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RARE METALS

ORIGINAL ARTICLE



Corrosion and passive film characteristics of 3D-printed NiTi shape memory alloys in artificial saliva

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Abstract Electrochemical tests and surface analysis were applied to study the corrosion behavior and passive film characteristics of three-dimensional-printed NiTi shape memory alloys fabricated by laser-powder bed fusion (L-PBF) in artificial saliva at 37 °C. The passivity of L-PBF NiTi shows to be influenced by the process parameters and resulting morphological and physicochemical surface properties. The results show that the defects at the surface of L-PBF NiTi can promote the passivation rate in the early stages of exposure but a slowly formed passive film shows the best corrosion protection. The thickness of the passive film is positively correlated with its corrosion protective performance. The L-PBF NiTi alloy prepared at a linear energy density of 0.2 J·m⁻¹ and volumetric energy density of 56 J·mm⁻³ shows the least defects and best corrosion protection. An outer Ti-rich and inner Ni-rich dense passive film could be also obtained showing higher corrosion resistance.

Keywords NiTi; Laser-powder bed fusion (L-PBF); Passive film; Corrosion resistance; Artificial saliva

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1 Introduction

NiTi shape memory alloys (SMA) have been widely used in the field of clinical stomatology, such as arch wire [1, 2], bracket in orthodontic treatments [3] and root canal files [4]. Human saliva is a variable solution containing a variety of electrolyte constituents. This and many other factors such as (local) pH, microbial activity and mechanical loads in saliva could all affect the corrosion process of NiTi-SMA [1-5]. Hence, depending on the electrolyte conditions, NiTi-SMA may be subjected to corrosion through electrochemical activity in human salivary and human body fluid environment. Also, the release of Ni ions may cause allergic reactions and other adverse effects in human body [6–8].

The corrosion resistance of NiTi-SMA in saliva is mainly related to its passive film, which can be spontaneously formed in air and electrolytes with a thickness of 2–10 nm mainly containing TiO₂ protecting the underlying substrate from corrosion [9, 10]. However, microorganisms, food composition, pH and stress may reduce the stability of the passive film, resulting in increased Ni ion release rate and clinical symptoms [11]. Through electrochemical testing, Mirjalili et al. [12] found that no obvious pitting corrosion could be observed on NiTi-SMA in artificial saliva, in contrast with obvious corrosion pit formation in SS304 stainless steel. A pre-passivation treatment could improve the corrosion resistance of NiTi-SMA in fluoride-containing artificial saliva. Mocnik et al. [13] also showed that the decrease in pH and the increase in fluoride concentration in artificial saliva could both reduce the corrosion resistance of NiTi-SMA. Masjedi et al. [14] analyzed the corrosion data of NiTi-SMA arch wire used by persons of different gender and age for various times through double-blind parallel randomized clinical trial. The



results showed that the Ni ions content of NiTi-SMA in saliva increased from (10.46 \pm 0.77) to (11.078 \pm 0.81) $\mu g \cdot L^{-1}$ after 2 months of immersion, showing a significant statistical difference. Age and gender had no significant correlation with the Ni ion release rate. The statistical differences of NiTi-SMA, Cu-NiTi-SMA and epoxy-NiTi-SMA were (0.83 \pm 0.14), (0.65 \pm 0.10) and (0.39 \pm 0.11) $\mu g \cdot L^{-1}$, showing that using epoxy-NiTi-SMA could reduce the Ni ion release rate.

Currently, the applicability of intricately shaped NiTi-SMA is severely limited due to its poor manufacturability [5]. In recent years, with the development of three-dimensional (3D)-printing (also known as additive manufacturing) technology, the challenges and drawbacks of traditional subtractive manufacturing can be effectively avoided [15-17]. Khoo et al. [18] reviewed the recent progress of NiTi-SMA manufactured by selective laser melting and found that the preparation of NiTi-SMA by 3D printing was more challenging than that of the traditional process. Marattukalam et al. [19] studied the influence of laser power on the corrosion rate of NiTi-SMA in Ring's solution and found that the corrosion rate decreased with the increase in laser power. Grain boundaries of 3D-printed NiTi-SMA showed the weakest corrosion resistance [15, 19], the corrosion resistance of the alloy could be greatly improved by the reduction of the number of grain boundaries per unit of volume.

In this work, corrosion properties and passive film characteristics of the three NiTi-SMA prepared by laser-powder bed fusion (L-PBF NiTi) with various processing parameters (laser power, scanning velocity, hatch distance and layer thickness) were studied. The corrosion properties and passivity of three L-PBF NiTi in artificial saliva were systematically studied by means of electrochemical techniques to identify the passive film properties for different L-PBF manufacturing process conditions and combined with surface analysis to further determine the passive film characteristics.

2 Experimental

2.1 Material

NiTi-SMA specimens with same Ni:Ti atomic number ratio were produced by laser-powder bed fusion using the process parameters shown in Table 1. In our previous study, L-PBF NiTi-SMA with various types of defects (cracks, keyhole induced porosities and free defect) were fabricated by applying different sets of processing parameters (laser power, scanning velocity, hatch distance and layer thickness) [20]. To estimate co-effects of different processing parameter combinations on single laser bead

Table 1 L-PBF manufacturing parameters of NiTi

Sample	A2	A4	A6
Laser power / W	250	250	250
Scanning velocity / (mm·s ⁻¹)	1250	800	500
Hatch distance / m	120	120	140
Layer thickness / m	30	30	60
Laser beam size / m	80	80	80
Linear energy density / (J⋅mm ⁻¹)	0.2	0.3	0.5
Volumetric energy density / $(J \cdot mm^{-3})$	56	87	60

and component, two metrics of linear energy density (E_1 , J·mm⁻¹) and volumetric energy density (E_v , J·mm⁻³) were used. The definition of E_1 is expressed in Eq. (1):

$$E_1 = \frac{P}{v} \tag{1}$$

and the $E_{\rm v}$ is defined by Eq. (2):

$$E_{\rm v} = \frac{P}{v \times h \times t} \tag{2}$$

where P is the laser power (W), v is the scanning velocity (mm·s⁻¹), h is hatch distance (μ m) and t is layer thickness (μ m). To maintain consistency with our previous work, the same sample groups were used in this work, i.e., A2 (56 J·mm⁻³), A4 (87 J·mm⁻³) and A6 (60 J·mm⁻³) [20]. The printed samples have a cylindrical shape with a dimension of Φ 6 mm \times 10 mm. For the electrochemical experiments, the samples were cut in sheets of Φ 6 mm \times 3 mm, and for the surface analysis, the dimension was Φ 6 mm \times 2 mm. Before the experiments, all samples were polished from grit *200 to *2000 step by step with SiC sandpaper, cleaned and dried with alcohol and deionized water.

2.2 Electrochemical characterization

The one circular side of the electrochemical sample was connected with a conductor and conductive adhesive, and the rest was sealed with epoxy resin to retain a working area of 0.28 cm². The standard three-electrode cell was used for the electrochemical tests. The cell consisted of a platinum plate (10 cm²) as the counter electrode, a saturated calomel electrode (SCE) as the reference and the samples as the working electrode. Experiments were performed on a Biologic VMP3 multi-channel electrochemical workstation.

The electrolyte used for the electrochemical experiments was the modified Fusayama artificial saliva with the pH of approximately 7 [6, 7, 13]. This solution consisted of a mix of KCl (400 $\text{mg}\cdot\text{L}^{-1}$), NaCl (400 $\text{mg}\cdot\text{L}^{-1}$), CaCl₂·2H₂O (795 $\text{mg}\cdot\text{L}^{-1}$), KSCN (300 $\text{mg}\cdot\text{L}^{-1}$),



 $Na_2S \cdot 9H_2O$ (5 mg·L⁻¹), $NaH_2PO_4 \cdot H_2O$ (690 mg·L⁻¹) and urea (1000 mg·L⁻¹). The solution was prepared using analytical pure chemical reagent and deionized water. The volume of the artificial saliva for electrochemical test was 500 ml, and the pH was monitored every day, if the pH value changed beyond the range, a fresh solution would be replaced.

The open circuit potential (OCP) was continuously monitored for 168 h. The scanning range of potentiodynamic polarization curve was from the cathodic (-250 mV vs. OCP)to the anodic range at a scan rate of 1 mV·s⁻¹; the scanning stopped when the anode current density exceeded 1 mA·cm⁻². The cyclic voltammetry (CV) test was carried out in three potential ranges: -2.0-2.0, -1.5-1.5 and -1.0-1.0 V (vs. SCE), the initial positive scanning was from the negative potential and then back to the initial potential, a total of 5 cycles were carried out with a scanning rate of 100 mV·s⁻¹. Electrochemical impedance spectroscopy (EIS) was carried out at OCP conditions. The frequency range was 100 kHz-10 mHz, the sine wave signal amplitude was 10 mV. The data were analyzed and fitted by using the ZsimpWin 3.5 software. The test frequency in the Mott-Schottky analysis was fixed at 1 kHz, the scan rate was 50 mV·s⁻¹ and the scanning potential range was -1.0 to +1.5 V (vs. SCE). All the electrochemical measurements were performed at least three times, and the representative results were given in this work. The electrolyte temperature for all electrochemical measurements was controlled at (37 ± 0.5) °C by a thermostatic electric water bath.

2.3 Auger electron spectroscopy measurements

The through-thickness passive film composition of three L-PBF NiTi alloys after immersion in artificial saliva for 168 h was analyzed by Auger electron spectroscopy (AES). The nano scanning Auger system model PHI-700 (Ulvac-PHI, Japan) was used. The detection was based on general principles of AES analysis methods (GB/T 26,533—2011). A coaxial electron gun and cylindrical mirror analyzer (CMA) were used. The beam voltage was 5 kV, and the energy resolution was 1‰. The incident angle was 30°, and the vacuum degree of the analysis chamber was better than 5.1×10^{-12} MPa. The passive films were etched by Ar⁺ ions with a Φ 100 nm spot to obtain the depth profiles, the sputtering rate was 1 nm·min⁻¹, determined by the thermal oxidation of a SiO₂/Si standard.

2.4 X-ray photoelectron spectroscopy measurements

The passive film compositions of three L-PBF NiTi alloys after immersion in artificial saliva for 168 h were analyzed by X-ray photoelectron spectroscopy (XPS). In the test, the

monochromator was Al Kα, the sensitivity was 100 kilocycles per second (Kcps), the energy spectrum scanning range was 0–1350 eV, the wide and narrow scanning intervals were 1 and 0.1 eV, respectively. The energy spectrum was calibrated by C 1s (285.0 eV). Element type and content were analyzed by comparison with standard element spectra (Perkin-Elmer's XPS Data Sheet and XPS International Inc. website). Through analysis of the outer and inner passive film composition of L-PBF NiTi, the differences in composition and structure of their respective passive film were further studied. XPSPeak 4.1 software was used to analyze data by Gauss–Newton fitting.

2.5 Ni ion release test

The Ni ion release rate of three L-PBF NiTi alloys after immersion in artificial saliva for 168 h was determined by an inductively coupled plasma mass spectrometer (ICP-MS). During the immersion, all samples were sealed to an exposed area of 0.28 cm² and immersed in 100-ml artificial saliva. The Ni ion concentration was measured by an ICP-MS (NexION 350D, USA) system, the corresponding wavelength of Ni was 233.7 nm.

3 Results and discussion

3.1 Microstructure and surface morphology

Microstructures of L-PBF NiTi fabricated with various energy densities and defects are shown in Fig. 1. The defect-free A2 sample shows densely packed laser beads and a conduction mode melt pool shape from the crosssectional view along the building direction (Fig. 1a). As shown in the enlarged zone of A2 (Fig. 1d), columnar grains grow along the building direction and can extend beyond melt pool boundaries, indicating a directional thermal gradient and epitaxial grain growth. With increasing linear energy density (E_1) from 0.2 to 0.3 J·mm⁻¹ (Table 1), deeper melt pools and cracks formed in the A4 sample are observed (Fig. 1b and e). It is noted that cracks propagate along the building direction. Considering the columnar grain growth along building direction in L-PBF NiTi, the crack formation should be attributed to intergranular cracking induced by thermal shrinkage. Further increase in E_1 to 0.5 J·mm⁻¹ for the A6 sample results in even deeper melt pools with keyhole mode. Due to the large depth-to-width ratio of the melt pool in A6 sample, melt pool fluid is unstable and can easily collapse to induce gas pores or porosities in the bottom of the melt pool (Fig. 1c and f) [21]. More details about melt pool shape evolution and defect formation mechanism can be found in our previous work [20].



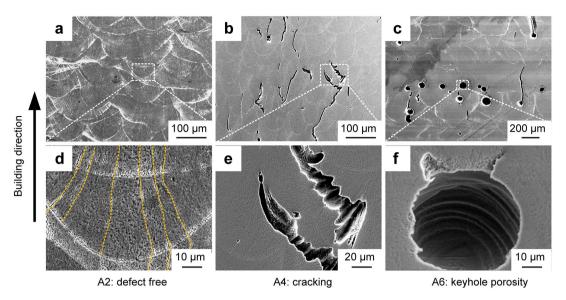


Fig. 1 Optical microscope (OM) images of L-PBF NiTi fabricated with different structural defects: **a**, **d** A2 (56 J·mm⁻³), **b**, **e** A4 (87 J·mm⁻³) and **c**, **f** A6 (60 J·mm⁻³)

However, its effects on electrochemical behavior remain to be investigated in this study.

3.2 Electrochemical analysis

3.2.1 Open circuit potential

The formation rate of a passive film in the early stages of exposure can be characterized by the OCP variation [22, 23]. Figure 2a shows the OCPs of three L-PBF NiTi after immersion in artificial saliva for 30 min. It can be seen that the OCPs of the L-PBF NiTi increase rapidly for all the samples, but the rate of increase is rather different for different samples. The formation rate of the L-PBF NiTi passive films can be determined [23]:

$$E = \text{const.} + 2.303\delta^{-}/\text{Alog}t \tag{3}$$

where δ^- is the passive film growth rate at lgt, and A is a constant which can be calculated by the following equation [23]:

$$A = \frac{nF}{RT} \alpha \delta' \tag{4}$$

where α is the charge transfer coefficient ($\alpha = 0.5$) [23], n is the valence state (n = 2), F is Faraday's constant (9.65 × 10⁴ C·mol⁻¹), R is the gas constant (8.314 J·mol⁻¹·K⁻¹), T is the thermodynamic temperature and δ' represents the energy accumulation width during charge transfer ($\delta' = 1$). Earlier studies reported that the passive film of NiTi was mainly TiO₂ [8, 9], which was in line with our own AES and XPS results reported later in this study. Thus, we may assume that the thickness of L-PBF NiTi passive film in artificial saliva increases mainly

through Ti^{4+} diffusion to the interface between Ti and oxygen. The value of n in Eq. (2) is 4, and the calculated A is 78 nm·V⁻¹. Then, the OCP vs. t could be transformed into V vs. $1/\lg t$ (see Fig. 2b); hence, the early stage passive film growth rate δ^- of three L-PBF NiTi can be calculated by Eq. (1). Figure 2c shows that the early stage passive film formation rate from high to low is: A6 > A4 > A2; the rate for A6 is about 4 times higher than that of A2 and two times of that of A4.

In order to study the long-term passive film formation process of L-PBF NiTi in artificial saliva, the OCPs of three L-PBF NiTi were continuously tested in artificial saliva for 168 h, as shown in Fig. 2d. It can be seen that the OCPs of the three alloys increase rapidly at the initial stage of immersion and then rather stabilize. The OCP values for A2 increase from -288 (30 min) to -175 mV (vs. SCE) (6 h) and then slowly stabilize to approx. −156 mV (vs. SCE) after immersion for 12 h. The OCP of A4 increases from -323 (30 min) to -172 mV (vs. SCE) for 6 h immersion and then stabilizes to about -125 mV (vs. SCE) after immersion for 12 h. The OCP of A6 increases from about -277 (30 min) to -96 mV (vs. SCE) after immersion for 6 h and then stabilizes at about -35 mV (vs. SCE) (24 h). After immersion for 168 h, the stable OCP values of the three L-PBF NiTi from the positive to negative are according to the order A6 > A4 > A2.

3.2.2 Potentiodynamic polarization

The potentiodynamic polarization characteristics of the L-PBF NiTi alloys were analyzed at two different time of immersion, (i) soon after the rapid OCP value increase in the early stages of immersion (0.5 h) and (ii) after



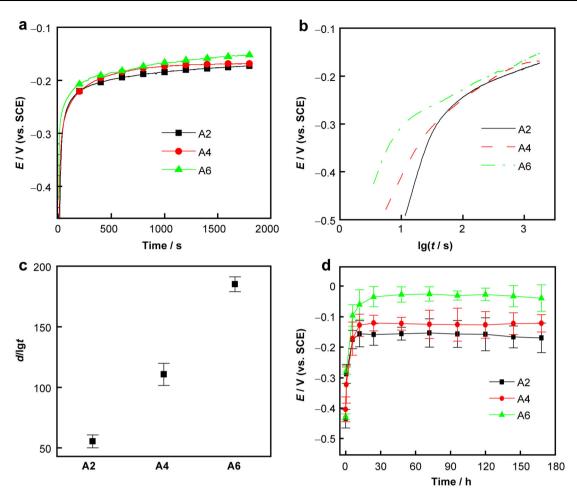


Fig. 2 a OCPs of L-PBF NiTi after immersion in artificial saliva for 1800 s, **b** *E* vs. lg*t* and **c** passive film thickness *d* (nm) vs. lg*t* (s); **d** OCPs of L-PBF NiTi after immersion in artificial saliva for 168 h

immersion for 48 h. Figure 3a–c shows the potentiodynamic polarization curves of L-PBF NiTi immersed in artificial saliva for 0.5 and 48 h. The polarization curves of all three L-PBF NiTi samples immersed for 0.5 and 48 h all show the characteristics of typical passivation with a wide passive region [22]. The anodic polarization curves of L-PBF NiTi enter the passivation region without obvious activation–passivation transition characteristics.

Indicative potential and current density values and slopes deduced from the potentiodynamic polarization curves are listed in Table 2. It can be seen that A2 has a relatively negative corrosion potential ($E_{\rm corr}$), which is consistent with the OCP test results. In order to compare the maintaining passivity ($i_{\rm pass}$), the current density when the anode potential equals 0.60 V (vs. SCE) was used for comparison. The order of the corrosion current density ($i_{\rm corr}$) from low to high is: A6 < A4 < A2 for the 0.5 himmersed and A2 < A4 < A6 for the 48 himmersed samples (see Table 2 and Fig. 3d). The corrosion current density values for all samples decrease significantly as a function of immersion time from 0.5 to 48 h. The limited

corrosion resistance of L-PBF NiTi in the early stages of immersion may be related to the relatively limited extent and integrity of the passive film formation at that stage, which is consistent with the test result of OCPs in Fig. 2d. However, the sequence of the corrosion resistance reverses (A2 > A4 > A6) after 48 h of immersion, which is positively correlated with the initial surface quality (Fig. 1), and A2 shows the lowest and A6 shows the highest corrosion current density. In addition, after 48 h of immersion, A2 shows the lowest passive current density as compared to A4 and A6, indicating higher protectiveness of the passive film formed on A2 as compared to that on A4 and A6 at prolonged immersion time.

3.2.3 Cyclic voltammetry curve

Figure 4 shows the cyclic voltammetry curves of the three L-PBF NiTi alloys immersed in artificial saliva for 30 min. In order to distinguish the possible redox reactions, three scanning potential ranges were selected: -2.0-2.0, -1.5-1.5 and -1.0-1.0 V (vs. SCE). From the wide range



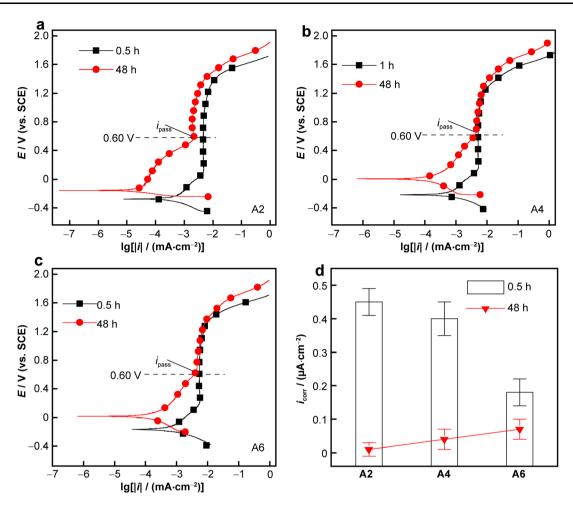


Fig. 3 Potentiodynamic polarization curves of L-PBF NiTi after immersion in artificial saliva for 0.5 and 48 h: **a** A2 (56 J·mm⁻³), **b** A4 (87 J·mm⁻³), **c** A6 (60 J·mm⁻³) and **d** i_{corr}

Table 2 Polarization curve parameter values of L-PBF NiTi after immersion in artificial saliva for 0.5 and 48 h

Sample	E _{corr} / mV (vs. SCE)	i _{corr} / (μA⋅cm ⁻²)	$b_{\rm a}$ / (mV·dec $^{-1}$)	$b_{\rm c}$ / (mV·dec $^{-1}$)	i _{pass} / (μA·cm ⁻²)
A2 (0.5 h)	-276 ± 12	0.45 ± 0.04	275 ± 12	-153 ± 8	5.7 ± 0.5
A2 (48 h)	-154 ± 8	0.01 ± 0.02	315 ± 14	-136 ± 6	2.0 ± 0.3
A4 (0.5 h)	-219 ± 13	0.40 ± 0.05	274 ± 11	-145 ± 7	5.5 ± 0.7
A4 (48 h)	1 ± 7	0.04 ± 0.03	283 ± 13	-149 ± 4	4.7 ± 0.4
A6 (0.5 h)	-175 ± 14	0.18 ± 0.04	272 ± 10	-142 ± 7	5.3 ± 0.5
A6 (48 h)	15 ± 9	0.07 ± 0.03	269 ± 14	-155 ± 9	4.8 ± 0.4

(-2.0–2.0 V (vs. SCE)) scanning curves in Fig. 4a–c, five obvious anode current peaks could be observed in the 1st scanning cycle: a_1 (-1.38 V (vs. SCE)) corresponds to the reaction of Ti to Ti²⁺, a_2 (-1.1 V (vs. SCE)) corresponds to the transition from Ti²⁺ to Ti³⁺ and a_3 (-0.59 V (vs. SCE)) corresponds to the transition from Ti³⁺ to Ti⁴⁺ [24]. The related reactions are as follows [25]:

$$a_1: Ti + H_2O \leftrightarrow TiO + 2H^+ + 2e^- \tag{5}$$

$$a_2: 2TiO + H_2O \leftrightarrow Ti_2O_3 + 2H^+ + 2e^-$$
 (6)

$$a_3: Ti_2O_3 + H_2O \leftrightarrow 2TiO_2 + 2H^+ + 2e^-$$
 (7)

An overlapping anodic current peak (a_4) at about 0.3 V (vs. SCE) could be seen, which corresponds to the transformation process from Ni to Ni²⁺ [26], and the possible reactions of Ni to Ni²⁺ in Cl⁻-containing solution are as follows [27]:



$$a_4:Ni+H_2O \leftrightarrow Ni(H_2O)_{ad} \leftrightarrow Ni(OH)_{ad}+H_{aq}^++e^- \eqno(8)$$

$$\label{eq:ni} \mbox{Ni(H$_2$O)}_{ad} + \mbox{Cl$^-$} \leftrightarrow \mbox{Ni(ClOH$^-$)}_{ad} + \mbox{H$^+$} + \mbox{e}^- \eqno(9)$$

$$\begin{aligned} \text{Ni(OH)}_{\text{ad}} + \text{H}^{+} + \text{e}^{-} &\leftrightarrow \text{Ni}_{\text{ad}}^{2+} + \text{H}_{2}\text{O}_{\text{ad}} + 2\text{e}^{-} \\ &\leftrightarrow \text{Ni(OH)}_{2} \end{aligned} \tag{10}$$

When the potential is positive at 0.6 V (vs. SCE) and triggering an oxygen evolution process, a wide and flat anodic current range a_5 can be observed. Four peaks can be observed in the backward scanning curves, among which c_1 (1.25 V) is the reduction peak corresponding to a_5 , c_2 (-0.91 V) relates to the peak of a_3 , c_3 (-0.74 V) is the reduction peak of a_2 and a_3 (-1.34 V (vs. SCE)) is the

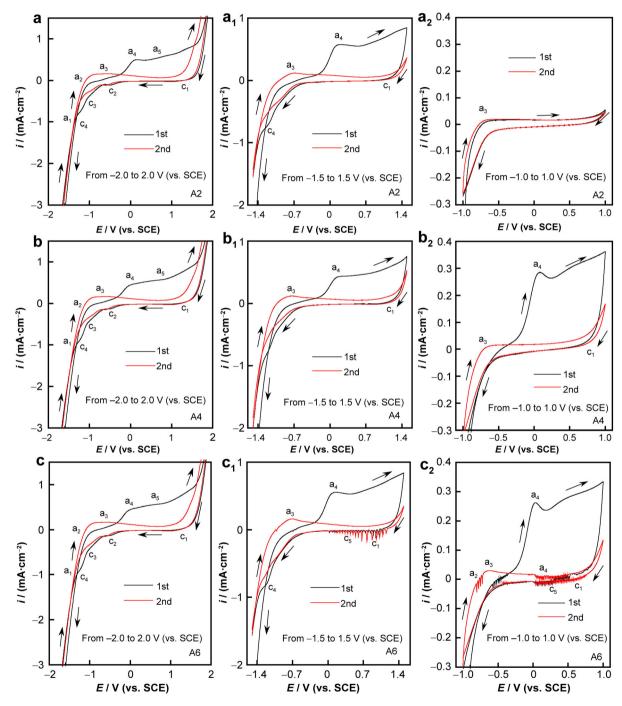


Fig. 4 CV curves of L-PBF NiTi after immersion in artificial saliva for 30 min: \mathbf{a} , $\mathbf{a_1}$, $\mathbf{a_2}$ A2 (56 J·mm⁻³), \mathbf{b} , $\mathbf{b_1}$, $\mathbf{b_2}$ A4 (87 J·mm⁻³) and \mathbf{c} , $\mathbf{c_1}$, $\mathbf{c_2}$ A6 (60 J·mm⁻³)



reduction peak of a₁. The reduction peak of Ni cannot be observed, indicating that Ni is directly dissolved into the solution in the anode scanning and does not reduce in passive film of back scanning. Obvious anodic peak a₃ and its reduction peak c₂ can be observed in the scanning curve of the 2nd cycle, indicating that Ti⁴⁺ oxides are mainly formed, which is consistent with the conclusion that the outer layer of NiTi-SMA is mainly TiO₂ [8, 9].

When the potential range is shortened to -1.5-1.5 V (vs. SCE), an obvious anodic peak a₄ can be seen for A2, A4 and A6 in the 1st scan cycle, but there is no corresponding reduction peak for A2 and A4 in the backward curves. However, for A6, the small reduction peak of c₅ can be seen in both the 1st and 2nd scan cycles, indicating that the stability of Ni in passive film of A6 is reduced. When the potential range is further shortened to -1.0-1.0 V (vs. SCE), no obvious Ni peaks can be observed in the 1st and 2nd scanning of A2. The scanning result of A4 is the same as that of scanned at -1.5-1.5 V (vs. SCE), but the intensity of peak a4 in the 1st cycle increases. In addition to the previous observed Ni peaks (a₄, c₅) at -1.5-1.5 V (vs. SCE) in A6, the anodic scanning peaks of a_2 in the 1st circle and a₃ in the 2nd cycle are also enhanced, indicating that Ti is also unstable in the passive film. In conclusion, the different potential range cyclic voltammetry scanning results show that the stability order of L-PBF NiTi passive films from high to low is: A2 > A4 > A6.

3.2.4 Electrochemical impedance spectroscopy

In order to further study the corrosion resistance and passive film formation process of L-PBF NiTi, EIS measurements at different immersion time (0.5, 6, 12, 24, 48, 72, 96, 120, 148 and 168 h) were performed. Figure 5 shows the representative Nyquist (Fig. 5a-c) and Bode (Fig. 5a₁c₁) plots of L-PBF NiTi immersion in artificial saliva for 0.5, 24 and 168 h. It can be seen from the Nyquist diagram that the semicircle of L-PBF NiTi increases with immersion time. The Bode diagram of A2 shows one capacitance arc immersed for 0.5 h. Subsequently, broad capacitance arcs possibly appears in the low-middle-frequency region $(1 \times 10^2 - 1 \times 10^{-1})$, indicating a superposition of two time constants, and a diffusion impedance with a slope of about 45° in the low-frequency region (1×10^{-1}) 1×10^{-2}). The Bode diagram of A4 shows one capacitance arc immersed at 0.5 hand then a wide capacitance arc (24 h) appears in the low-middle-frequency region $(1 \times 10^2 - 1 \times 10^{-1})$, indicating the superposition of two time constants. After immersion for 168 h, the two capacitance arcs can be clearly observed. The same as A4, the Bode diagram of A6 shows one capacitance arc at early stages of immersion (0.5 h), and then an overlapped broad capacitance arc with two superimposed time constants in the low-middle-frequency region $(1 \times 10^2 - 1 \times 10^{-1})$ can be observed.

The potentiodynamic polarization (Fig. 3) and cyclic voltammetry (Fig. 4) tests demonstrate passive films formation on the three L-PBF NiTi during immersion, but according to the surface morphology analysis in Fig. 1, the initial surface integrity defects on the A4 and A6 samples may affect the passive film formation process. Therefore, we adopt three different equivalent electrical circuit (EEC) models to fit the EIS data. The EEC used for EIS parameter fitting to study the passive behavior of NiTi and related alloys [28–32] is shown in Fig. 6a–c. From the previous OCP (Fig. 2d) and potentiodynamic polarization (Fig. 3) test results, it can be deduced that the passive film formed in the early stage has relatively weak corrosion resistance. EIS of the sample immersed for 0.5 h was fitted with an equivalent circuit with one time constant as shown in Fig. 6a, wherein R_s represents the solution resistance from the reference electrode to the working electrode, $R_{\rm b}$ is the passive film resistance formed in the early stage and Q_b represents the capacitance of the passive film. With the extension of immersion time, a more corrosion resistant passive film will be formed. The two time constants equivalent circuit shown in Fig. 6c was used for A4 and A6 sample with immersion for 6-168 h. Due to the prolonged immersion time, a more corrosion resistant passive film with a dense inner layer and defect-containing outer layer is formed on the alloy surface, $R_{\rm p}$ and $Q_{\rm p}$ represent the defect-containing outer layer resistance and film capacitance, respectively [28], R_b is the inner passive film resistance and Q_b represents the inner passive film capacitance. Since the A2 sample exhibits a diffusion impedance in the low-frequency region (Fig. 5a and a₁) at 6-168 h immersion, an equivalent circuit with a Warburg impedance (W) in series with R_b was adopted (Fig. 6c) [31].

ZsimpWin 3.5 software was applied to fit the EIS results, and the quality of the fitted parameters was evaluated by the Chi-squared value. In general, the capacitance of the double electrode layer is not an ideal capacitance because of the roughness of the electrode surface. The impedance of the double electrode layer (Z_{Qdl}) is related to the angular frequency of the excitation signal, which can be written as follows [33]:

$$Z_{Q_{\rm dl}} = \frac{1}{Y_0(j\omega)^n} \tag{11}$$

where ω is the angular frequency, Y_0 is a constant, j is the symbol of an imaginary number and n is the exponent of the constant phase element. n usually ranges from 0.5 to 1. When n=1, the constant phase element is equivalent to the capacitance, the pit defect and the barrier layer (passive film) constant phase angle element Q_f and Q_b have similar meanings [31, 32].



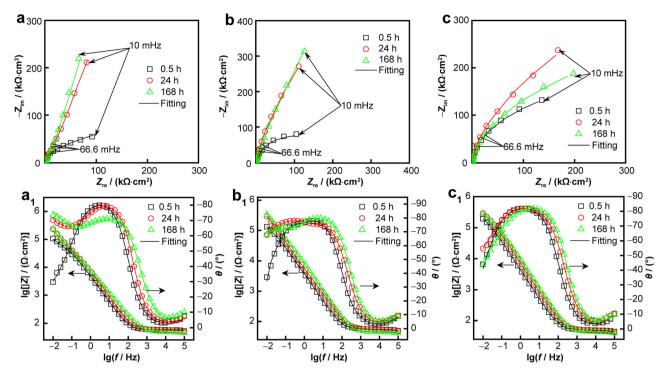


Fig. 5 a-c Nyquist and $\mathbf{a_1}$ - $\mathbf{c_1}$ Bode diagrams of L-PBF NiTi after immersion in artificial saliva for different times: \mathbf{a} , $\mathbf{a_1}$: A2 (56 J·mm⁻³); \mathbf{b} , $\mathbf{b_1}$ A4 (87 J·mm⁻³) and \mathbf{c} , $\mathbf{c_1}$ A6 (60 J·mm⁻³)

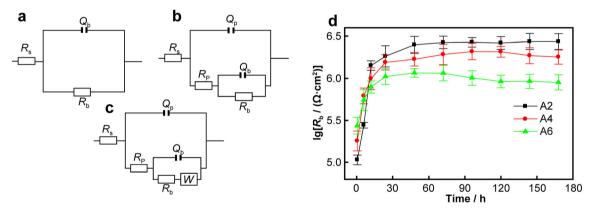


Fig. 6 a Equivalent circuit of immersion for 0.5 h, **b** equivalent circuit of A2 immersion for 6–168 h and **c** equivalent circuit of A4 and A6 immersion for 6–168 h. **d** R_b of L-PBF NiTi after immersion in artificial saliva for different time (0.5, 6, 12, 24, 48, 72, 96, 120, 148 and 168 h)

The Warburg impedance can be written as follows [32]:

$$Z_{\rm w}^{-1} = \sigma \sqrt{j\omega} \tag{12}$$

where σ represents the Warburg capacitance on the basis of the diffusion coefficient and the concentration of oxidized and reduced surface species.

It can be seen from the fitting values in Tables 3–5 that the solution resistance $R_{\rm s}$ changes little, which is in the range of 56.5–44.3 Ω ·cm² and decreases with immersion time, probably related to ion release in the electrolyte. The outer defect-containing passive film resistance value $R_{\rm p}$ (order 1×10^2 – 1×10^4 Ω ·cm²) is much smaller than that

of $R_{\rm b}$ (order $1 \times 10^5 - 1 \times 10^6~\Omega \cdot {\rm cm}^2$). This indicates that the corrosion resistance of the outer layer is smaller, the inner layer has relatively good corrosion resistance, which is consistent with the previous published works [28, 31, 34]. These prior findings all show that the outer layer resistance value is usually within the order of $1 \times 10^2 - 1 \times 10^4~\Omega \cdot {\rm cm}^2$. Figure 6d shows the fitted $R_{\rm b}$ variation with immersion time; the $R_{\rm b}$ is in the following order: A6 > A4 > A2 after 0.5 h immersion, which is consistent with the potentiodynamic polarization curve fitting results (Fig. 3). For 6 h immersion, $R_{\rm b}$ value of A4 exceeds A6 in the order of A4 > A6 > A2. After 12 h



Table 3 EIS parameter fitting values of A2 (56 J⋅mm⁻³) after immersed in artificial saliva for different time (0.5, 6, 12, 24, 48, 72, 96, 120, 148 and 168 h)

Time / R _s /		Q_{f}		R _p /	Q_{b}		$R_{\rm b}$ /	W/	Chi-squared /	
h	(Ω·cm ²)	$\frac{Y_0 /}{(10^{-5} \Omega^{-1} \cdot \text{cm}^{-2} \cdot \text{s}^n)}$	n	(kΩ·cm²)	$\frac{Y_0 /}{(10^{-5} \Omega^{-1} \cdot \text{cm}^{-2} \cdot \text{s}^n)}$	n	$(k\Omega \cdot cm^2)$	$(10^4 \ \Omega \cdot \text{cm}^2 \cdot \text{s}^{-0.5})$	10^{-4}	
0.5	56.7	_	-	_	4.3	0.90	107	_	11	
6	57.2	3.3	0.95	37.1	4.2	0.84	277	2.6	2.5	
12	57.5	2.4	0.93	38.7	2.9	0.81	1422	2.7	3.3	
24	56.5	2.4	0.93	40.8	2.8	0.81	1830	3.0	3.5	
48	55.5	2.4	0.92	29.8	2.7	0.80	2499	3.6	1.6	
72	53.1	2.5	0.90	27.2	2.6	0.75	2669	4.0	1.5	
96	51.6	2.5	0.89	21.2	2.5	0.75	2681	4.2	2.1	
120	50.2	2.5	0.87	22.8	2.4	0.76	2631	4.3	1.9	
144	48.3	2.5	0.86	20.3	2.2	0.95	2739	4.5	5.1	
168	47.2	2.6	0.83	23.1	2.2	0.95	2737	5.3	4.1	

Table 4 EIS parameter fitting values of A4 (87 J⋅mm⁻³) after immersed in artificial saliva for different time (0.5, 6, 12, 24, 48, 72, 96, 120, 148 and 168 h)

Time / R_s /		Q_{f}		$R_{\rm p}$ / $Q_{\rm b}$		$R_{\rm b}$ /		Chi-squared /
h	$(\Omega \cdot \text{cm}^2)$	$\frac{Y_0 /}{(10^{-4} \ \Omega^{-1} \cdot cm^{-2} \cdot s^n)}$	${n}$ $(\dot{\Omega} \cdot \text{cm}^2)$	$\frac{Y_0 /}{(10^{-5} \ \Omega^{-1} \cdot cm^{-2} \cdot s^n)}$	n	$(k\Omega \cdot cm^2)$	10^{-4}	
0.5	55.5	_	-	_	5.5	0.90	180	8.6
6	54.3	3.9	0.89	504	4.9	0.90	617	3.6
12	53.9	3.5	0.74	336	3.7	0.88	989	2.1
24	45.7	4.2	0.83	427	3.5	0.87	1544	2.4
48	46.4	2.3	0.71	483	3.2	0.87	1687	2.2
72	44.7	2.0	0.68	692	3.0	0.87	1921	3.2
96	45.2	2.7	0.71	981	3.0	0.86	2084	1.3
120	46.0	1.6	0.99	1075	2.9	0.86	2066	1.9
144	45.6	0.99	0.99	3689	2.9	0.89	1859	4.3
168	44.9	0.82	0.97	7552	2.8	0.89	1790	4.4

immersion, R_b value of A2 is the largest, exceeding those of A4 and A6, and at this time, the order is A2 > A4 > A6. The Warburg impedance in the low frequency for A2 may be caused by ion migration during the formation of inner passive film, the value of Warburg impedance also increases with immersion time (Table 4), indicating that the effect of impeding ion migration is enhanced [35].

The corrosion resistance of L-PBF NiTi is comparable to that of the as-received base NiTi-SMA in the literature [30, 36–38]. The R_b of as-received NiTi-SMA supplied by manufacturers and under various surface treatments is generally within the range of 1.2×10^6 – $2 \times 10^5 \,\Omega$ ·cm². The R_b of A2, A4 and A6 tested after 168 h is 2.7×10^6 , 1.8×10^6 and $8.9 \times 10^5 \,\Omega$ ·cm², respectively, that is larger than those of NiTi-SMA reported in the literature. The

passive film formed at 0.5 h has a relatively poor corrosion resistance, but the corrosion resistance of A2 is 1.5 and 3.0 times more than that of A4 and A6 after 168 h immersion.

The passive film barrier layer capacitance C_b can be calculated by the following equation [33, 34]:

$$C_{\rm b} = Q_{\rm b}^{1/n} R_{\rm b}^{(1-n)/n} \tag{13}$$

It is assumed that C_b is related to the thickness of passive film [34], such as:

$$C = \varepsilon \varepsilon_0 A / d \tag{14}$$

where ε is the dielectric constant of the film oxide, the typical dielectric constant of TiO₂ is 100 [29] and ε ₀ is the dielectric constant in vacuum, ε ₀ = 8.85 × 10⁻¹² F·m⁻¹. *A* is the effective area, and *d* is the film thickness. Based on

₫ ||

Table 5 EIS parameter fitting values of A6 (60 J·mm ⁻	⁻³) after immersed in artificial saliva for different time (0.5, 6, 12, 24, 48, 72, 96,
120. 148 and 168 h)	

Time / R _s /	Q _f		R _p /	Q_{b}		R _b /	Chi-squared /	
h	` Y	$\frac{Y_0}{(10^{-5} \Omega^{-1} \cdot \text{cm}^{-2} \cdot \text{s}^n)}$	n	(kΩ·cm²)	$Y_0 / (10^{-5} \Omega^{-1} \cdot \text{cm}^{-2} \cdot \text{s}^n)$	n	(kΩ·cm²)	10^{-4}
0.5	50.3	_	_	_	4.3	0.92	273	9.4
6	50.2	9.3	1.00	5.1	4.7	0.90	549	6.1
12	50.1	7.6	1.00	60.2	4.6	0.89	787	5.1
24	49.2	6.0	1.00	65.3	4.4	0.88	1048	6.1
48	48.5	5.7	1.00	72.1	3.8	88.0	1160	5.5
72	48.2	6.3	1.00	74.3	3.4	0.89	1153	4.4
96	47.5	5.8	1.00	76.8	3.3	0.89	1008	3.5
120	46.9	6.4	1.00	76.4	3.1	0.90	915	2.6
144	45.8	6.3	1.00	80.2	3.1	0.90	919	2.3
168	44.3	5.7	0.97	82.3	3.0	0.90	894	5.5

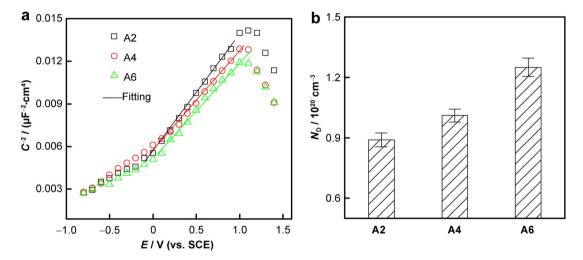


Fig. 7 a Mott-Schottky curves and b N_D of L-PBF NiTi after immersed in artificial saliva for 168 h

the relationship between C and d, the smaller the C, the thicker the passive film. As can be seen from the $Q_{\rm b}$ values in Tables 3–5, the $Q_{\rm b}$ of L-PBF NiTi gradually decreases and tends to stabilize with immersion time, indicating that the thickness of inner passive film gradually thickens and tends to be constant.

3.2.5 Mott-Schottky curve

Based on classical semiconductor theory, Mott–Schottky Eq. (15) can be used to describe the linear relationship between $1/C^2$ and electrode potential [39, 40]. Figure 7a shows the Mott–Schottky curves of the three L-PBF NiTi immersed in artificial saliva for 168 h. When the electrode potential is higher than the flat band potential, the curves are all positive, indicating that the passive films of the L-

PBF NiTi formed in artificial saliva at OCP are n-type semiconductor, which again confirms that the passive film on the NiTi-SMA surface is mainly composed of TiO₂ [29]. The donor carrier density in n-type semiconductors can be calculated based on Eq. (15) [40]:

$$\frac{1}{C^2} = \frac{2}{\varepsilon \varepsilon_0 e N_D} \left(E - E_{fb} - \frac{kT}{e} \right) \tag{15}$$

where C is the space charge layer capacitance of the oxide film; E is applied potential; ε_0 is the vacuum dielectric constant and $\varepsilon_0 = 8.85 \times 10^{-12} \text{ F} \cdot \text{m}^{-1}$; ε is the dielectric constant of the passive film, the typical dielectric constant ε of titanium oxide is 100 [29] and the vacuum dielectric constant ε_0 is $8.85 \times 10^{-12} \text{ F} \cdot \text{m}^{-1}$; ε is the quantity of electrons, $\varepsilon = 1.602 \times 10^{-19} \text{ C}$; N_D is the electron donor concentration; E_{fb} is the flat band potential, which is the voltage intercept corresponding to the straight section of



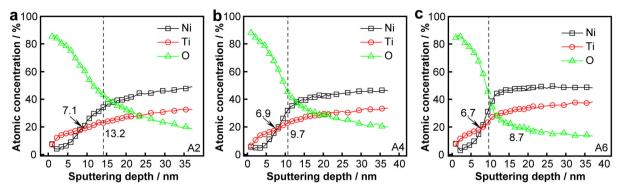


Fig. 8 AES of L-PBF NiTi after immersed in AS solution for 168 h: a A2 (56 J·mm⁻³), b A4 (87 J·mm⁻³) and c A6 (60 J·mm⁻³)

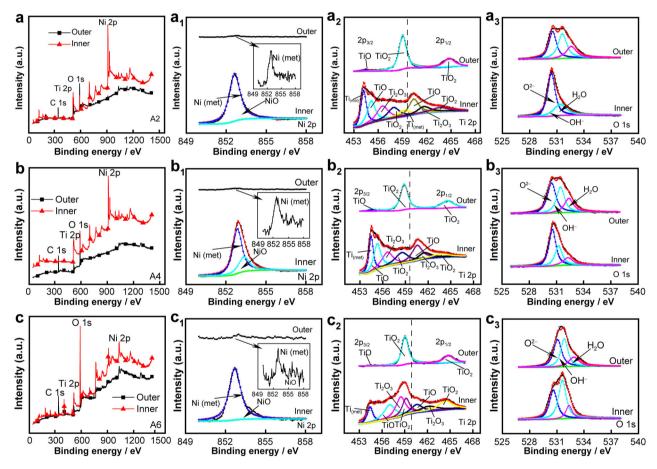


Fig. 9 XPS peaks comparison of L-PBF NiTi after being immersed in artificial saliva for 168 h: $\mathbf{a}-\mathbf{a}_3$: A2 (56 J·mm⁻³); $\mathbf{b}-\mathbf{b}_3$: A4 (87 J·mm⁻³) and $\mathbf{c}-\mathbf{c}_3$: A6 (60 J·mm⁻³)

the M-S curve, k is the Boltzmann constant, $k = 1.38 \times 10^{-23} \text{ J} \cdot \text{K}^{-1}$ and T is the thermodynamic temperature.

As can be seen from the fitting results in Fig. 7b, the passive film defect concentration of the three L-PBF NiTi increases with a reduction of initial surface integrity (Fig. 1), and the average carrier density in the passive films

of A2, A4 and A6 is 0.89×10^{20} , 1.01×10^{20} and 1.25×10^{20} cm⁻³, respectively. This is consistent with the study of the $N_{\rm D}$ of as-received NiTi within the order of 1×10^{20} cm⁻³ [41], the $N_{\rm D}$ of A6 is much smaller than the minimum value (8 × 10^{20} cm⁻³) reported by Qiu et al. [39], which is 1.4 times more than that of the best performing A2.



Table 6 Binding energies (eV) of major oxides peak values of L-PBF NiTi in passive film

Element	Peak	Species/binding energy
Ti	2p _{3/2}	Ti (met)/454.1; TiO/454.6;Ti ₂ O ₃ /456.8; TiO ₂ / 458.8
	2p _{1/2}	Ti (met)/460.1; TiO/460.2;Ti ₂ O ₃ /462.0; TiO ₂ /464.3
Ni	2p _{3/2}	Ni (met)/852.6; NiO/853.7; Ni(OH) ₂ /856.2
0	1s	O ²⁻ /530.2; OH ⁻ /531.8; H ₂ O/533

3.3 AES analysis

The AES results of L-PBF NiTi immersed in artificial saliva for 168 h are shown in Fig. 8. The two distinct-obvious layers can be observed on L-PBF NiTi with Ti rich in the outer layer and Ni rich in the inner layer. The outer layer of the L-PBF NiTi is mainly characteristic of Ti oxide. With the increase in sputtering depth, the content of O decreases rapidly, the content of Ti increases slowly and the content of Ni increases sharply and exceeds Ti at 7.1, 6.9 and 6.7 nm for A2, A4 and A6, respectively, indicating that Ni is mainly enriched in the inner layer of the passive film. The thickness of the passive film is generally at the location where the oxygen content is halved [42], which is 13.2, 9.7 and 8.7 nm for A2, A4 and A6, respectively. The passive film corrosion resistance is positively correlated with its thickness.

3.4 XPS analysis

AES analysis demonstrates that the outer layer of the L-PBF NiTi is mainly Ti oxides and the inner layer is mainly Ni oxides. The passive film thickness of three L-PBF NiTi is between 8.7 and 13.2 nm. In order to quantitatively analyze the difference between the two sublayers, we analyzed the chemical composition of the outer layer of the original passive film and the inner layer after sputtering 6 nm. Figure 9 shows the XPS element composition analysis of the passive films of the three L-PBF NiTi samples immersed in artificial saliva for 168 h. As can be seen from the full spectra in Fig. 9a–c, the outer layer of the passive films of the three L-PBF NiTi is mainly composed of Ti and O. And obvious Ni peak could be detected in the inner layer of the passive films.

The peak value of the binding energy listed in Table 6 [43, 44] is used for L-PBF NiTi component fitting. Figure 9 a_1 – c_1 shows the oxide composition of Ni $2p_{3/2}$ in the inner and outer layers of the passive film. In the outer passive films of L-PBF NiTi, only a very weak Ni metal (Ni (met)) (852.8 eV) signal can be detected. However, obvious Ni elements can be observed in the inner layer of the passive

film of L-PBF NiTi. Ni is mainly Ni (met) and NiO, the content of Ni (met) is relatively higher than that of NiO. Figure $9a_1$ – c_1 shows that Ni is mainly enriched in the inner layer of the passive film, and the relative higher Ni content in the outer layer of A6 passive film may be related to the defects in its passive film. As the content of NiO in the inner layer increases, the total amount of Ni is decreased.

Figure 9a₂-c₂ shows the oxide composition of Ti in the inner and outer layers of the passive film. The outer passive films layers of the three L-PBF NiTi are mainly TiO₂ 2p_{3/2} (458.8 eV) and TiO₂ 2p_{1/2} (464.3 eV), and a small amount of TiO 2p_{3/2} (454.6 eV) can also be detected, which again confirms that the outer passive film is mainly TiO2. Juan et al. [45] also showed that Ti⁴⁺ was mainly in the outer layer of the passive film, Ti³⁺ species were present in the inner passive film by first-principles calculation. A2 contains a small amount of TiO₂ 2p_{3/2} (458.8 eV), Ti (met) $2p_{3/2}$ (454.1 eV), Ti (met) $2p_{1/2}$ (460.1 eV), TiO $2p_{3/2}$ (454.6 eV), TiO 2p_{1/2} (460.2 eV), Ti₂O₃ 2p_{3/2} (456.8 eV) and Ti₂O₃ 2p_{1/2} (462.0 eV). The Ti element distribution of A4 and A6 is similar to that of A2, in which the content of Ti (met) decreases, while the content of TiO and Ti₂O₃ increases. Figure 10a and b shows that Ti is mainly enriched in the outer layer of the passive film. Although the Ti oxides decrease in the inner passive film, the content of Ti in the total cation content of the passive film remains high, which is beneficial for corrosion resistance [46].

Figure $9a_3$ – c_3 shows the composition of O element in three L-PBF NiTi passive films. The O element is mainly composed of O^{2-} (530.2 eV), OH^{-} (531.8 eV) and H_2O (533 eV). The content of O^{2-} is the highest, which can be conformed to the Ti oxides (TiO, Ti_2O_3 and TiO_2) and Ni oxide (NiO) formation in the passive film.

3.5 Ni ion release rate

In actual orthodontic treatment, the release of Ni ions in NiTi-SMA can lead to clinical symptoms such as DNA cell damage of buccal mucosa and gingival tissue inflammation [3, 5, 9]. Figure 10c exhibits the Ni ion release concentration of the three L-PBF NiTi alloys immersed in artificial saliva for 168 h. The average value of the Ni ion release concentration of A2, A4 and A6 is 9.5×10^{-9} , 12.7×10^{-9} and 17.1×10^{-9} cm⁻², respectively, among which A2 is only 74.8% and 55.6% that of A4 and A6, respectively. According to Ni ion release concentrations reported by Briceno et al. [47], Wang et al. [11] and Huang et al. [48], the 1-week Ni ion release rate of NiTi-SMA in saliva 15×10^{-9} artificial ranges from 300×10^{-9} cm⁻². Obviously, the three L-PBF NiTi show limited Ni ion release, A6 $(17.1 \times 10^{-9} \text{ cm}^{-2})$ in our experiment shows release rates very close to the lowest literature $(15 \times 10^{-9} \text{ cm}^{-2})$; the value



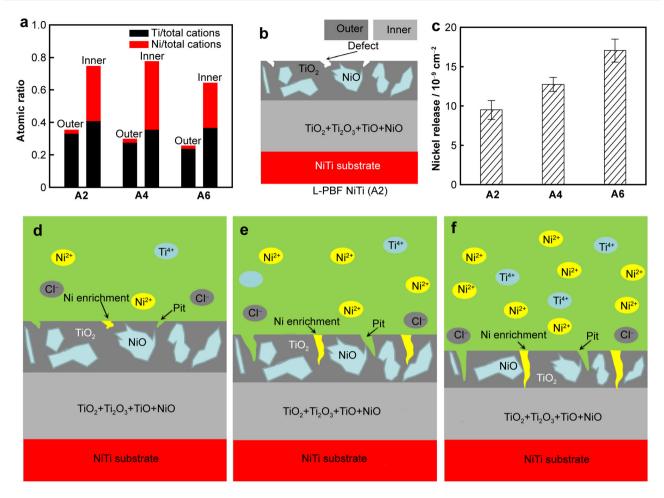


Fig. 10 a XPS element content comparison of L-PBF NiTi after being immersed in artificial saliva for 168 h and **b** passive film section composition diagram, taking A2 (56 J·mm⁻³) as an example; **c** Ni ion release rate of L-PBF NiTi in artificial saliva for 168 h; schematic representation of passive layer build-up and Ni ion release of L-PBF NiTi in artificial saliva: **d** A2 (56 J·mm⁻³), **e** A4 (87 J·mm⁻³) and **f** A6 (60 J·mm⁻³)

 $(12.7 \times 10^{-9} \text{ cm}^{-2})$ and A2 $(9.5 \times 10^{-9} \text{ cm}^{-2})$ are 84.7% and 63.3% of that of the lowest value.

The difference in Ni ion release rate of the three L-PBF NiTi can be explained by the schematic representation shown in Fig. 10d–f. Based on the previous electrochemical testing (Figs. 3–7) and surface analysis (Figs. 8–10), an outer TiO₂-rich and defect-containing double-layer passive film could be formed on the surface of L-PBF NiTi after immersion in artificial saliva. Ni ions will preferentially dissolve and release at the outer passive film defects [49]. The passive film of A2 has the highest corrosion resistance, which is related to the fact that A2 has fewer surface defects; thus, the amount of Ni ion precipitation is limited (Fig. 10d). Meanwhile, A6 has the largest number of defects in its surface, resulting in a relatively weak corrosion resistant passive film. As a result, the highest Ni ions release rate could be detected (Fig. 10f).

4 Conclusion

The corrosion behavior and passive film characteristics of three 3D-printed NiTi alloys fabricated by L-PBF with various E_{ν} for A2 (56 J·mm⁻³), A4 (87 J·mm⁻³) and A6 (60 J·mm⁻³) were systematically investigated by means of electrochemical testing techniques, surface analysis and ion release studies with ICP-MS in artificial saliva. The main conclusions are as follows:

(1) The OCP of L-PBF NiTi stabilizes rapidly with immersion time. The defects on the alloy surface can promote the formation rate of passive film in the early stage. The stability of Ni in passive films of E_{ν} 60 J·mm⁻³ (A6) sample is relatively poor. A stable corrosion resistant passive film could be formed on the surface of E_{ν} 56 J·mm⁻³ (A2) and 87 J·mm⁻³ (A4) samples and stabilized in the order



of $1\times 10^6~\Omega\cdot\text{cm}^2$ after immersion for 24 h. The passive film corrosion resistance of L-PBF NiTi from high to low is: A2 > A4 > A6.

- (2) The L-PBF NiTi passive films show a two-layer structure with an outer Ti- rich (TiO₂) layer and inner Ni- and Ti- rich layer. The contents of NiO and TiO increase with the increase in passive film thickness. The passive film thickness of the L-PBF NiTi ranges from 8.7 to 13.2 nm in the order of: A2 > A4 > A6.
- (3) The Ni ions release rate of the L-PBF NiTi in artificial saliva ranges from 9.5×10^{-9} to 17.1×10^{-9} cm⁻² and is in the order of A2 < A4 < A6, which is relatively low as compared to previously reported values $(15 \times 10^{-9} 300 \times 10^{-9} \text{ cm}^{-2})$ in the literature.
- (4) A2 prepared by L-PBF with linear energy density of 0.2 J⋅m⁻¹ and volumetric energy density of 56 J⋅mm⁻³ has the most uniform surface morphology, the best passive film corrosion resistance and the lowest Ni ion release rate.

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Declarations

Conflict of interests The authors declare that they have no conflict of interest.

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