

### **Poster**

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10.1145/3548606.3563531

**Publication date** 

**Document Version** Final published version

Published in

CCS 2022 - Proceedings of the 2022 ACM SIGSAC Conference on Computer and Communications Security

Citation (APA)

Xu, J., & Picek, S. (2022). Poster: Clean-label Backdoor Attack on Graph Neural Networks. In CCS 2022 -Proceedings of the 2022 ACM SIGSAC Conference on Computer and Communications Security (pp. 3491-3493). (Proceedings of the ACM Conference on Computer and Communications Security). Association for Computing Machinery (ACM). https://doi.org/10.1145/3548606.3563531

To cite this publication, please use the final published version (if applicable). Please check the document version above.

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# Poster: Clean-label Backdoor Attack on Graph Neural Networks

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#### **ABSTRACT**

Graph Neural Networks (GNNs) have achieved impressive results in various graph learning tasks. They have found their way into many applications, such as fraud detection, molecular property prediction, or knowledge graph reasoning. However, GNNs have been recently demonstrated to be vulnerable to backdoor attacks. In this work, we explore a new kind of backdoor attack, i.e., a cleanlabel backdoor attack, on GNNs. Unlike prior backdoor attacks on GNNs in which the adversary can introduce arbitrary, often clearly mislabeled, inputs to the training set, in a clean-label backdoor attack, the resulting poisoned inputs appear to be consistent with their label and thus are less likely to be filtered as outliers. The initial experimental results illustrate that the adversary can achieve a high attack success rate (up to 98.47%) with a clean-label backdoor attack on GNNs for the graph classification task. We hope our work will raise awareness of this attack and inspire novel defenses against

#### **CCS CONCEPTS**

 Security and privacy; • Computing methodologies → Machine learning;

#### **KEYWORDS**

Backdoor Attacks; Graph Neural Networks; Graph Classification

#### **ACM Reference Format:**

Jing Xu and Stjepan Picek. 2022. Poster: Clean-label Backdoor Attack on Graph Neural Networks. In Proceedings of the 2022 ACM SIGSAC Conference on Computer and Communications Security (CCS '22), November 7-11, 2022, Los Angeles, CA, USA. ACM, New York, NY, USA, 3 pages. https://doi.org/10. 1145/3548606.3563531

#### **INTRODUCTION**

Graph Neural Networks (GNNs) have made tremendous progress in various domains, e.g., drug design [8], fake news detection in social media [15], recommendation system [9], and even financial sector [7]. However, similar to Convolutional Neural Networks (CNNs), it has been demonstrated that GNNs are vulnerable to backdoor attacks. For instance, in a Bitcoin transaction ego network [4], where nodes are the transactions, and the edge between two nodes indicates the flow of Bitcoin from one transaction to

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ACM ISBN 978-1-4503-9450-5/22/11. https://doi.org/10.1145/3548606.3563531

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another, the adversary can attack the GNNs to classify an illegal transaction as a benign one.

Like prior backdoor attacks on CNNs, recent studies about backdoor attacks on GNNs assume the adversary can often introduce mislabeled inputs to the training dataset [11, 12, 16]. Specifically, the attacker modifies the training dataset by injecting a backdoor trigger into some training samples and relabeling these samples to the chosen target label. Then the backdoored neural network classifier will output the attacker-chosen label when a trigger is injected into a testing sample.

However, it has been demonstrated for CNNs that even a fairly simple filtering process will detect the poisoned samples as outliers [6]. More importantly, any subsequent human inspection will deem these inputs suspicious and thus potentially reveal the attack [6]. To make the resulting poisoned inputs appear consistent with their labels so that it is more difficult to detect the poisoned inputs, a clean-label backdoor attack was proposed [6]. In the cleanlabel backdoor attack, the adversary only poisons inputs of the target class without changing the true labels. The backdoored model aims to predict the testing sample with a trigger into the target

Although graphs are difficult to visualize directly for humans, unlike images and texts [14], there are still some straightforward methods to detect poisoned graph samples. For instance, we can apply the GNN prediction explanation tool, e.g., GNNExplainer [14], to visualize semantically relevant graph structures that are interpretable for humans. Specifically, we first get explanation subgraphs for each class, and then for each graph sample, check whether it contains the corresponding class explanation subgraphs. If a graph sample in a specific class does not contain the corresponding class explanation subgraphs, we can consider it an outlier. Therefore, it is also crucial to study the clean-label backdoor attacks on GNNs. So far, clean-label backdoor attacks on deep neural networks have been proposed in various domains, e.g., image classification [6] and video recognition [17]. Still, to the best of our knowledge, there is no work on the clean-label backdoor attacks on GNNs. We aim to bridge this gap. This work explores the clean-label backdoor attack on GNNs. More specifically, we aim to investigate whether using clearly mislabeled graphs is necessary for implementing a backdoor attack on GNNs. Can we carry out such backdoor attacks by insisting that each poisoned graph and its label must be consistent? Our preliminary results show that a high attack success rate can be achieved even with a clean-label backdoor attack on GNNs.

#### **METHODOLOGY** 2

#### 2.1 Problem Formulation

GNNs take a graph G = (V, E, X) as an input, where V, E, X denote nodes, edges, and node attributes, and learn a representation vector (embedding) for each node,  $z_v$  ( $v \in G$ ), or the entire graph,  $z_G$ . Modern GNNs update the representation of a node by aggregating representations of its neighbors. After k iterations of aggregation, a node's representation captures both structure and feature information within its k-hop network neighborhood. For the node classification task, the node representation  $z_v$  is used for prediction. For the graph classification task, the READOUT function pools the node representations for a graph-level representation  $z_G$ . The graph classification task aims to predict the class label(s) for an entire graph using the graph-level representation  $z_G$ .

Given a pre-trained GNN model  $\Phi_o$  and its training dataset  $D_{train} = \{(G_1, y_1), (G_2, y_2), \ldots, (G_n, y_n)\}$  where  $G_i$  and  $y_i$  respectively are the i-th training graph and its true label, the clean-label backdoor attack aims to forge a backdoored GNN  $\Phi_b$  that will misclassify the testing sample with a specific trigger into predetermined labels (i.e., target label  $y_t$ ) without affecting the performance on clean data. We assume the attacker can access the training dataset  $D_{train}$ . Unlike prior works [11, 12, 16], which perform backdoor attacks on GNNs by injecting a trigger into a sampled training dataset and changing their labels to the target label, the attacker of clean-label backdoor attack samples a subset of training dataset with target label and injects trigger into them without changing their labels. Thus, the poisoned samples have plausible labels.

#### 2.2 General Framework

The general framework of a clean-label backdoor attack on GNNs is shown in Figure 1. In the training phase, as presented in Figure 1a, the attacker samples data from the original training dataset in the target class and injects a specific trigger to generate poisoned samples. The resulting poisoned samples are then utilized to backdoor the pre-trained GNN model  $\Phi_o$  to get the backdoored GNN model  $\Phi_b$ . Here, we focus on the subgraph-based backdoor attacks on GNNs for the graph classification task since most graph classification tasks are implemented in GNNs by learning the network structure. The backdoored GNN model is assumed to predict any testing sample (which can be from an untarget class) with a specific trigger into the target class, as shown in Figure 1b.

Specifically, the implementation details of our attack are described in Algorithm 1. The key point is backdoored dataset generation. Here, we adopt the Erdős-Rényi (ER) model [2] to generate trigger  $q_t$  (line 3 in Algorithm 1) as it is fast and more effective than other random graph generation methods [16]. In particular, this model outputs a random graph of s nodes, and the probability of an edge between each pair of nodes in this graph is  $\rho$ . We sample subsets of the original training dataset (with target label) with proportion r, as shown as  $D_{tmp}$ , and the remaining is saved as clean training dataset  $D_{clean}$ . For each sampled graph, we inject a trigger (by the ER model) into it by sampling s nodes from the graph uniformly at random and replacing their connection with that in the trigger graph. Under the setting of a clean-label backdoor attack, the attacker does not re-label the sampled data. The backdoored dataset comprises the dataset with trigger  $D_{trigger}$  and the remaining clean training dataset  $D_{clean}$ .

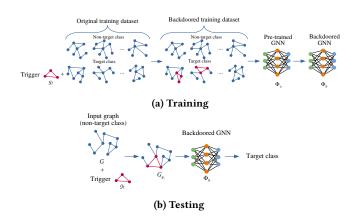


Figure 1: Clean-label backdoor attack framework.

**Algorithm 1:** Clean-label backdoor attack for the graph classification task

```
Input: Pre-trained GNN model \Phi_o, Training set
            D_{train} = \{x_i, y_i\}_{i=1}^{S}, Target label y_t \in [0, C)
   Output: Backdoored GNN model \Phi_b
 1 Function CLEAN_LABEL_BACKDOOR_ATTACK():
        D_{trigger} \leftarrow \emptyset
        q_t \leftarrow ER(s, \rho)
        D_{tmp} \leftarrow sample(D_{train}, r, y = y_t)
        D_{clean} = \{ data \in D_{train} : data \notin D_{tmp} \}
 5
        foreach d \in D_{tmp} do
             d[x] = INJECT(d[x], g_t)
             D_{trigger} = D_{trigger} \cup \{d[x], d[y]\}
        end
10 End Function
11 D_{backdoor} = D_{clean} \cup D_{trigger}
12 \Phi_b = Train(\Phi_o, D_{backdoor})
13 return \Phi_b
```

#### 3 EXPERIMENTAL RESULTS

**Datasets.** We perform experiments with two publicly available datasets: (1) MUTAG [1] - structure graphs of the mutagenic and non-mutagenic molecules and (2) NCI1 [5] - chemical compounds screened for activity against non-small cell lung cancer and ovarian cancer cell lines. For each dataset, we randomly sample 80% of the data instances as the training dataset and the rest as the testing dataset.

**Target Models.** We choose GCN [3] and GIN [13] as our target models to be attacked, considering their excellent performance and widespread adoption [8, 10].

**Evaluation.** We use the *attack success rate* (ASR) to evaluate the attack effectiveness. Specifically, we embed each testing data with the specific trigger graph and calculate the ASR of the backdoored GNN model on the poisoned testing dataset. Here, we only embed the testing dataset of the non-target label with a trigger to avoid the influence of the original label. The ASR measures the proportion of trigger-embedded inputs misclassified by the backdoored GNN into the target class  $y_t$  chosen by the adversary. The trigger-embedded

inputs are

$$D_{trigger} = \left\{ (G_{1,g_t}, y_1), (G_{2,g_t}, y_2), \dots, (G_{n,g_t}, y_n) \right\}.$$

Here,  $g_t$  is the graph trigger,  $\{G_{1,g_t}, G_{2,g_t}, \dots, G_{n,g_t}\}$  is the testing dataset embedded with  $g_t$ , and  $y_1, y_2, \dots, y_n$  is the label set.

Formally, ASR is defined as:

$$Attack \, Success \, Rate = \frac{\sum_{i=1}^{n} \mathbb{I}(\Phi_b(G_{i,g_t}) = y_t)}{n},$$

where  $\mathbb I$  is an indicator function and  $\Phi_b$  refers to the backdoored GNN model.

Furthermore, we also use clean accuracy drop (CAD) to evaluate the attack evasiveness. CAD indicates the classification accuracy difference between the original GNN model  $\Phi_o$  and the backdoored GNN model  $\Phi_b$  over the clean testing dataset.

**Results.** We set the number of nodes in the trigger graph to be 20% of the average number of nodes in the dataset. Next, we set the poisoning rate r and edge existing probability  $\rho$  to be 10% and 80%, respectively. We set those parameters following the setting in prior backdoor attacks on GNNs [12, 16]. Table 1 presents the attack results. We can observe that overall, a clean-label backdoor attack can achieve high attack effectiveness for both datasets and models, i.e., with an attack success rate over 84%, especially for the NCI1 dataset with up to 98.47% ASR. It can also be observed that in most cases, a clean-label backdoor attack has a low CAD, i.e., around 1%, which indicates that a clean-label backdoor attack has a negligible impact on the original task of the model.

The results in [6] indicate that only poisoning inputs of the target class (i.e., without changing the true labels) renders the attack ineffective. The authors argued the main reason for the attack's ineffectiveness is that the poisoned samples can be correctly classified by learning a standard classifier, so the backdoor attack is unlikely to be successful since relying on the backdoor trigger is not necessary to classify these inputs correctly. On the contrary, here, we find that without any other improvement, the clean-label backdoor attack is already successful on GNN models. This may be explained since the GNN model predicts the input graph by learning information of specific structure(s), i.e., explanation subgraph(s) [14], in the graph. If a graph is injected with a trigger graph, the GNN model will also try to learn the trigger pattern and add it to the explanation subgraphs. Once the backdoored GNN model is trained, it will output a target label if one of the explanation subgraphs, e.g., trigger graph, appears in the input graph.

Table 1: Attack performance (SD: standard deviation).

Dataset	ASR(%)   CAD(%) Mean (SD)	
	GCN	GIN
MUTAG	87.83(1.03)   0.16(0.03)	84.86(1.68)   2.24(0.15)
NCI1	98.47(1.30)   0.88(0.01)	97.62(2.03)   1.01(0.07)

#### 4 CONCLUSIONS AND FUTURE WORK

The original backdoor attacks on GNNs [11, 12, 16] crucially relies on the addition of arbitrary, most mislabeled inputs into the training set. This raises the risk that the poisoned, mislabeled inputs will

likely be detected by filtering or some GNN explanation tools. We argue that, for a backdoor attack to be insidious, the attacker must not rely on inputs that appear mislabeled. Thus, in this work, we explore a new backdoor attack on GNNs that only poisons inputs of the target class without changing the true labels. Our method leverages the GNN model's redundant learning capability to learn the trigger pattern. Initial experimental evaluations showed that a clean-label backdoor attack could achieve a high attack success rate and low clean accuracy drop. We hope our study highlights the concern of clean-label backdoor attacks on GNNs, which are more insidious.

In future work, we aim to explore our method's effectiveness against simple filtering techniques mentioned in Section 1. Additionally, it will be interesting to compare the attack results of former backdoor attacks and clean-label backdoor attacks on GNNs against possible defenses.

#### 5 ACKNOWLEDGMENTS

This work is supported by the China Scholarship Council (CSC).

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