238 for the dispersal and migration of freshwater organisms (Ward 1989; Haxton and Cano 2016).  
239 Our analysis of connectivity focused on the rivers of western Georgia that drain into the Black  
240 Sea whose basins fall entirely or nearly entirely within the country. Distributional data on fish  
241 species occupying basins of western Georgia were assembled based on the most up to date

242 faunistic literature (Epitashvili et al., 2020; Kuljanishvili et al., 2020; 2021). Life history traits  
243 were based on Kottelat and Freyhof (2007) and Froese and Pauli (2020).

244 We used HydroSHEDS and HydroBASINS global hydrographic mapping products  
245 (Lehner et al. 2008; Lehner et al. 2013) to delimit rivers and their basins across western Georgia  
246 (55 basins in total, each one containing a fully connected network that drains to the Black Sea),  
247 and to plot the locations of existing, planned, and proposed hydropower dams. To improve  
248 reliability of results, we performed spatial edits on the dataset of hydropower dams to accurately  
249 match it to the river network, which included removal of duplicate points (e.g., from sequences  
250 of powerhouses over canals that share the same impoundment) and automatic snapping of dams  
251 within 300 m to the nearest river reach. We manually repositioned points for dams >300 m from  
252 the river network using visual reference to Google Earth imagery and the reservoir polygons  
253 from HydroLAKES dataset (Messenger et al. 2016). Additionally, all dams larger than 50 MW  
254 were inspected manually to ensure their location in the river network followed visual references.  
255 These steps reduced our dataset from an initial 105 datapoints to 91 for the purpose of the  
256 analyses described below.

257 To examine losses in longitudinal riverine connectivity over space and time as a  
258 consequence of hydropower dam development, we applied the Dendritic Connectivity Index  
259 (DCI). The DCI is a widely-used metric of longitudinal river connectivity that estimates the  
260 probability that a fish can move between two randomly selected points in a river network (see  
261 Cote et al. 2009 for detailed methods and equations). Based on a review by Jumani et al. (2020),  
262 we identified the DCI as the most appropriate metric for our analysis due to its flexibility and  
263 adjustability to different fish life histories. The DCI ranges from 100 to 0, with the larger number  
264 indicating a completely connected river network with no barriers. We adopted two DCI

265 equations in our analyses to approximate the connectivity estimates to the migratory behavior of  
266 the different fish species present in the region (Cote et al. 2009; Table 1). The DCIp provides  
267 connectivity estimates for potamodromous fish, referring to those migratory species that perform  
268 movements entirely within freshwaters. The DCId adjusts the index to be more suitable for  
269 diadromous fish, referring to those migratory species that move between fresh and saline water  
270 to complete their life cycle. Whereas the DCIp considers the connectivity of any combination of  
271 random locations across the entire river network, the DCId focuses on the connectivity between  
272 random locations of the network and the mainstem river mouth (i.e., where every diadromous  
273 fish should pass to access the saline waters of the Black Sea). Considering the reported  
274 inefficiency or total absence of fish passages in the region, we adopted 0.1 (10%) as a  
275 conservative estimate of barrier permeability in upstream and downstream directions for all  
276 dams. This estimate was made on the basis of professional judgement and following similar  
277 analysis in the literature that assume dams to be fully impassible or to have low permeabilities  
278 (Barbarossa et al. 2020; Couto et al. 2021). This barrier permeability parameter ( $p$ ) reflects a  
279 two-way possibility for both DCI equations of this study. The spatial steps of the analyses were  
280 conducted in a GIS environment using the Barrier Analysis Tool (BAT), an extension to ArcMap  
281 (version 10.7) that takes barrier datasets and cuts up a river network into connected segments  
282 with unique fragment IDs (BAT 2010). The spatial data was then imported into the software R  
283 (version 4.0.2), where DCI functions were applied to the spatial data following the codes  
284 provided by Couto et al. (2021).

285         Based on the start of operations of each existing hydropower dam in the dataset, we were  
286 able to calculate DCId and DCIp values for various scenarios during the period 1900 to 2021. An  
287 additional projected future scenario was created to accommodate planned and proposed dams.

288 Basin-level estimates of river connectivity were assigned to each fish species according to their  
289 occurrence records and migratory behavior, with DCId being adjusted for diadromous species,  
290 and DCIp for potamodromous and residents. Although resident fish do not migrate, connectivity  
291 loss by dam building has been reported to limit dispersal, promote local extinctions, and affect  
292 genetic diversity in resident species, with DCIp being the most suitable metric to track changes  
293 in connectivity in this case (Perkin & Gido et al. 2012; Barbarossa et al. 2020). Based on the  
294 occurrence records of each species (see the Supplementary Information), basin-level estimates of  
295 species richness and average river connectivity at the species' range were estimated. To assess  
296 how improvements in dam permeability could affect DCI estimates, we ran a sensitivity analysis  
297 that simulated DCId and DCIp estimates for permeability values ranging from 0 (i.e., fully  
298 impassible) to 0.9 (i.e., 90% passible). All of these analyses were run with the software R  
299 (version 4.0.2).

300

## 301 **Results**

### 302 *Trends in hydropower development in Georgia*

303 Hydropower development trends in Georgia reveal four distinct periods: pre-Soviet (until  
304 1922), Soviet (1922-1990), post-independence Georgia (1991-present), and modern Georgia  
305 (2010-present). In the pre-Soviet period, the first small hydropower dam (0.1 MW) was built in  
306 1898 on the Borjomula River by German engineers, followed by a number of small hydropower  
307 projects in different regions by 1922. This year, 1922, marked the starting date of construction of  
308 the first large hydropower project on the Kura River near Tbilisi, the Zahes Dam (36.8 MW),  
309 completed in 1927. Until the 1960s, construction of small hydropower projects (<1 MW  
310 capacity) was the priority, with an estimated 300 small projects constructed unsystematically

311 around Georgia, mainly for providing electricity to local populations. However, centralization of  
312 electricity production and distribution in the 1960s triggered a wave of construction of much  
313 larger dams. Perhaps the most notable hydropower project of this time period was the Enguri  
314 Dam (1,300 MW) on the Enguri River in western Georgia, whose construction began in 1961  
315 and spanned nearly two decades. At the time and up until 2012, Enguri Dam was the world's  
316 largest concrete arch dam (272 meters high), and it remains the largest industrial project in the  
317 Caucasus region. By the end of the Soviet period in 1991, there were approximately 30 medium  
318 and large hydropower dams in Georgia and an unknown number of smaller projects, with a total  
319 installed generation capacity of 2,800 MW (Kochladze, 2013).

320         Since Georgia declared its independence in 1991, hydropower development has been on a  
321 varied trajectory. Post-independence, an economic recession halted growth in the hydropower  
322 sector during the 1990s; Georgia struggled to maintain existing infrastructure projects from the  
323 Soviet period and was unable to pursue new projects. Hydropower dams and other infrastructure  
324 in Georgia in the 1990s deteriorated significantly or was plagued by theft at many levels, from  
325 theft of power to breaking and stealing of metal and other materials associated with infrastructure  
326 projects. Most of the country experienced chronic electricity blackouts during this period,  
327 receiving access to no or only a few hours of power a day. A series of economic and energy  
328 sector reforms established the Georgian National Energy and Water Supply Regulatory  
329 Commission (GNERC) in 1997 and resulted in deregulation and privatization, allowing for  
330 energy generators, distributors, customers, and exporters to enter into direct contracts (IHA  
331 2016). Practical outcomes of these reforms in the electricity sector appeared in the early 20<sup>th</sup>  
332 century, following the Rose Revolution in 2003, with increased international and private sector  
333 interest in the investment environment of Georgia.

334 In modern Georgia (2010 – present), international and private investments have helped  
335 catalyze a proliferation of new hydropower development. During the last decade, several large  
336 hydropower projects were completed including Paravani Dam in 2014 (86 MW), Dariali Dam in  
337 2016 (108 MW), and Shuakhevi Dam in 2018 (178 MW) along with a high number of small to  
338 medium size projects. Presently, hydropower accounts for >90% of installed electricity  
339 generation capacity in Georgia, making it the dominant source of electricity. In the most recent  
340 Hydropower Status Report (IHA 2020), Georgia’s total hydropower capacity was 3,271 MW  
341 based on 27 large HPPs (installed capacity over 13 MW) and 62 small HPPs (below 13 MW  
342 capacity); it added 50 MW that same year. Further development of the hydropower sector in  
343 Georgia has been considered a key aspect of energy security and decreased reliance on imported  
344 fossil fuels (IHA 2016). Hydropower in Georgia is increasingly influenced by international  
345 support from other European (e.g., Turkey, Norway) and Asian (India, Korea) countries. During  
346 summer months, Georgia exports electricity generated by hydropower facilities to neighboring  
347 countries, particularly Turkey and Russia. The recently commissioned Paravani Hydropower  
348 Project (87 MW) located near the Georgia-Turkey border, for example, is connected to the  
349 Georgian and Turkish power grids and was completed with international financing (IHA 2016).  
350 Many other new or planned projects in Georgia are energized by international support, including  
351 the Shuakhevi Dam (187 MW), which includes Indian and Norwegian partners. Interconnected  
352 hydropower development schemes—such as cascades of multiple dams on one river—have  
353 emerged for some Georgian rivers in the past decade, such as the Adjaristskali, Rioni, and Enguri  
354 rivers. These interconnected schemes, still largely in planning, are considered important for  
355 energy independence in Georgia, especially during winter seasons that correspond with dry  
356 periods for river flows.



357

358 *Implications of hydropower development to river connectivity and fish conservation*

359 Temporal trends in losses in longitudinal river connectivity in western Georgia, as revealed by  
360 our analyses, aligned with distinct periods of hydropower development: pre-Soviet, Soviet, post-  
361 independence, and modern Georgia (Figure 3). Hydropower development during the Soviet  
362 period (1922-1991) fragmented the lower portions of the largest river basins, such as the Rioni  
363 and Enguri, but rivers in many of the smaller, coastal basins remained longitudinally intact. More  
364 recent hydropower development (post-2010) has increased losses in river connectivity in these  
365 remaining smaller basins. Considering existing, planned and proposed dams, 10 of 55 basins in  
366 western Georgia already have or will have at least one hydropower dam in the future (Figure 3).

367         Depending on their position in the river network, hydropower dams have caused major  
368 losses in longitudinal riverine connectivity for several western Georgian rivers. For example, the  
369 completion of the Enguri Dam in 1978, Georgia's largest hydropower dam (1300 MW),  
370 disconnected the lower and montane sections of the Enguri River (Fig. 3). However, small  
371 projects have also had big consequences for basin-level connectivity losses. An example is the  
372 Kintrishi Dam (6 MW), constructed in 2017, which alone reduced the longitudinal connectivity  
373 of the Kintrishi River by 50% for both DCId and DCIp. The recent wave of new hydropower  
374 development has exacerbated decreases in river connectivity for diadromous species with new  
375 construction near the mouth of some rivers and has fragmented upper portions of some basins.  
376 For instance, the Khelvachauri dam (47 MW) was built in 2019 just 13 km from the mouth of the  
377 Chorokhi River (also known as Çoruh River, its Turkish name) and is the most downstream dam  
378 of the whole basin. In addition, many of the new planned and proposed dams are small and  
379 located in parts of river basins relatively distant from the coast, such as the 16 new projects

380 proposed for the uppermost portion of the Enguri Basin – 15 of them below 10 MW. This new  
381 wave of small projects is promoting considerable decreases in DCI<sub>p</sub> in some basins like Enguri,  
382 Chorokhi, and Supsa (Fig 3).

383         The most species-rich basins in western Georgia are also those most heavily affected by  
384 hydropower development (Figure 4). We documented 36 fish species from western Georgia, of  
385 which 15 are diadromous, 8 potamodromous, and 13 resident species (Table 1). During the  
386 Soviet period (1921-91), the Enguri (31 fish spp.) and Rioni (32 fish spp.) basins were the most  
387 heavily fragmented by hydropower development. In the modern period (2010-present),  
388 hydropower development has intensified river fragmentation in the Enguri and the Rioni and  
389 affected several other large and mid-size river basins, such as the Khobistskali, Supsa, Natanebi,  
390 Kintrishi and Chorokhi, which harbor many resident fish species. In contrast, basins located in  
391 northwestern Georgia have been less subjected to hydropower development. Whereas they may  
392 harbor fewer species than larger basins, some free-flowing rivers, such as the Kodori and Mzipi,  
393 may still support populations of migratory species, including sturgeons (*A. gueldenstaedtii*, *A.*  
394 *stellatus* and *H. huso*) and the European eel (*Anguilla anguilla*) – all these species are classified  
395 as critically endangered (CR) according to IUCN criteria.

396         Both resident and migratory species are vulnerable to hydropower development in  
397 Georgia. Our analysis indicated that four species (*A. gueldenstaedtii*, *A. sturio*, *Capoeta*  
398 *banarascui*, and *Oxynoemacheilus cemali*) presently have average DCIs below 70 at the level of  
399 their geographic range in western Georgia, meaning that connectivity losses exceed 30% of their  
400 range. Connectivity losses are expected to increase substantially for these four species and  
401 exceed 30% for 10 more species if all the planned and proposed hydropower projects are  
402 constructed (Table 1). Species potentially affected include five out of six sturgeon species, four

403 species of diadromous Gobiids, two species of potamodromous Leuciscids (*Abramis brama* and  
404 *Alburnus alburnus*), and resident species of the genus *Capoeta* and *Oxynoemacheilus*.

405 As expected, increasing barrier permeability increases river connectivity estimates for  
406 western Georgia basins for both DCId and DCIp metrics (Figure 5). However, our estimates  
407 reveal that significant improvements in basin-level connectivity can only be reached with major  
408 improvements in dam permeability. For instance, in all the scenarios very little gain in  
409 connectivity occurs with any levels of permeability ranging from zero to 0.2. Although this is a  
410 simulation exercise based on key assumptions (e.g., all dams have the same permeability value),  
411 these results highlight that fish passages must have much higher efficacy than currently reported  
412 to serve as efficient mitigation action that restore basin-level river connectivity.

413

#### 414 **Discussion**

415 In this paper, we have provided an overview of hydropower development in Georgia, an  
416 area with interesting political and natural history for which limited studies have been published  
417 in the international conservation biology literature. We have offered a window into the  
418 challenges for freshwater biodiversity science and conservation in modern Georgia. Using  
419 longitudinal river connectivity as an analytical lens, we illustrated how historical and modern  
420 hydropower development has fragmented Georgian rivers and constrained freshwater fish habitat  
421 along riverine corridors, especially for migratory species.

422 Our examination of the trends in hydropower development over time revealed two major  
423 periods of intensive dam construction: the Soviet period of the past century and right now (2010-  
424 present). Our analysis showed significant losses in longitudinal river connectivity in basins of  
425 western Georgia during both of these periods as linked to construction of existing dams, for

426 which reliable information exists. We projected further losses of longitudinal river connectivity  
427 under future hydropower development scenarios, considering planned and proposed dams,  
428 although the likelihood that all of these dam projects will be completed is uncertain, especially  
429 for proposed dams. Many dams listed as proposed today have plans that date back to the Soviet  
430 period but were discarded or postponed then because of high risk of environmental damage,  
431 threats to human populations, or limited investment capital. It remains to be seen whether those  
432 projects will eventually be constructed and, if they do proceed, what kind of mitigation plans for  
433 environmental and social impacts will be incorporated. Finally, our exploration of the  
434 implications of hydropower development for freshwater fishes was completed using the best  
435 available data for freshwater fauna in Georgia. Future improvements of species-level estimates  
436 of impacts of dams can be incorporated as more refined data becomes available on fish species  
437 distributions, migratory paths, and critical habitats.

438         Our efforts underscore the fact that the ecological impacts of hydropower development in  
439 Georgia remain highly understudied. In our review, we did not encounter targeted studies post-  
440 operation of hydropower dams in Georgia on freshwater fauna. However, anecdotal evidence and  
441 data from other biodiversity studies can be used to deduce some of their consequences. For  
442 example, historically, the Rioni River contained the most important spawning areas for 5 to 6  
443 Black Sea sturgeon species. The construction and operation of the Vartsikhe Dam in the 1970s  
444 resulted in the loss of most of their spawning areas. This situation was exacerbated by the  
445 construction of additional hydropower dams and by illegal or unsustainable fishing. As a  
446 consequence, all sturgeons in the eastern Black Sea are now considered critically endangered  
447 (Guchmanidze, 2009). Hydropower facilities outside of Georgia's national borders, such as the  
448 Mingachevir Dam on the Kura River in Azerbaijan, also have affected freshwater fauna. The

449 original dam at this site was constructed during the Soviet period and began operation in 1953,  
450 with a height of 80 meters and a flooded reservoir area of 605 km<sup>2</sup> (15.7 km<sup>3</sup> volume). The dam  
451 was recommissioned early this century and its installed generation capacity increased to 420  
452 MW. The Mingachevir Dam's closure effectively split the Kura River Basin into an upper and  
453 lower portion and disconnected its headwater region within Georgia from the Caspian Sea. This  
454 split was followed by the subsequent extinction of Caspian lamprey and sturgeons in the upper  
455 Kura Basin (Demetrashvili, 1963).

456           In the past decade, the paucity of information on the ecological impacts of dams and  
457 concern for their effects on Georgian rivers has helped to catalyze efforts to apply internationally  
458 accepted approaches to understanding or mitigating effects of hydropower dams. For example, in  
459 response to concerns over the multiple dams that are existing or proposed for the Rioni River, a  
460 pilot study of environmental impact assessment for cumulative impacts of hydropower projects  
461 was realized and completed in 2016 (Vogel et al. 2016). This effort focused on practical aspects  
462 of environmental impact assessment and was intended to support the Ministry of Environment  
463 and Natural Resource Protection (MENRP) and the National Environmental Agency (NEA) in  
464 their evaluation of hydropower projects. The pilot project tried to align with the European  
465 Union's Water Framework Directive's principles for both environmental impact assessment  
466 (EIA) and for cumulative impact assessment (CIA), though the CIA was based on existing EIA  
467 report's expert judgement and only considered impacts of hydropower projects. The project also  
468 included a 5-day training component for Georgian environmental authorities (Vogel et al. 2016).  
469 Overall, the CIA of the Rioni River found that the studied segment of the river and its tributaries  
470 are at risk for several reasons, for example: a large amount of the river flows will be used by  
471 hydropower plants; planned and existing hydropower plants are large in size relative to rivers;

472 and mitigation measures for environmental impacts of hydropower were lacking. Until today, the  
473 Rioni CIA pilot project remains the only example, albeit with limited success, of more advanced  
474 EIA and impact mitigation planning in Georgia.

475 Further, rapid hydropower development in Georgia has encouraged several efforts to  
476 advance the science and practice of environmental flows—defined as the quantity, timing, and  
477 quality of freshwater flows and levels necessary to sustain aquatic ecosystems which, in turn,  
478 support human cultures, economies, sustainable livelihoods, and well-being (Arthington et al.  
479 2018a). These efforts have linked Georgia with a larger scientific community of practice on  
480 environmental flows and drawn on experiences from similar geographies. Beginning in 2012-13,  
481 the Georgian Ministry of Environment and Natural Resources Protection requested support from  
482 the United States Agency for International Development (USAID) to develop a methodology for  
483 estimation of environmental flows for Georgian rivers. This request occurred around the same  
484 time that Georgia signed an association agreement with the European Union and therefore  
485 emphasis was given to developing a methodology that would be compatible with the European  
486 Water Framework Directive. Experiences from Austria—with its well-established methods and  
487 ordinances for environmental flows—and from the U.S. state of Connecticut—with similar  
488 migratory fish species assemblages—were considered in the development of a specific  
489 framework and step-by-step methodology for environmental flows in Georgia, which took place  
490 between 2014-17 and involved an international team of environmental flow experts and close  
491 collaboration with Georgia’s National Environmental Agency, under the Ministry of  
492 Environment and Natural Resources Protection of Georgia. Additionally, development of the  
493 environmental flows framework and methodology engaged numerous Georgian stakeholders,  
494 involved consultation with other government ministries (Energy and Agriculture), and included a

495 detailed piloting of the proposed approach on the Supsa River, where a cascade of hydropower  
496 projects are under development. Three of the authors on this paper participated in leadership or  
497 supporting roles in these efforts (USAID 2017).

498

#### 499 *Considerations for future research and conservation*

500 In light of current and anticipated future trends in hydropower development in Georgia, we  
501 articulate four specific research needs for freshwater biodiversity and its conservation.

502

#### 503 ***Expansion of biodiversity research and environmental monitoring***

504       Among the obstacles facing freshwater diversity protection and conservation in Georgia  
505 is a lack of relevant expertise and long-term data (i.e., time series); this kind of information is  
506 critical for understanding and mitigating the impacts of hydropower development on rivers,  
507 including how losses in river connectivity affect freshwater fauna. As a consequence of frequent  
508 political and social-economic transitions, Georgia does not yet have a functional, unified  
509 infrastructure for collecting and maintaining biodiversity and environmental data. Except for  
510 sparse and fragmented historical information, no reference collection or comparable data for  
511 evaluating temporal changes in biodiversity exists. An attempt to establish a unified national  
512 system for biodiversity evaluation and monitoring has been unsuccessful (NBISAP, 2014), but  
513 could be revived with additional support and increased involvement from universities. Indeed,  
514 the best source of reliable information on any aspect of biodiversity or biodiversity-related issues  
515 is internationally published research papers that are produced by scientists at Georgian  
516 universities, but these too are relatively scarce (Mumladze et al. 2020). This particularly  
517 concerns freshwater biodiversity, increasingly affected by various anthropogenic activities—

518 such as hydropower, mining, water withdrawals, pollution, and illegal fishing. Additionally,  
519 taxonomic expertise on freshwater biota is extremely limited within Georgia, for fishes and  
520 especially for invertebrates.

521 The situation with the Red List of threatened species of Georgia illustrates the kind of  
522 challenges facing biodiversity-related research. In 2006, Georgia adopted a Red List of species  
523 based on Soviet-era data published in 1982, with a commitment to update the list every decade.  
524 However, in 2021, the Red List of species of Georgia remains the same as it was in 2006 and still  
525 based on the 1982 publication. Few attempts have been made to advance the understanding of  
526 the conservation status of individual species. There is thus an immediate need to encourage  
527 biodiversity research and environmental monitoring in Georgia in a nationally coordinated effort.

528 To address this need, we recommend the development of an infrastructure and system for  
529 biodiversity monitoring, which could start with freshwater biota given the current conservation  
530 challenges for rivers in light of hydropower development. An example of the kind of  
531 infrastructure and system for biodiversity monitoring that could serve Georgia is the Biodiversity  
532 Information System of Colombia (SiB Colombia), which is a national-level effort developed  
533 over the past two decades to create an open network of biodiversity data for the country. An  
534 effort in Georgia should unite government, non-governmental organizations, and academic  
535 institutions within Georgia. However, this effort could also benefit from international  
536 partnerships, provided that these partnerships include—in a compulsory way—opportunities for  
537 leadership or co-leadership by Georgian scientists and opportunities for training students and  
538 early career researchers in Georgia. Also important is to make sure that international standards  
539 for biodiversity data are followed in Georgia to improve access and limit duplication of data, and  
540 to allow corrections to propagate throughout databases.



541

542 *Maintenance or restoration of hydrologic connectivity in Georgian rivers.*

543         As many hydropower dams already exist across Georgian rivers, the implementation of  
544 efficient fish passage facilities and appropriate flow management are among the only remaining  
545 alternatives to restore longitudinal river connectivity in dammed basins in the country. Currently,  
546 fish passages are not mandated for hydropower projects in Georgia, even in basins that harbor  
547 nationally protected migratory fish species. Therefore, building, maintaining, and monitoring fish  
548 passage structures are uncommon practices in Georgia. With the growing development of  
549 hydropower dams and other environmental threats in the country, fish passages are an important  
550 issue that must be better integrated into policies and regulations related to hydropower. For  
551 instance, redesigning and rebuilding fish passages on the Vartsikhe and Gumati dams could be  
552 the most efficient measure to conserve sturgeon populations in the lower Rioni River, where  
553 most sturgeon species of western Georgia still reproduce.

554         It is important to highlight that planning and maintaining fish passage structures are not  
555 trivial and cheap tasks, and that sturgeons' special needs must be considered in design of fish  
556 passages for them to be successful (Jager et al. 2016). Although the efficiency of fish passages  
557 has much to improve worldwide (Pelicice and Agostinho 2007; Olden 2016; Cooke et al. 2020),  
558 some well-designed experiences can provide sufficient outcomes to be considered an actual  
559 mitigation action. From North American rivers, we know that fish passages for sturgeons must  
560 consider things beyond flow and connectivity, such as water quality, and must be designed to  
561 facilitate both upstream and downstream movement (Jager et al. 2016). This bidirectionality is  
562 often ignored, even though downstream migrations are equally important for the completion of  
563 the life cycle of sturgeons and other migratory fish (Pelicice and Agostinho 2007; Cooke et al.

564 2020). Georgia has an opportunity to learn from decades of study of fish passages for sturgeons  
565 and implement recommendations for such structures that increase the likelihood of positive  
566 outcomes for sturgeon conservation in rivers with dams (Jager et al. 2016).

567 In addition to fish passage at hydropower dams, the protection of environmental flows  
568 will also be important to the conservation of freshwater fishes in Georgia, as in other parts of the  
569 world (Arthington et al. 2018b). Environmental flows are typically assessed for rivers during  
570 environmental impact studies and then implemented during hydropower operations to maintain a  
571 recommended compensation flow downstream from a dam. Environmental flows can help reduce  
572 losses in connectivity associated with river de-watering and can be combined with fish passage  
573 structures to improve possibilities for fish movement through dammed sections of river. At  
574 present, Georgia does not have a clear legal framework for integrated water resources  
575 management with explicit requirements for assessment and protection of environmental flows.  
576 Conventional practice in environmental impact assessments in Georgia has been to set aside 10%  
577 of river flow for environmental purposes, calculated as 10% of annual or monthly average flows,  
578 depending on the circumstance. This 10% value dates from the Soviet period and was until  
579 recently referred to as a “sanitary flow,” suggesting its origins may be more related to public  
580 health than to environmental concerns.

581 An earlier project, described in previous sections here, developed a framework and  
582 methodology for estimating environmental flows in Georgia with input from Georgian scientists,  
583 government authorities, and international cooperation. This methodology drew upon experiences  
584 in other places where sturgeons are present, such as North America and Europe. Since the pilot  
585 study of the methodology in the Supsa River in 2016, to our knowledge, not much more has  
586 advanced on environmental flows in practice in Georgia. We recommend that this framework

587 and methodology for estimating environmental flows be adopted immediately for all new  
588 hydropower developments in Georgia. For existing dams, restoration of environmental flows will  
589 be needed, but the same framework and methodology could be applied to determine ecological  
590 needs as a starting point for discussions on flow restorations. The framework and methodology  
591 for environmental flows should be reviewed periodically and adapted as needed as more  
592 information becomes available about Georgian rivers and according to global advances in the  
593 science and practice of environmental flows.

594  
595 ***Strategic planning of new hydropower development***

596 Multi-objective optimization approaches have been employed in different parts of the  
597 world to balance the trade-offs between hydropower benefits and the socioecological costs that  
598 different dams may have (Tickner et al. 2017). For instance, it is possible to assess the  
599 performance of sets of prospective dams on the trade-offs between energy generation and losses  
600 in longitudinal river connectivity, which affect migratory fish (Ziv et al. 2012; Couto et al.  
601 2021). To date, hydropower development in Georgia has not proceeded according to  
602 comprehensive basin-level strategic planning. Hydropower dams are rarely, if ever, considered in  
603 terms of their cumulative or synergistic effects on a river basin and its connectivity. For example,  
604 the first and only pilot project to consider the cumulative impacts of multiple hydropower  
605 projects on the Rioni River basin was realized in 2016 (Vogel et al. 2016). An interesting output  
606 of the Rioni pilot project was the finding that river size was inappropriate for the energy  
607 production demand of existing and planned hydropower development. In other basins with  
608 multiple dams, flow regimes have been strongly affected by hydropower operations, sometimes  
609 experiencing near or complete drying of river channels during dry seasons, as occurred in the

610 Chorokhi River during 2010-11 or the Tergi (Terek) River in 2019. Monitoring of the impacts of  
611 hydropower development post-operation also rarely takes place.

612 We recommend the implementation of strategic planning of hydropower development at  
613 a basin level, including intergovernmental planning and biodiversity monitoring in the case of  
614 transboundary river basins. Maintaining longitudinal river connectivity could be a central theme  
615 of strategic planning. Two of the largest river basins in the southern Caucasus region—the Kura  
616 and Chorokhi—are shared by multiple countries and have intensive hydropower development,  
617 often conducted unilaterally. Strategic planning for hydropower at a basin level should consider  
618 both existing and future hydropower developments and could draw on experiences and  
619 approaches of other areas also experiencing a proliferation of new hydropower developments for  
620 optimization, biodiversity monitoring, and impact assessment and mitigation. We also  
621 recommend that strategic planning involve a broad swath of interested parties and stakeholders.

622

### 623 ***Strict conservation areas for protection of sturgeons***

624 Sturgeons are widely accepted internationally as a highly threatened species in need of  
625 protection (Pikitch et al. 2005). In Georgia, sturgeons are indeed among the species most  
626 affected by hydropower development in the 20<sup>th</sup> century, especially losses in river connectivity.  
627 An examination of the historical (based on Barach 1941; Sharvashidze 1982; and Elanidze 1983)  
628 and recent records (Guchmanidze 2012 and reports from Fauna and Flora International’s  
629 Georgian sturgeon team) of sturgeon in the eastern Black Sea region revealed that their  
630 distribution ranges are now restricted to just one-sixth of the reported original extent. Surely,  
631 there are other factors that have affected sturgeon populations, such as illegal fishing or poorly  
632 controlled bycatch in Georgia’s coastal areas of the Black Sea, water pollution from mining, and

633 other small but potentially significant river barriers (Belletti et al. 2020). However, hydropower  
634 development likely outweighs all of these other threats. The lower reaches of the Rioni River are  
635 considered the only remaining spawning habitats for sturgeons of the eastern Black Sea region,  
636 so the Rioni and other rivers of western Georgia are therefore critical pieces of the global puzzle  
637 of sturgeon conservation and their habitats must remain intact to avoid species' extinctions.

638 To this end, we recommend that Georgia begin a process of identifying and assessing the  
639 feasibility of the establishment of strict conservation areas along some Georgian rivers,  
640 designating them as off limits to new hydropower development. This idea follows similar  
641 recommendations as those made by Freyhof et al. (2015) and fit in with global trends towards  
642 designation of freshwater protected areas. Potential areas for such protection include the lower  
643 reaches of the Rioni and Enguri, as well as other medium to large rivers such as the Bzyb,  
644 Kodori, Khobi, and Supsa. All of these rivers (and some others) were historically known to  
645 harbor many endangered species such as the European eel (*A. Anguilla*) and sturgeon species  
646 (Ninua et al. 2013; Table 1). The lack of occurrence data from the last few decades does not  
647 mean that sturgeon species are extinct in these basins, as just a few studies have been done to  
648 assess their status. Further, segments of other rivers, such as the Kura and Alazani in eastern  
649 Georgia, should also be considered for protected status. Although no sturgeon or other  
650 diadromous species currently inhabit these rivers since the closure of Mingachevir dam in 1954,  
651 the resident ichthyofauna still harbors remarkable diversity.

652

## 653 **Conclusions**

654 Conservation of Georgia's freshwater biodiversity depends on better understanding of the current  
655 status of freshwater species and the environmental conditions in the rivers they inhabit, and

656 careful consideration of the impacts of existing and future hydropower development, particularly  
657 its effects on river connectivity. Our aim in this manuscript was to tell the story of Georgian  
658 rivers and their freshwater biota to a broader audience, engaging colleagues that may have been  
659 previously unaware of this region's biological richness, political history, and conservation  
660 challenges. It is our hope that others may find the case of Georgia insightful for understanding  
661 global trends in hydropower development, its effects on river connectivity, and its consequences  
662 for freshwater biodiversity. It is also our hope that this manuscript helps catalyze more interest  
663 from the international scientific and conservation community in the country and contribute to an  
664 upward trajectory of freshwater biodiversity research across the Caucasus region in the future.

665

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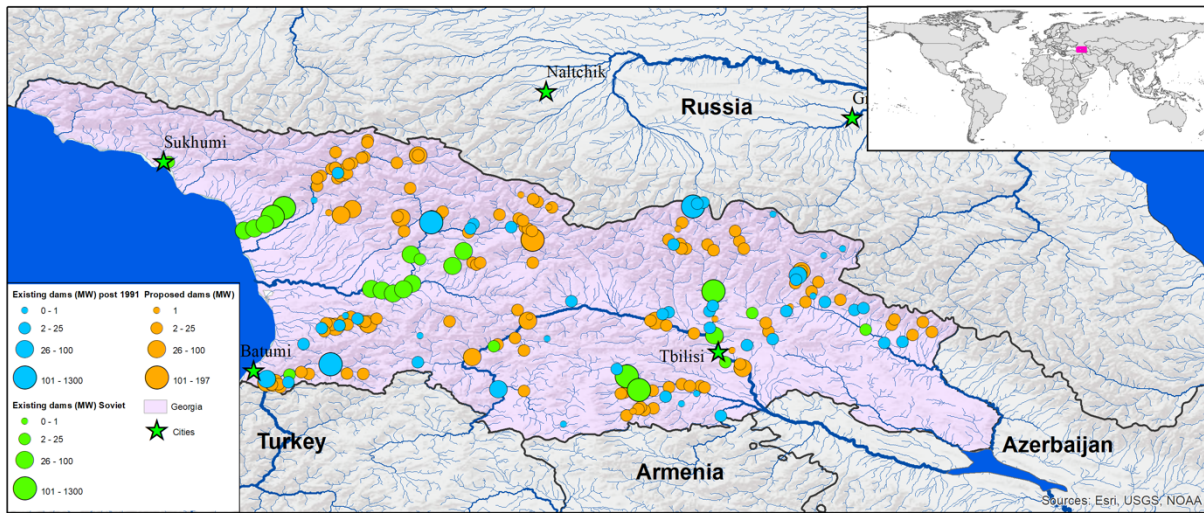
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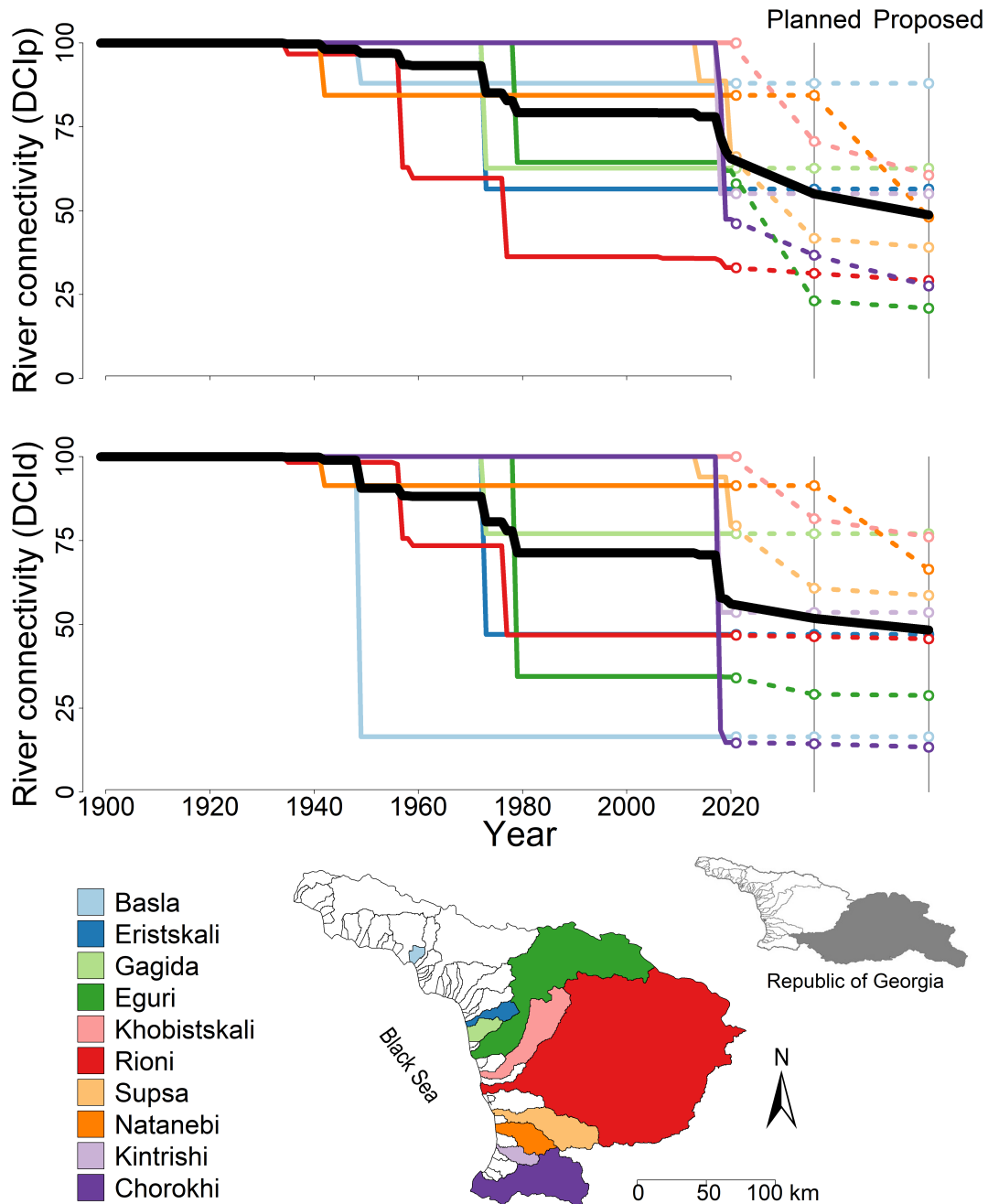
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Figure 1. The Republic of Georgia is located in the south Caucasus region. Existing hydropower dams are marked in green (built before 1991) and blue (built post 1992) to distinguish dams built during the Soviet period. Proposed dams are in orange.

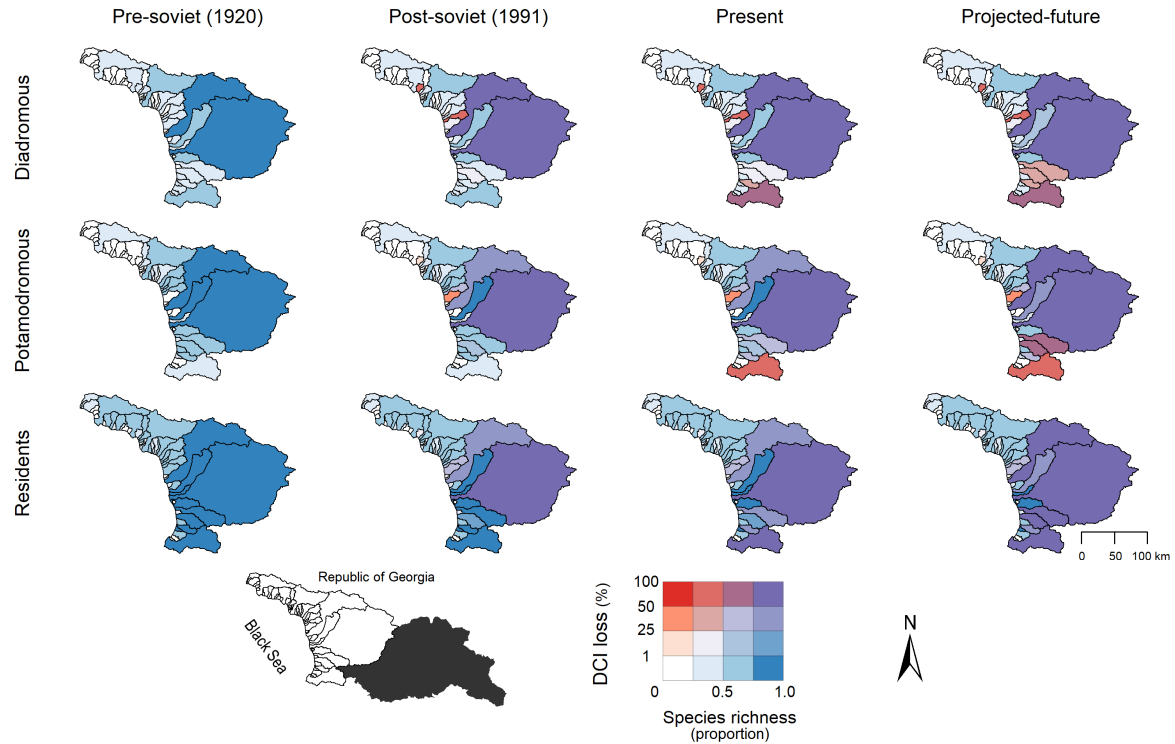


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Figure 2. The Rioni River is the largest fluvial system draining western Georgia, with its discharge into the Black Sea. Here shown are the (a) upper Rioni, near Glola village; (b) middle Rioni, 9 km upstream from Namokhvani village, near an area of ongoing construction of the Namokhvani hydropower plant; and (c) lower reaches of the Rioni River, near the city of Poti.

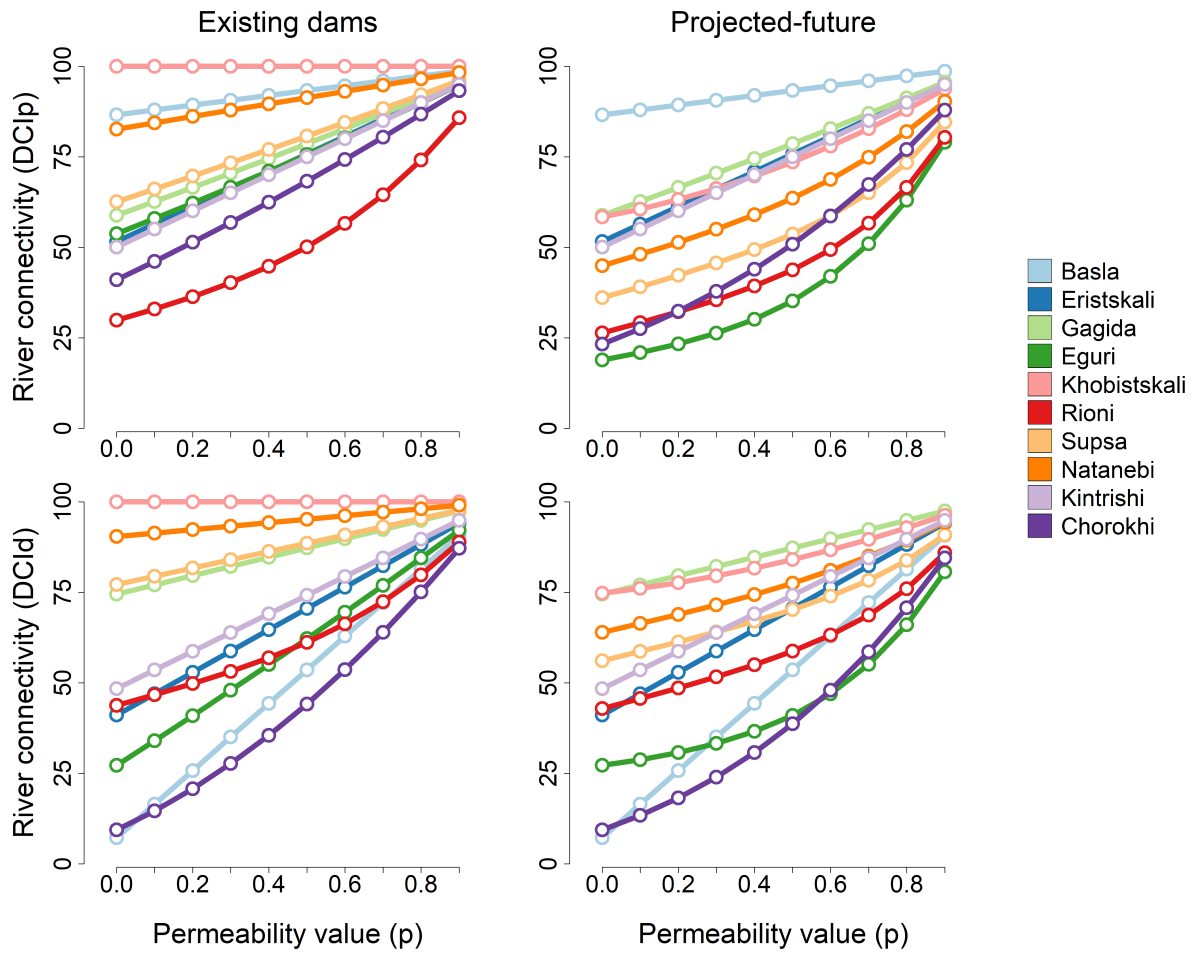


936  
 937 Figure 3. Trends over time in river connectivity for Black Sea drainages of western Georgia  
 938 according to calculated  $DCI_p$  and  $DCI_d$  values, with 100 being a fully connected, free-flowing  
 939 river network. Colored lines on graph correspond to color coded dammed river basins in the  
 940 map; undammed basins are represented in white. Trends are shown for hydropower dams already  
 941 built (continuous lines) and for prospective dams (dashed line). Prospective dams include those  
 942 under construction and in various licensing stages (planned), and those under feasibility studies  
 943 (proposed). Averages of dammed basins are summarized by black lines.



944  
 945 Figure 4. Set of maps depicting historical and projected-future loss in river connectivity, and  
 946 fish species richness for all the 55 Black Sea drainages of western Georgia. The four critical  
 947 periods of hydropower development in Georgia are represented as the pre-soviet (year 1921),  
 948 post-soviet (year 1991), present-day (2021) and projected-future scenarios (all planned and  
 949 proposed dams built). Percentage of connectivity loss estimates (i.e., 100 - DCI estimates) are  
 950 presented for three sets of migratory fish life histories: Diadromous, potamodromous and  
 951 residents. Fish species richness for these three life histories are represented as proportions  
 952 calculated based on the pool of species registered in these 55 basins. Full species list, summary  
 953 statistics and records per basin are presented in Table 1 and Supplementary information.





954  
 955 Figure 5. Sensitivity analysis depicting the relationship between dam permeability parameter ( $p$ )  
 956 and river connectivity estimates for the western Georgia basins. Both equations, DCIp (upper  
 957 panels) and DCId (lower panels), were fit with permeability values ranging for 0 (i.e., fully  
 958 impassible) to 0.9 (i.e., 90% passible) for current and projected-future scenarios. The current  
 959 scenarios include all the existing hydropower dams in 2021 (left panels), and projected-future  
 960 scenarios include all the planned and proposed dams. Colored lines on graph correspond to color  
 961 coded dammed river basins.

Table 1. Fish species recorded in western Georgia (Black Sea drainages). Conservation status follows IUCN criteria – Critically Endangered (CR), Near Threatened (NT), Least Concern (LC), and Not Evaluated (NE) – and species that are endemic to the Caucasus region are marked with an asterisk (\*). Average DCI estimates across the species’ range (i.e., all basins occupied by the species) are provided for the present-day (existing dams by 2021) and projected-future scenarios (all planned and proposed dams). DCI estimates were adjusted according to the species migratory behavior: Diadromous (DCId) and potamodromous/residents (DCIp). Lower and upper ranges of DCI estimates are presented inside parenthesis, and average range-wide connectivity losses that exceed 30% are highlighted in bold (i.e., DCI < 70).

Species	Family	Common name	Conservation status	Migratory behavior	DCI present	DCI future
<i>Rhodeus colchicus</i> Bogutskaya & Komlev, 2001	Acheilognathidae	Georgian bitterling	LC*	Resident	93.4 (33-100)	90.2 (21-100)
<i>Acipenser gueldenstaedtii</i> Brandt & Ratzeburg, 1833	Acipenseridae	Danube sturgeon	CR	Diadromous	79.6 (33-100)	<b>67.3</b> (21-100)
<i>Acipenser nudiiventris</i> Lovetsky, 1828	Acipenseridae	Ship sturgeon	CR	Diadromous	<b>48.9</b> (15-100)	<b>47.0</b> (13-100)
<i>Acipenser persicus</i> Borodin, 1897	Acipenseridae	Persian sturgeon	CR	Diadromous	75.1 (33-100)	<b>51.8</b> (21-100)
<i>Acipenser stellatus</i> Pallas, 1771	Acipenseridae	Starry sturgeon	CR	Diadromous	76.7 (33-100)	<b>68.2</b> (21-100)
<i>Acipenser sturio</i> Linnaeus, 1758	Acipenseridae	Atlantic sturgeon	CR	Diadromous	<b>45.5</b> (33-58)	<b>25.1</b> (21-29)
<i>Huso huso</i> (Linnaeus, 1758)	Acipenseridae	Beluga sturgeon	CR	Diadromous	81.4 (15-100)	78.5 (13-100)
<i>Anguilla anguilla</i> (Linnaeus, 1758)	Anguillidae	European eel	CR	Diadromous	88.5 (15-100)	86 (13-100)
<i>Cobitis satunini</i> Gladkov, 1935	Cobitidae	Colchic spined loach	LC*	Resident	92.5 (33-100)	88.9 (21-100)
<i>Barbus rionicus</i> Kamensky, 1899	Cyprinidae	Rioni barbel	NE*	Resident	92.5 (33-100)	89.1 (21-100)
<i>Capoeta banarescui</i> Turan, Kottelat, Ekmekçi & Imamoglu, 2006	Cyprinidae	Banarescu’s barb	LC*	Resident	<b>14.6</b> (15-15)	<b>13.4</b> (13-13)
<i>Capoeta sieboldii</i> (Steindachner, 1864)	Cyprinidae	Colchic khramulya	LC	Potamodromous	90.2 (34-100)	86.9 (29-100)
<i>Esox lucius</i> Linnaeus, 1758	Esocidae	Northern pike	LC	Resident	93.1 (33-100)	90 (21-100)
<i>Babka gymnotrachelus</i> (Kessler, 1857)	Gobiidae	Racer goby	LC	Diadromous	76.2 (33-100)	<b>64.9</b> (21-100)
<i>Knipowitschia longecaudata</i> (Kessler, 1877)	Gobiidae	Longtail dwarf goby	LC	Diadromous	73.1 (46-100)	<b>63.8</b> (28-100)
<i>Neogobius fluviatilis</i> (Pallas, 1814)	Gobiidae	Monkey goby	LC	Diadromous	78.4 (33-100)	<b>64.1</b> (21-100)
<i>Ponticola constructor</i> (Nordmann, 1840)	Gobiidae	Caucasian goby	LC	Potamodromous	87.5 (33-100)	80.7 (21-100)
<i>Ponticola syrman</i> (Nordmann, 1840)	Gobiidae	Syrman goby	LC	Diadromous	73.5 (58-100)	<b>61.2</b> (21-100)
<i>Gobio artvinicus</i> Turan, Japoshvili, Aksu & Bektaş, 2016	Gobionidae	Gudgeon	NE*	Resident	86.5 (46-100)	79.1 (28-100)
<i>Gobio caucasicus</i> Kamensky, 1901	Gobionidae	Colchic gudgeon	LC*	Resident	90.8 (33-100)	86.5 (21-100)
<i>Abramis brama</i> (Linnaeus, 1758)	Leuciscidae	Freshwater bream	LC	Potamodromous	76.2 (33-100)	<b>64.9</b> (21-100)

<i>Alburnoides fasciatus</i> (Nordmann, 1840)	Leuciscidae	Transcaucasian spirin	LC*	Potamodromous	88.7 (33-100)	83.5 (21-100)
<i>Alburnus alburnus</i> (Linnaeus, 1758)	Leuciscidae	Common bleak	LC	Potamodromous	79.7 (33-100)	<b>67.4</b> (21-100)
<i>Alburnus derjugini</i> Berg, 1923	Leuciscidae	Georgian shemaya	LC	Resident	86.3 (15-100)	83.8 (13-100)
<i>Petroleuciscus borysthenicus</i> (Kessler, 1859)	Leuciscidae	Dnieper chub	LC	Resident	86.1 (33-100)	79.6 (21-100)
<i>Phoxinus colchicus</i> Berg, 1910	Leuciscidae	Minnow	LC*	Resident	88.5 (15-100)	86.0 (13-100)
<i>Squalius orientalis</i> Heckel, 1847	Leuciscidae	Oriental Chub	NE*	Potamodromous	87.0 (33-100)	79.4 (21-100)
<i>Vimba vimba</i> (Linnaeus, 1758)	Leuciscidae	Vimba bream	LC	Diadromous	79.8 (15-100)	75.5 (13-100)
<i>Oxynoemacheilus cemali</i> Turan, Kaya, Kalayci, Bayçelebi & Aksu, 2019	Nemacheilidae	Stone loach	NE	Resident	<b>67.1 (46-100)</b>	<b>60.9</b> (28-100)
<i>Oxynoemacheilus veyselorum</i> Cicek, Eagderi & Sungur, 2018	Nemacheilidae	Stone loach	NE*	Resident	80.4 (33-100)	<b>66.1</b> (21-100)
<i>Perca fluviatilis</i> Linnaeus, 1758	Percidae	European perch	LC	Diadromous	90.0 (15-100)	88.3 (13-100)
<i>Sander lucioperca</i> (Linnaeus, 1758)	Percidae	Pike-perch	LC	Potamodromous	84.4 (33-100)	73.0 (21-100)
<i>Lampetra ninae</i> Naseka, Tuniyev & Renaud, 2009*	Petromyzontidae	Western transcaucasian lamprey	NT*	Diadromous	92.9 (33-100)	89.5 (21-100)
<i>Salmo labrax</i> Pallas, 1814	Salmonidae	Black Sea salmon	LC	Diadromous	90.8 (33-100)	86.0(21-100)
<i>Salmo rizeensis</i> Turan, Kottelat & Engin, 2010	Salmonidae	Rize trout	LC	Potamodromous	87.7 (15-100)	85.5 (13-100)
<i>Silurus glanis</i> Linnaeus, 1758	Siluridae	Wels catfish	LC	Resident	89.3 (15-100)	87.4 (13-100)

## Supplementary material

Supplementary Table 1. Fish species recorded in western Georgia (Black Sea drainages), the respective average DCI estimates (range inside parenthesis) inside their distribution range, and the basin IDs where they were recorded. A map linking all these basins IDs with their names and locations is provided in the Supplementary Figure 1.

Species	DCI present	DCI future	Basins IDs
<i>Abramis brama</i>	76.2 (33-100)	64.9 (21-100)	32, 33, 34, 39, 44, 46
<i>Acipenser gueldenstaedtii</i>	79.6 (33-100)	67.3 (21-100)	7, 24, 26, 39, 42, 43, 44, 55
<i>Acipenser nudiventris</i>	48.9 (15-100)	47 (13-100)	39, 44, 45, 55
<i>Acipenser persicus</i>	75.1 (33-100)	51.8 (21-100)	39, 42, 44, 45, 48
<i>Acipenser stellatus</i>	76.7 (33-100)	68.2 (21-100)	16, 24, 25, 39, 44, 45, 55
<i>Acipenser sturio</i>	45.5 (33-58)	25.1 (21-29)	39, 44
<i>Alburnoides fasciatus</i>	88.7 (33-100)	83.5 (21-100)	1, 2, 7, 9, 16, 17, 18, 19, 24, 25, 26, 28, 30, 31, 32, 33, 34, 35, 36, 39, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 55
<i>Alburnus alburnus</i>	79.7 (33-100)	67.4 (21-100)	39, 40, 42, 43, 44, 45, 48, 49, 50, 51, 55
<i>Alburnus derjugini</i>	86.3 (15-100)	83.8 (13-100)	1, 2, 3, 7, 9, 10, 12, 16, 17, 18, 19, 23, 24, 25, 30, 31, 32, 33, 34, 35, 36, 39, 41, 42, 43, 44, 45, 46, 48, 50, 52, 55
<i>Anguilla anguilla</i>	88.5 (15-100)	86 (13-100)	19, 23, 24, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55
<i>Babka gymnotrachelus</i>	76.2 (33-100)	64.9 (21-100)	38, 39, 43, 44, 45, 46
<i>Barbus rionicus</i>	92.5 (33-100)	89.1 (21-100)	1, 2, 3, 4, 5, 6, 7, 9, 12, 13, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 38, 39, 41, 42, 43, 44, 45, 46, 48, 49, 50, 51, 52, 53, 54, 55
<i>Capoeta banarescui</i>	14.6 (15-15)	13.4 (13-13)	55

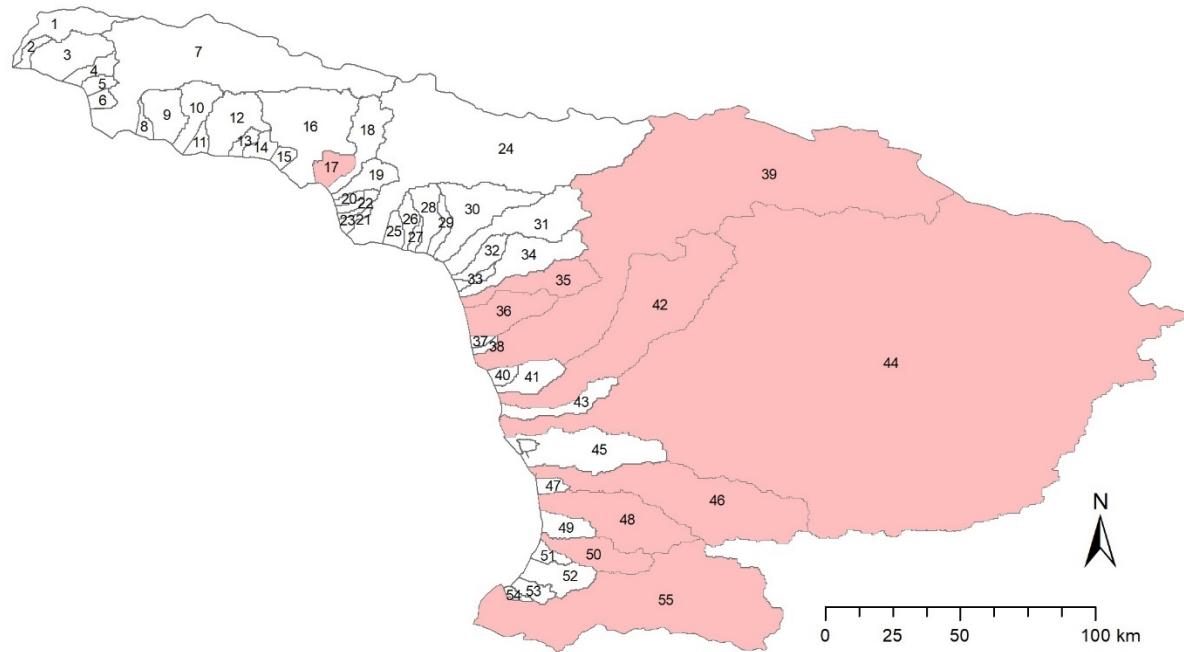
<i>Capoeta sieboldii</i>	90.2 (34-100)	86.9 (29-100)	23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 39, 41, 42, 43, 44, 45, 46, 48, 49
<i>Cobitis satunini</i>	92.5 (33-100)	88.9 (21-100)	1, 2, 3, 4, 5, 6, 7, 9, 10, 12, 16, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 39, 40, 41, 42, 43, 44, 45, 46, 48, 49, 50, 51, 52, 53, 55
<i>Esox lucius</i>	93.1 (33-100)	90 (21-100)	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 55
<i>Gobio artvinicus</i>	86.5 (46-100)	79.1 (28-100)	45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55
<i>Gobio caucasicus</i>	90.8 (33-100)	86.5 (21-100)	1, 2, 3, 7, 9, 10, 11, 12, 16, 17, 18, 19, 20, 24, 25, 27, 28, 29, 30, 31, 32, 34, 35, 36, 39, 40, 41, 42, 43, 44, 45, 46, 48, 49, 50, 51, 52, 55
<i>Huso huso</i>	81.4 (15-100)	78.5 (13-100)	7, 22, 23, 24, 25, 39, 42, 43, 44, 45, 55
<i>Knipowitschia longicaudata</i>	73.1 (46-100)	63.8 (28-100)	24, 55
<i>Lampetra ninae</i>	92.9 (33-100)	89.5 (21-100)	1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 28, 29, 30, 31, 32, 33, 34, 35, 36, 39, 40, 41, 42, 43, 44, 45, 46, 48, 49, 50, 51, 52, 53, 55
<i>Neogobius fluviatilis</i>	78.4 (33-100)	64.1 (21-100)	17, 39, 42, 44, 45, 46, 48, 49, 50, 51
<i>Oxynoemacheilus cemali</i>	67.1 (46-100)	60.9 (28-100)	50, 51, 55
<i>Oxynoemacheilus veyselorum</i>	80.4 (33-100)	66.1 (21-100)	36, 39, 42, 43, 44, 45, 46, 47, 48, 49

<i>Perca fluviatilis</i>	90 (15-100)	88.3 (13-100)	1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 12, 13, 14, 16, 17, 18, 19, 20, 21, 22, 23, 24, 30, 31, 32, 33, 34, 35, 36, 39, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 55
<i>Petroleuciscus borystenicus</i>	86.1 (33-100)	79.6 (21-100)	7, 9, 24, 33, 34, 39, 40, 41, 42, 43, 44, 45, 50, 51, 55
<i>Phoxinus colchicus</i>	88.5 (15-100)	86 (13-100)	1, 2, 3, 9, 10, 19, 20, 24, 25, 29, 30, 31, 32, 33, 34, 35, 36, 39, 41, 42, 43, 44, 45, 46, 48, 49, 50, 51, 52, 54, 55
<i>Ponticola constructor</i>	87.5 (33-100)	80.7 (21-100)	18, 19, 24, 25, 26, 28, 30, 31, 32, 33, 34, 35, 39, 42, 43, 44, 45, 46, 48, 49, 50, 51, 54, 55
<i>Ponticola syrman</i>	73.5 (58-100)	61.2 (21-100)	36, 39, 41
<i>Rhodeus colchicus</i>	93.4 (33-100)	90.2 (21-100)	7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51
<i>Salmo labrax</i>	90.8 (33-100)	86 (21-100)	1, 2, 3, 4, 5, 6, 7, 9, 10, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 30, 31, 34, 35, 39, 42, 44, 46, 47, 48, 49, 50, 51, 52, 55
<i>Salmo rizeensis</i>	87.7 (15-100)	85.5 (13-100)	1, 2, 3, 4, 5, 6, 7, 9, 10, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 30, 31, 34, 35, 39, 42, 44, 46, 47, 48, 49, 50, 51, 52, 55
<i>Sander lucioperca</i>	84.4 (33-100)	73 (21-100)	6, 7, 39, 40, 42, 44, 45
<i>Silurus glanis</i>	89.3 (15-100)	87.4 (13-100)	9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 39, 40, 41, 42, 43, 44, 45, 46, 48, 49, 50, 51, 55

<i>Squalius orientalis</i>	87 (33-100)	79.4 (21-100)	6, 7, 24, 25, 31, 32, 33, 34, 35, 39, 42, 44, 45, 46, 47, 48, 49, 50, 51
<i>Vimba vimba</i>	79.8 (15-100)	75.5 (13-100)	7, 16, 17, 24, 39, 42, 43, 44, 45, 46, 48, 49, 50, 51, 52, 53, 54, 55

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Supplementary Figure 1. Map to of the western Georgia basins with their respective basin IDs. The basins with existing, planned and/or proposed hydropower dams are displayed in pink. The remaining ones are in white.



- |                 |                |                    |                          |
|-----------------|----------------|--------------------|--------------------------|
| 1. Psou-Pkhista | 15. Shitskvara | 29. Tskhenistskali | 43. Tsivi                |
| 2. Lapsta       | 16. Gumista    | 30. Mokva          | 44. Rioni                |
| 3. Khashupse    | 17. Basla      | 31. Ghalidzga      | 45. Pichori-Tkhorina     |
| 4. Zhvaviakvara | 18. Kelasuri   | 32. Anaria         | 46. Supsa                |
| 5. Gagripshi    | 19. Machara    | 33. Okhurei        | 47. Sepa                 |
| 6. Kolkhida     | 20. Agudzera   | 34. Okhumi         | 48. Natanebi             |
| 7. Bzipi        | 21. Bogapsta   | 35. Ersitskali     | 49. Choloki              |
| 8. Miusera      | 22. Pshapi     | 36. Gagida         | 50. Kintrishi            |
| 9. Mchishta     | 23. Skurcha    | 37. Kvadiash-Gali  | 51. Dekhva               |
| 10. Khipsta     | 24. Kodori     | 38. Ghele-Burgazi  | 52. Chakvi-Korolostskali |
| 11. Gudau       | 25. Tabaghuri  | 39. Enguri         | 53. Kuba-tskali          |
| 12. Aapsta      | 26. Chasha     | 40. Bui            | 54. Bartskhana           |
| 13. Tskvara     | 27. Toumishi   | 41. Churia         | 55. Chorokhi             |
| 14. Psirtska    | 28. Dghamishi  | 42. Khobistskali   |                          |



Supplementary Table 2. Fish species richness and DCI estimates for the 10 basins that have existing, planned and proposed hydropower dams. Species richness is presented for all species together and separated by species' migratory behavior – diadromous (Diadro), potamodromous (Potamo) and residents. Both DCId and DCIp estimates are provided for five different scenarios: Pre-soviet (1920), post-soviet (1991), current with all existing dams (by 2021), and two future projections that include all the planned dams and all the proposed dams.

Basin ID	Basin Name	Fish species richness				DCId					DCIp				
		All	Diadro	Potamo	Residents	Pre-soviet	Post-soviet	Existing	Planned	Proposed	Pre-soviet	Post-soviet	Existing	Planned	Proposed
17	Basla	13	5	2	6	100.0	16.5	16.5	16.5	16.5	100.0	88.0	88.0	88.0	88.0
35	Eristskali	17	4	5	8	100.0	47.0	47.0	47.0	47.0	100.0	56.4	56.4	56.4	56.4
36	Galidzga	15	4	2	9	100.0	77.1	77.1	77.1	77.1	100.0	62.6	62.6	62.6	62.6
39	Enguri	32	14	8	10	100.0	34.5	34.0	29.2	28.8	100.0	64.4	58.0	23.1	20.9
42	Khobistskali	26	9	7	10	100.0	100.0	100.0	81.5	76.1	100.0	100.0	100.0	70.6	60.6
44	Rioni	31	13	8	10	99.9	46.8	46.7	46.3	45.7	99.8	36.3	33.0	31.3	29.3
46	Supsa	23	7	6	10	100.0	100.0	79.4	60.8	58.7	100.0	100.0	66.1	41.8	39.1
48	Natanebi	23	7	6	10	100.0	91.4	91.4	91.4	66.4	100.0	84.4	84.4	84.4	48.1
50	Kintrishi	22	6	5	11	100.0	100.0	53.6	53.6	53.6	100.0	100.0	55.0	55.0	55.0
55	Chorokhi	25	10	4	11	100.0	100.0	14.6	14.4	13.4	100.0	100.0	46.1	36.7	27.5