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# An Askey–Wilson Algebra of Rank 2

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**Abstract.** An algebra is introduced which can be considered as a rank 2 extension of the Askey–Wilson algebra. Relations in this algebra are motivated by relations between coproducts of twisted primitive elements in the two-fold tensor product of the quantum algebra  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$ . It is shown that bivariate  $q$ -Racah polynomials appear as overlap coefficients of eigenvectors of generators of the algebra. Furthermore, the corresponding  $q$ -difference operators are calculated using the defining relations of the algebra, showing that it encodes the bispectral properties of the bivariate  $q$ -Racah polynomials.

*Key words:* Askey–Wilson algebra;  $q$ -Racah polynomials

*2020 Mathematics Subject Classification:* 20G42; 33D80

## 1 Introduction

In this paper, we study a rank 2 version of the Askey–Wilson algebra and its relation to bivariate Askey–Wilson polynomials. The Askey–Wilson algebra  $\text{AW}(3)$  was introduced by Zhedanov [35] to describe the algebraic structure underlying the Askey–Wilson polynomials [1]. The Askey–Wilson polynomials form an important family of orthogonal polynomials that are on top of the  $q$ -Askey scheme [21] of families of ( $q$ -)hypergeometric orthogonal polynomials. Every family of polynomials  $\{p_n(x)\}$  in this scheme is bispectral;  $p_n(x)$  is an eigenfunction of a second order differential or ( $q$ -)difference operator in  $x$ , as well as an eigenfunction of a second order difference operator in the degree  $n$  (the three-term recurrence relation). It is this bispectrality property of the Askey–Wilson polynomials that is encoded in the Askey–Wilson algebra.

Since its introduction, the Askey–Wilson algebra  $\text{AW}(3)$  has appeared in many contexts. For example,  $\text{AW}(3)$  is closely related to the rank 1 double affine Hecke algebra of type  $(C^\vee, C)$ , Leonard pairs and the  $q$ -Onsager algebra [2, 25, 26, 28, 31, 32]. We refer to [4] for an overview of the many interpretations of the Askey–Wilson algebra. There is also a close connection between  $\text{AW}(3)$  and the centralizer of  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$  in  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))^{\otimes 3}$  [6, 14, 19]. In this interpretation, intermediate Casimir operators generate  $\text{AW}(3)$ , and this also explains the occurrence of  $q$ -Racah polynomials, which are essentially Askey–Wilson polynomials on a finite set, as Racah coefficients for  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$  [15].

The latter interpretation of  $\text{AW}(3)$  in  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))^{\otimes 3}$  has led to the definition of an Askey–Wilson algebra of rank  $n$  as the algebra generated by the intermediate Casimir operators in the  $(n+2)$ -fold tensor product  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))^{\otimes (n+2)}$  [3, 7, 8, 9, 27]. Multivariate versions [11, 12] of Askey–Wilson polynomials, or more precisely of  $q$ -Racah polynomials, appear as overlap coefficients in this setting, which is similar to the rank 1 case. This follows from results in [13], where it is shown that the multivariate  $q$ -Racah polynomials arise as  $3nj$ -symbols for  $(n+2)$ -fold

tensor product representations of  $\mathcal{U}_q(\mathfrak{su}(1, 1))$ . In [20], Iliev obtained  $q$ -difference operators for the multivariate Askey–Wilson polynomials, and these  $q$ -difference operators lead to a realization of a higher rank Askey–Wilson algebra [7].

In this paper, we define an algebra  $\text{AW}_2$ , a rank 2 version of the Askey–Wilson algebra, as the algebra which is, roughly speaking, generated by  $\text{AW}(3) \otimes \text{AW}(3)$  and  $\text{AW}(3)$  with certain relations. This definition is motivated by the realization of  $\text{AW}(3)$  as a subalgebra of  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$  from [15]:  $\text{AW}(3) \subseteq \mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$  is generated by two algebra elements that are essentially Koornwinder’s [24] twisted primitive elements. In [17], it is shown that Iliev’s  $q$ -difference operators for the multivariate Askey–Wilson polynomials can be obtained from coproducts of twisted primitive elements in discrete series representations of  $\mathcal{U}_q(\mathfrak{su}(1, 1))$ . This suggests that the coproducts of twisted primitive elements generate a rank  $n$  version  $\text{AW}_n$  of the Askey–Wilson algebra in  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))^{\otimes n}$ . This construction is different from the construction of the rank  $n$  Askey–Wilson algebra  $\text{AW}(n + 2)$  in [8], where a  $(n + 2)$ -fold tensor product is used. An advantage of the construction of  $\text{AW}_n$  is that it allows to use directly representations of  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$  to construct representations of a higher rank Askey–Wilson algebra. Furthermore, the explicit relations of the generators suggest how to define the higher rank Askey–Wilson algebra without reference to the larger algebra  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))^{\otimes n}$ .

From a viewpoint of special functions, the related multivariate Askey–Wilson or  $q$ -Racah polynomials also have different interpretations depending on the construction of the algebra. In connection with  $\text{AW}(n + 2)$  the  $n$ -variate  $q$ -Racah polynomials are overlap coefficients between  $(n + 1)$ -variate orthogonal polynomials (i.e., one more variable), namely  $q$ -Hahn and  $q$ -Jacobi polynomials, which arise as nested Clebsch-Gordan coefficients [13]. An analogous result for  $\text{AW}_n$  is that the  $n$ -variate Askey–Wilson polynomials are overlap coefficients between  $n$ -variate orthogonal polynomials (i.e., the same number of variables), namely Al-Salam–Chihara polynomials in base  $q$  and  $q^{-1}$ , see [17], or, in the setting of  $\mathcal{U}_q(\mathfrak{su}(2))$ , the  $n$ -variate  $q$ -Racah polynomials are overlap coefficients for  $n$ -variate  $q$ -Krawtchouk type polynomials.

We consider the rank 2 case in this paper. We show how to obtain bivariate  $q$ -Racah polynomials and their bispectral properties from a finite-dimensional representation of our algebra  $\text{AW}_2$ , so that  $\text{AW}_2$  encodes the bispectral properties of the bivariate  $q$ -Racah polynomials.

The organization of the paper is as follows. In Section 2, we recall well-known results on Zhedanov’s Askey–Wilson algebra  $\text{AW}(3)$  that will be used in, and also serve as a motivation for, later sections. In particular, we give the definition of  $\text{AW}(3)$ , we recall how  $q$ -Racah polynomials appear as overlap coefficients of eigenvectors of the two generators of  $\text{AW}(3)$ , and we show that  $\text{AW}(3)$  can be realized as a subalgebra of the quantized universal enveloping algebra of the Lie algebra  $\mathfrak{sl}(2, \mathbb{C})$ . Then, in Section 3, we will be ready to define  $\text{AW}_2$ , a rank 2 Askey–Wilson algebra. The relations in this algebra come from the relations between (coproducts of) twisted primitive elements and the Casimir in  $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C})) \otimes \mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$ . We also point out the connection with the rank 2 Askey–Wilson algebra introduced in [8]. In the last two sections, Sections 4 and 5, we will construct a representation of  $\text{AW}_2$  where bivariate  $q$ -Racah polynomials, similar to the ones defined in [12], appear as overlap coefficients of the generators of  $\text{AW}_2$  and find explicitly their difference operator. Calculations concerning the relations between coproducts of twisted primitive elements can be found in Appendices A and B.

## 2 Zhedanov’s Askey–Wilson algebra $\text{AW}(3)$

In this section, we will summarize some results from the Askey–Wilson algebra  $\text{AW}(3)$ , later in this paper also referred to as the original  $\text{AW}(3)$ . For more details concerning these results, see [35]. Throughout this paper, we assume that  $0 < q < 1$  is fixed.

## 2.1 The Askey–Wilson algebra

Let  $\text{AW}(3)$  be the Askey–Wilson algebra defined by Zhedanov [35]. This is the unital, associative, complex algebra generated by three generators  $K_0$ ,  $K_1$  and  $K_2$  subject to the relations

$$\begin{aligned} [K_0, K_1]_q &= K_2, \\ [K_1, K_2]_q &= BK_1 + C_0K_0 + D_0, \\ [K_2, K_0]_q &= BK_0 + C_1K_1 + D_1, \end{aligned} \tag{2.1}$$

where  $B, C_0, C_1, D_0, D_1 \in \mathbb{R}$  are the structure constants of the algebra and  $[\cdot, \cdot]_q$  is the so-called  $q$ -commutator defined by

$$[X, Y]_q = qXY - q^{-1}YX.$$

By substituting the first equation of (2.1) into the second and third,  $\text{AW}(3)$  can equivalently be described as the algebra generated by two generators  $K_0$  and  $K_1$ . To ease notation later on, we will replace  $K_0$  by  $K$  and  $K_1$  by  $L$  and define the following functions,

$$\sinh_q(x) := q^x - q^{-x} \quad \text{and} \quad \cosh_q(x) := q^x + q^{-x}.$$

Then  $\text{AW}(3)$  is the algebra generated by  $K, L$  subject to the relations

$$\cosh_q(2)KLK - K^2L - LK^2 = BK + C_1L + D_1, \tag{2.2}$$

$$\cosh_q(2)LKL - L^2K - KL^2 = BL + C_0K + D_0. \tag{2.3}$$

Moreover,  $\text{AW}(3)$  has a Casimir element  $Q$  given by

$$\begin{aligned} Q &= (q^{-1} - q^3)KL[K, L]_q + q^2([K, L]_q)^2 + B(KL + LK) + C_0q^2K^2 + C_1q^{-2}L^2 \\ &\quad + D_0(1 + q^2)K + D_1(1 + q^{-2})L. \end{aligned} \tag{2.4}$$

## 2.2 Representations of $\text{AW}(3)$

On a finite-dimensional vector space, the generators  $(K, L)$  will form a Leonard pair, meaning that  $L$  acts as a tridiagonal operator on the eigenfunctions of  $K$  and, by symmetry of  $\text{AW}(3)$ ,  $K$  acts as a tridiagonal operator on the eigenfunctions of  $L$ . Moreover, the overlap coefficients of the eigenfunctions of  $K$  and  $L$  are Askey–Wilson (or  $q$ -Racah) polynomials where the parameters depend on the structure parameters  $B, C_0, C_1, D_0, D_1$  and the dimension of the representation. We refer to [33, 34] for a description of the relation between Leonard pairs and finite-dimensional representations of  $\text{AW}(3)$ . Furthermore, for a complete classification of the finite-dimensional irreducible representations of the universal Askey–Wilson algebra, a central extension of  $\text{AW}(3)$ , we refer to [18].

Let  $V$  be a  $(N + 1)$ -dimensional vector space. The sign of the constants  $C_0$  and  $C_1$  determine the form of the spectra of the generators  $L$  and  $K$  respectively. That is, the spectrum of  $K$  is of the form  $\sinh_q(x)$  if  $C_1 > 0$ ,  $\cosh_q(x)$  if  $C_1 < 0$  and  $q^x$  if  $C_1 = 0$  and similarly for  $L$  and  $C_0$ . Each of the 9 cases can be treated similarly. We will focus on the case where  $C_0, C_1 < 0$ . Under the so-called ‘quantization condition’, which we will mention later, there is an irreducible  $(N + 1)$ -dimensional representation of  $\text{AW}(3)$ . By rescaling our generators  $K$  and  $L$ , we can assign any value, while keeping the same sign, to  $C_0$  and  $C_1$ . We will choose the canonical form of  $\text{AW}(3)$ , where

$$C_0 = C_1 = -(\sinh_q(2))^2.$$

Then we have the following representation of AW(3): there exist eigenvectors  $\{\psi_n\}_{n=0}^N$  of  $K$  such that

$$K\psi_n = \lambda_n\psi_n, \quad (2.5)$$

$$L\psi_n = a_n\psi_{n-1} + b_n\psi_n + a_{n+1}\psi_{n+1}. \quad (2.6)$$

The eigenvalues  $\lambda_n$  and coefficients  $a_n, b_n$  are given by

$$\lambda_n = \sinh_q(2n + p_0 + 1), \quad (2.7)$$

$$a_n^2 = \frac{-\prod_{k=0}^3 (\sinh_q(2n + p_0) - \sinh_q(p_k))}{\cosh_q(2n + p_0)^2 \cosh_q(2n + p_0 + 1) \cosh_q(2n + p_0 - 1)}, \quad (2.8)$$

$$b_n = \frac{B\lambda_n + D_1}{(\lambda_n - \lambda_{n-1})(\lambda_{n+1} - \lambda_n)}. \quad (2.9)$$

Here,  $(p_k)_{k=0}^3$  are roots of the *characteristic polynomial*  $\mathcal{P}: \mathbb{C} \rightarrow \mathbb{C}$  of AW(3) given by

$$\begin{aligned} \mathcal{P}(z) &= C_0 \frac{(\sinh_q(1))^2}{(\cosh_q(1))^4} z^4 + D_0 \frac{(\sinh_q(1))^2}{(\cosh_q(1))^2} z^3 \\ &\quad + \left( \frac{B^2 - (\sinh_q(1))^2 Q_0}{(\cosh_q(1))^2} + \frac{((\sinh_q(1))^2 - 4) C_0 C_1}{(\cosh_q(1))^4} \right) z^2 \\ &\quad + \left( BD_1 - \frac{4C_1 D_0}{(\cosh_q(1))^2} \right) z + D_1^2 + C_1 \frac{B^2 + 4Q_0}{(\cosh_q(1))^2} - \frac{4C_0 C_1^2}{(\cosh_q(1))^4}, \end{aligned} \quad (2.10)$$

where  $Q_0$  is the representation value of the Casimir  $Q$  from (2.4). Moreover, the structure constants  $B, D_0$  and  $D_1$  as well as the value of the Casimir  $Q$  can be expressed in terms of the roots of the characteristic polynomial  $\mathcal{P}$ .

**Proposition 2.1.** *We have*

$$\begin{aligned} B &= (\sinh_q(1))^2 \left( \sinh_q\left(\frac{p_0 + p_1}{2}\right) \sinh_q\left(\frac{p_2 + p_3}{2}\right) - \cosh_q\left(\frac{p_0 - p_1}{2}\right) \cosh_q\left(\frac{p_2 - p_3}{2}\right) \right), \\ D_0 &= \frac{(\sinh_q(2))^2}{\cosh_q(1)} \left( \sinh_q\left(\frac{p_0 + p_1}{2}\right) \cosh_q\left(\frac{p_0 - p_1}{2}\right) + \sinh_q\left(\frac{p_2 + p_3}{2}\right) \cosh_q\left(\frac{p_2 - p_3}{2}\right) \right), \\ D_1 &= \frac{(\sinh_q(2))^2}{\cosh_q(1)} \left( \sinh_q\left(\frac{p_2 + p_3}{2}\right) \cosh_q\left(\frac{p_0 - p_1}{2}\right) + \sinh_q\left(\frac{p_0 + p_1}{2}\right) \cosh_q\left(\frac{p_2 - p_3}{2}\right) \right), \\ Q_0 &= (\sinh_q(2))^2 \left( \prod_{k=0}^3 \sinh_q\left(\frac{1}{2}p_k\right) + (\sinh_q(1))^2 - \frac{(\sinh_q(1))^2 B + D_1^2}{(\sinh_q(1))^2 (\sinh_q(2))^2} \right). \end{aligned}$$

**Proof.** This is similar to [35, equation (2.4)]. We have a slightly different expression since we are in the case  $C_0, C_1 < 0$  instead of  $C_0, C_1 > 0$ .  $\blacksquare$

Since our representation is finite-dimensional, we require that  $a_0 = a_{N+1} = 0$ . This leads to the ‘quantization condition’  $2(N + 1) = p_1 - p_0$ . When the spectrum of  $K$  is fixed, the representation is unique up to equivalence since we can calculate the matrix coefficients of  $L$  using [35, equation (1.12)] and (2.9). The eigenvalues  $\lambda_n$  satisfy the following recursive relations, which we will need later on,

$$\begin{aligned} \lambda_n^2 + \lambda_{n+1}^2 &= \cosh_q(2)\lambda_n\lambda_{n+1} - C_1, \\ \cosh_q(2)\lambda_n &= \lambda_{n+1} + \lambda_{n-1}, \\ \lambda_n^2 &= \lambda_{n+1}\lambda_{n-1} - C_1. \end{aligned} \quad (2.11)$$

Moreover, using the symmetry of  $\text{AW}(3)$ , we can interchange the roles of  $K$  and  $L$  and get a similar result. That is, there exist eigenvectors  $\{\phi_n\}_{n=0}^N$  of  $L$  such that

$$L\phi_m = \mu_m\phi_m, \quad K\phi_m = \tilde{a}_m\phi_{m-1} + \tilde{b}_m\phi_m + \tilde{a}_{m+1}\phi_{m+1}, \quad (2.12)$$

where  $(\mu_m, \tilde{a}_m, \tilde{b}_m)$  can be found from the formulas for  $(\lambda_n, a_n, b_n)$  after interchanging  $C_0 \leftrightarrow C_1$ ,  $D_0 \leftrightarrow D_1$  and  $p_k \leftrightarrow s_k$ , where  $s_k$  are the roots of the polynomial (2.10) where  $C_0 \leftrightarrow C_1$  and  $D_0 \leftrightarrow D_1$ . The  $p_k$  and  $s_k$  are linked via

$$s_0 = \frac{1}{2}\Sigma_p - p_1, \quad s_1 = \frac{1}{2}\Sigma_p - p_0, \quad s_2 = \frac{1}{2}\Sigma_p - p_3, \quad s_3 = \frac{1}{2}\Sigma_p - p_1, \quad \Sigma_p = \sum_{k=0}^3 p_k.$$

### 2.3 $q$ -Racah polynomials as overlap coefficients of the generators of $\text{AW}(3)$

If the structure parameters  $B, C_0, C_1, D_0$  and  $D_1$  are real and  $a_n^2 \geq 0$ , we have that  $\lambda_n, a_n, b_n$  are real as well. We then define an inner product on  $V$  on the basis  $\{\psi_n\}_{n=0}^N$  by

$$\langle \psi_n, \psi_m \rangle = \delta_{mn}.$$

Then both  $K$  and  $L$  are self-adjoint with respect to this inner product. Consequently, the sets of eigenvectors,  $\{\psi_n\}_{n=0}^N$  as well as  $\{\phi_n\}_{n=0}^N$ , will per definition form an orthonormal basis. Define the normalized overlap coefficients  $\hat{P}_n(m)$  to be

$$\hat{P}_n(m) = \frac{\langle \phi_m, \psi_n \rangle}{\langle \phi_m, \psi_0 \rangle}, \quad n, m = 0, \dots, N.$$

Since

$$\begin{aligned} \mu_m \langle \phi_m, \psi_n \rangle &= \langle L\phi_m, \psi_n \rangle = \langle \phi_m, L\psi_n \rangle \\ &= a_n \langle \phi_m, \psi_{n-1} \rangle + b_n \langle \phi_m, \psi_n \rangle + a_{n+1} \langle \phi_m, \psi_{n+1} \rangle, \end{aligned} \quad (2.13)$$

the overlap coefficients satisfy

$$\mu_m \hat{P}_n(m) = a_n \hat{P}_{n-1}(m) + b_n \hat{P}_n(m) + a_{n+1} \hat{P}_{n+1}(m). \quad (2.14)$$

Together with the initial condition  $\hat{P}_0(m) = 1$  and the convention  $\hat{P}_{-1}(m) = 0$ , (2.14) generates polynomials  $(\hat{P}_n)_{n=0}^N$  in the variable  $\mu_m$ , which can be shown [35] to be  $q$ -Racah polynomials with parameters that depend on  $(p_k)_{k=0}^3$ . The  $q$ -Racah polynomials  $\{R_n\}_{n=0}^N$  are defined [21] by a  $q$ -hypergeometric series,

$$R_n(y_j; \alpha, \beta, \gamma, \delta; q) = {}_4\phi_3 \left[ \begin{matrix} q^{-n}, \alpha\beta q^{n+1}, q^{-j}, \gamma\delta q^{j+1} \\ \alpha q, \beta\delta q, \gamma q \end{matrix}; q; q \right], \quad (2.15)$$

where

$$y_j = q^{-j} + \gamma\delta q^{j+1}.$$

Then we have

$$\hat{P}_n(m) = \frac{1}{\sqrt{h_n}} R_n(y_m; \alpha, \beta, \gamma, \delta; q^2), \quad (2.16)$$

where  $h_n$  are normalizing constants and

$$\alpha = -q^{p_0+p_2}, \quad \beta = q^{p_0-p_2}, \quad \gamma = q^{p_0-p_1}, \quad \delta = -q^{p_2+p_3}. \quad (2.17)$$

We will rescale the overlap coefficients  $\widehat{P}_n(m)$  such that it becomes a  $q$ -Racah polynomial without the normalizing constant  $\sqrt{1/h_n}$ , which will be convenient later on. That is, we define

$$P_n(m) = \frac{\langle \phi_m, \psi_n \rangle \langle \phi_0, \psi_0 \rangle}{\langle \phi_m, \psi_0 \rangle \langle \phi_0, \psi_n \rangle}. \quad (2.18)$$

This gives on the one hand

$$P_n(0) = 1.$$

On the other hand, by (2.16), we have

$$P_n(0) = \frac{1}{\sqrt{h_n}} R_n(y_0; \alpha, \beta, \gamma, \delta; q^2) \frac{\langle \phi_0, \psi_0 \rangle}{\langle \phi_0, \psi_n \rangle} = \frac{1}{\sqrt{h_n}} \frac{\langle \phi_0, \psi_0 \rangle}{\langle \phi_0, \psi_n \rangle},$$

since by the definition of the  $q$ -hypergeometric series we have

$$R_n(y_0; \alpha, \beta, \gamma, \delta; q^2) = 1.$$

Therefore,

$$\frac{\langle \phi_0, \psi_0 \rangle}{\langle \phi_0, \psi_n \rangle} = \sqrt{h_n}$$

and thus

$$P_n(m) = R_n(y_m; \alpha, \beta, \gamma, \delta; q^2).$$

Since  $\{\phi_m\}_{m=0}^N$  is an orthonormal basis, we have

$$\psi_n = \sum_{m=0}^N \langle \psi_n, \phi_m \rangle \phi_m.$$

Orthogonality in the degree of the  $q$ -Racah polynomials comes from  $\{\psi_n\}_{n=0}^N$  being orthonormal,

$$\delta_{n,n'} = \langle \psi_{n'}, \psi_n \rangle = \sum_{m=0}^N \langle \psi_{n'}, \phi_m \rangle \langle \phi_m, \psi_n \rangle = \sum_{m=0}^N \tilde{w}(m, n) P_n(m) \overline{P_{n'}(m)}, \quad (2.19)$$

where  $\tilde{w}(m, n)$  is the weight function given by

$$\tilde{w}(m, n) = \frac{|\langle \phi_m, \psi_0 \rangle \langle \phi_0, \psi_n \rangle|^2}{|\langle \phi_0, \psi_0 \rangle|^2}.$$

Since the orthogonality for  $q$ -Racah polynomials is unique, we have (see, e.g., [21]),

$$\tilde{w}(m, n) := \tilde{w}(m, n, p_0, p_1, p_2, p_3; q) = \frac{\rho(m, \alpha, \beta, \gamma, \delta; q^2)}{h_n(\alpha, \beta, \gamma, \delta; q^2)}, \quad (2.20)$$

where

$$\rho(m, \alpha, \beta, \gamma, \delta; q) = \frac{(\alpha q, \beta \delta q, \gamma q, \gamma \delta q; q)_m (1 - \gamma \delta q^{2m+1})}{(q, \alpha^{-1} \gamma \delta q, \beta^{-1} \gamma q, \delta q; q)_m (\alpha \beta q)^m (1 - \gamma \delta q)}, \quad (2.21)$$

and

$$h_n(\alpha, \beta, \gamma, \delta; q) = \frac{(\alpha^{-1}, \beta^{-1} \gamma, \alpha^{-1} \delta, \beta^{-1}, \gamma \delta q^2; q)_\infty}{(\alpha^{-1} \beta^{-1} q^{-1}, \alpha^{-1} \gamma \delta q, \beta^{-1} \gamma q, \delta q; q)_\infty} \times \frac{(1 - \alpha \beta q)(\gamma \delta q)^n (q, \alpha \beta \gamma^{-1} q, \alpha \delta^{-1} q, \beta q; q)_n}{(1 - \alpha \beta q^{2n+1})(\alpha q, \alpha \beta q, \beta \delta q, \gamma q; q)_n}. \quad (2.22)$$

In (2.20), the roots  $(p_k)_{k=0}^3$  are related to  $(\alpha, \beta, \gamma, \delta)$  via (2.17).

As can be seen, the parameters of the  $q$ -Racah polynomials can be simply computed from the roots  $(p_k)_{k=0}^3$ . In Section 2.5, we will reparametrize the structure constants  $B, C_0, C_1, D_0, D_1$  such that  $(p_k)_{k=0}^3$  can be easily determined from the structure parameters.

## 2.4 AW(3) as subalgebra of $\mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$

Let  $\mathcal{U}_q = \mathcal{U}_q(\mathfrak{sl}(2, \mathbb{C}))$  be the unital, associative, complex algebra generated by<sup>1</sup>  $\hat{K}$ ,  $\hat{E}$ ,  $\hat{F}$ ,  $\hat{K}^{-1}$  subject to the relations

$$\hat{K}\hat{K}^{-1} = 1 = \hat{K}^{-1}\hat{K}, \quad \hat{K}\hat{E} = q\hat{E}\hat{K}, \quad \hat{K}\hat{F} = q^{-1}\hat{F}\hat{K}, \quad \hat{E}\hat{F} - \hat{F}\hat{E} = \frac{\hat{K}^2 - \hat{K}^{-2}}{q - q^{-1}}.$$

The quantum algebra  $\mathcal{U}_q$  has a comultiplication  $\Delta: \mathcal{U}_q \rightarrow \mathcal{U}_q \otimes \mathcal{U}_q$  defined on the generators by

$$\begin{aligned} \Delta(\hat{K}) &= \hat{K} \otimes \hat{K}, & \Delta(\hat{E}) &= \hat{K} \otimes \hat{E} + \hat{E} \otimes \hat{K}^{-1}, \\ \Delta(\hat{K}^{-1}) &= \hat{K}^{-1} \otimes \hat{K}^{-1}, & \Delta(\hat{F}) &= \hat{K} \otimes \hat{F} + \hat{F} \otimes \hat{K}^{-1}. \end{aligned}$$

Moreover,  $\mathcal{U}_q$  has a Casimir element given by

$$\Omega = q^{-1}\hat{K}^2 + q\hat{K}^{-2} + (\sinh_q(1))^2\hat{E}\hat{F} = q\hat{K}^2 + q^{-1}\hat{K}^{-2} + (\sinh_q(1))^2\hat{F}\hat{E},$$

which commutes with all elements in  $\mathcal{U}_q$ . Note that  $\Delta(\Omega)$  is not a central element of  $\mathcal{U}_q \otimes \mathcal{U}_q$ . Actually, we will see in Section 5 that it acts simultaneously as a three-term operator on the eigenvectors of  $1 \otimes Y_K$  as well as  $Y_L \otimes 1$ . Two elements of  $\mathcal{U}_q$ , which are closely related to Koornwinder's twisted primitive elements [24], play an important role in this paper. Let  $a_{\hat{E}}, a_{\hat{F}}, a_s, b_{\hat{E}}, b_{\hat{F}}, b_t \in \mathbb{C}$ , then we define (suggestively) the following elements in  $\mathcal{U}_q$ ,

$$\begin{aligned} Y_K &= q^{\frac{1}{2}}a_{\hat{E}}\hat{E}\hat{K} + q^{-\frac{1}{2}}a_{\hat{F}}\hat{F}\hat{K} + a_s\hat{K}^2, \\ Y_L &= q^{-\frac{1}{2}}b_{\hat{E}}\hat{E}\hat{K}^{-1} + q^{\frac{1}{2}}b_{\hat{F}}\hat{F}\hat{K}^{-1} + b_t\hat{K}^{-2}. \end{aligned}$$

They behave quite well with respect to coproduct,<sup>2</sup>

$$\begin{aligned} \Delta(Y_K) &= K^2 \otimes Y_K + (Y_K - a_s\hat{K}^2) \otimes 1, \\ \Delta(Y_L) &= 1 \otimes (Y_L - b_t\hat{K}^{-2}) + Y_L \otimes K^{-2}. \end{aligned} \tag{2.23}$$

From (2.23) one can see that  $1 \otimes Y_K$  commutes with  $\Delta(Y_K)$  and  $Y_L \otimes 1$  commutes with  $\Delta(Y_L)$ .

Note that  $Y_K$  and  $Y_L$  relate to the twisted primitive elements  $Y_{s,u}$  and  $\tilde{Y}_t$  from [17] by adding a constant such that the unital term cancels<sup>3</sup> and taking  $a_{\hat{E}} = u$ ,  $a_{\hat{F}} = -u^{-1}$  and  $b_{\hat{E}} = b_{\hat{F}} = 1$ . Thus, shifting the eigenvalues by a constant while keeping the same eigenvectors.

The elements  $Y_K$  and  $Y_L$  satisfy the AW-relations [15], i.e., they satisfy both (2.2) and (2.3).

**Theorem 2.2.** *The elements  $Y_K, Y_L \in \mathcal{U}_q$  satisfy the AW-relations (2.2) and (2.3) with structure parameters*

$$\begin{aligned} B &= (\sinh_q(1))^2(a_s b_t - \theta\Omega), \\ C_0 &= -(\cosh_q(1))^2 b_{\hat{E}} b_{\hat{F}}, \\ C_1 &= -(\cosh_q(1))^2 a_{\hat{E}} a_{\hat{F}}, \\ D_0 &= \cosh_q(1)(a_s \Omega b_{\hat{E}} b_{\hat{F}} + (\sinh_q(1))^2 b_t \theta), \\ D_1 &= \cosh_q(1)(b_t \Omega a_{\hat{E}} a_{\hat{F}} + (\sinh_q(1))^2 a_s \theta), \end{aligned} \tag{2.24}$$

where  $\theta = -(\sinh_q(1))^{-2}(a_{\hat{E}} b_{\hat{F}} + a_{\hat{F}} b_{\hat{E}})$ .

<sup>1</sup>Note that the  $\hat{K} \in \mathcal{U}_q$  is different from  $K \in \text{AW}(3)$ .

<sup>2</sup>The subspaces  $\text{span}\{Y_K, 1\}$  and  $\text{span}\{Y_L, 1\}$  satisfy the right and left co-ideal property respectively.

<sup>3</sup> $Y_{s,u}$  and  $\tilde{Y}_t$  satisfy more general AW-relations, which are more complicated to work with but are in essence the same object.



**Remark 2.3.** Note that  $B, D_0, D_1$  are no longer constants due to the appearance of the Casimir  $\Omega$ . However, they remain central elements of  $\mathcal{U}_q$ . Therefore,  $Y_K$  and  $Y_L$  formally do not generate an AW(3) algebra. However, they do generate a universal Askey–Wilson algebra [29], which is the central extension of AW(3) where  $B, C_0, C_1, D_0, D_1$  are central elements of the algebra instead of constants.

The representation of almost any instance of AW(3) can be realized by the pair  $(Y_K, Y_L)$ . The cases where either  $D_0$  or  $D_1$  is the only non-zero parameter have to be excluded. Since in an irreducible representation,  $\Omega$  is a constant, we define the algebra  $\mathcal{U}_q(\Omega_0)$ , where we add an extra relation to  $\mathcal{U}_q$  where  $\Omega$  is equal to some  $\Omega_0 \in \mathbb{C}$ . That is,

$$\Omega = \Omega_0 \cdot 1, \quad \Omega_0 \in \mathbb{C}, \quad 1 \in \mathcal{U}_q(\Omega_0).$$

Using this, we can show that almost every instance of AW(3) is homomorphic to a subalgebra of  $\mathcal{U}_q(\Omega_0)$ .

**Proposition 2.4.** *Let  $(B, C_0, C_1, D_0, D_1) \in \mathbb{R}^5$  be structure constants of AW(3) such that  $D_0$  or  $D_1$  is not the only non-zero constant. Then there exist  $Y_K, Y_L \in \mathcal{U}_q(\Omega_0)$  such that AW(3) is homomorphic to the subalgebra of  $\mathcal{U}_q(\Omega_0)$  generated by  $Y_K$  and  $Y_L$ .*

**Proof.** Let  $B, C_0, C_1, D_0, D_1 \in \mathbb{R}$  such that  $D_0$  or  $D_1$  is not the only non-zero constant. We will show, using Theorem 2.2, that there exist  $Y_K, Y_L \in \mathcal{U}_q(\Omega_0)$  which satisfy the AW-relation with parameters  $B, C_0, C_1, D_0, D_1$ . Let us fix  $\Omega_0 \neq 0$  and take

$$b_{\hat{E}} = -\frac{C_0}{(\cosh_q(1))^2 b_{\hat{F}}}, \quad a_{\hat{F}} = -\frac{C_1}{(\cosh_q(1))^2 a_{\hat{E}}} \quad (2.25)$$

and

$$\theta = -(\sinh_q(1))^{-2} (a_{\hat{E}} b_{\hat{F}} + a_{\hat{F}} b_{\hat{E}}) = -(\sinh_q(1))^{-2} \left( a_{\hat{E}} b_{\hat{F}} + \frac{C_0 C_1}{(\cosh_q(1))^4 a_{\hat{E}} b_{\hat{F}}} \right). \quad (2.26)$$

For arbitrary  $C_0, C_1, \theta$ , we can find  $a_{\hat{E}}, a_{\hat{F}}, b_{\hat{E}}, b_{\hat{F}}$  that satisfy (2.25) and (2.26). Therefore, we have to solve the following three equations

$$\begin{aligned} B &= (\sinh_q(1))^2 (a_s b_t - \theta \Omega_0), \\ D_0 &= \cosh_q(1) \left( -\frac{C_0 a_s \Omega_0}{(\cosh_q(1))^2} + (\sinh_q(1))^2 \theta b_t \right), \\ D_1 &= -\cosh_q(1) \left( -\frac{C_1 b_t \Omega_0}{(\cosh_q(1))^2} + (\sinh_q(1))^2 \theta a_s \right), \end{aligned}$$

where we have three variables  $\theta, a_s, b_t$ . Let us assume that  $C_0, C_1 \neq 0$ . Then we can rewrite above equations to the following form,

$$x_1 - a_1 x_2 x_3 = b_1, \quad (2.27)$$

$$x_2 - a_2 x_1 x_3 = b_2, \quad (2.28)$$

$$x_3 - a_3 x_1 x_2 = b_3, \quad (2.29)$$

with three variables  $x_1 = \theta, x_2 = a_s, x_3 = b_t$  and where  $a_1, a_2, a_3 \neq 0$ , since  $C_0, C_1, \Omega_0 \neq 0$ . We will show the above system is consistent. We can eliminate  $x_1$  by substituting (2.27) into (2.28). We obtain

$$x_2 - a_2 (b_1 + a_1 x_2 x_3) x_3 = b_2, \quad x_3 - a_3 (b_1 + a_1 x_2 x_3) x_2 = b_3,$$

which we can rewrite to the system

$$x_2 - c_1 x_2 (x_3)^2 = d_1, \quad (2.30)$$

$$x_3 - c_2 (x_2)^2 x_3 = d_2, \quad (2.31)$$

where  $c_1, c_2 \neq 0$ , since  $a_1, a_2, a_3$  are non-zero. The first equation implies

$$x_2 = \frac{d_1}{1 - c_1 (x_3)^2}. \quad (2.32)$$

If  $x_3 \neq \pm(c_1)^{-1/2}$ , we can substitute this into the second. We then get

$$\frac{(x_3 - d_2)(1 - c_1 (x_3)^2)^2 - c_2 (d_1)^2 x_3}{(1 - c_1 (x_3)^2)^2} = 0. \quad (2.33)$$

Since  $c_1 \neq 0$ , the numerator is a polynomial of degree 5 in  $x_3$ . It always has five (in general complex) roots, since  $\mathbb{C}$  is algebraically closed. If it has a root which is not of the form  $x_3 = \pm(c_1)^{-1/2}$ , we can use (2.32) to find  $x_2$  and (2.27) to find  $x_1$  and we are done. If it has a solution of the form  $x_3 = \pm(c_1)^{-1/2}$ , it follows from (2.33) that

$$\pm c_2 (d_1)^2 (c_1)^{-1/2} = 0.$$

Since  $c_1, c_2 \neq 0$ , this implies  $d_1 = 0$ . However, in that case the system (2.30) and (2.31) can be solved by taking  $x_2 = 0$  and  $x_3 = d_2$ .

If  $C_0 = 0$ ,  $C_1 = 0$  or both, the system (2.27)–(2.29) becomes

$$x_1 - a_1 x_2 x_3 = b_1, \quad (1 - \delta_{C_0})x_2 - a_2 x_1 x_3 = b_2, \quad (1 - \delta_{C_1})x_3 - a_3 x_1 x_2 = b_3.$$

This can be solved similarly as before, the only two exceptions being  $C_0 = C_1 = b_1 = b_2 = 0$ ,  $b_3 \neq 0$  and  $C_0 = C_1 = b_1 = b_3 = 0$ ,  $b_2 \neq 0$ . If we are in one of those cases, say the first, we obtain

$$x_1 - a_1 x_2 x_3 = 0, \quad (2.34)$$

$$-a_2 x_1 x_3 = 0, \quad (2.35)$$

$$-a_3 x_1 x_2 = b_3. \quad (2.36)$$

The second equation (2.35) demands  $x_1$  or  $x_3$  to be zero, while the third one (2.36) requires  $x_1$  and  $x_2$  to be non-zero. Therefore, we need  $x_3 = 0$ . However, then (2.34) tells us that  $x_1 = 0$  has to hold, which violates (2.36). This corresponds to the case  $B = C_0 = C_1 = D_0 = 0$  and  $D_1 \neq 0$ , for which no  $Y_K, Y_L$  exist that generate the corresponding AW(3). ■

## 2.5 Reparametrizing AW(3)

In this subsection we will redefine the structure parameters  $(B, C_0, C_1, D_0, D_1)$  of AW(3) into a way that is similar to (2.24). We introduce new structure parameters  $(A_0, \dots, A_5)$  by

$$\begin{aligned} B &= (\sinh_q(1))^2 (A_0 A_1 - A_2 A_3), & C_0 &= -(\cosh_q(1))^2 A_4, & C_1 &= -(\cosh_q(1))^2 A_5, \\ D_0 &= \cosh_q(1) (A_0 A_3 A_4 + (\sinh_q(1))^2 A_1 A_2), \\ D_1 &= \cosh_q(1) (A_1 A_3 A_5 + (\sinh_q(1))^2 A_0 A_2). \end{aligned} \quad (2.37)$$

Compared with (2.24), one should think of the correspondence

$$a_s = A_0, \quad b_t = A_1, \quad \theta = A_2, \quad \Omega = A_3.$$

This notation is convenient for two reasons. First of all, similarly as in AW(3) we have that the overlap coefficients of  $Y_K$  and  $Y_L$  are univariate Askey–Wilson polynomials [17] with parameters depending explicitly on  $a_s$ ,  $b_t$ ,  $\Omega$  and  $\theta$ . Looking at how these parameters appear in (2.24), we will now see that the overlap coefficients of  $K$  and  $L$  are  $q$ -Racah polynomials that depend on  $(A_k)_{k=0}^3$  in a simple way.

Secondly, we will see in the rank 2 Askey–Wilson algebra defined later on in Section 3, that  $B$ ,  $D_0$  and  $D_1$  are not necessarily central elements anymore, but  $B$ ,  $D_0$ ,  $D_1$  have precisely the structure of (2.37).

To see the convenience of the reparametrized structure parameters, let us consider a finite-dimensional representation from Section 2.2, where  $N + 1$  is the dimension of the representation and  $C_0 = C_1 = -(\sinh_q(2))^2$ . Let  $(\alpha_k)_{k=0}^3$  be real numbers and let

$$\begin{aligned} A_0 &= \sinh_q(\alpha_0), & A_1 &= \sinh_q(\alpha_1), & A_2 &= \cosh_q(\alpha_2), \\ A_3 &= \cosh_q(\alpha_3), & A_4 &= A_5 = (\sinh_q(1))^2. \end{aligned} \quad (2.38)$$

Then one can show that  $(p_k)_{k=0}^3$  and  $(\alpha_k)_{k=0}^3$  relate via a simple linear transformation.

**Proposition 2.5.** *Let  $C_0 = C_1 = -(\sinh_q(2))^2$ , then we have*

$$p_0 = \alpha_0 + \alpha_3, \quad p_1 = \alpha_0 - \alpha_3, \quad p_2 = \alpha_1 + \alpha_2, \quad p_3 = \alpha_1 - \alpha_2, \quad (2.39)$$

where  $\alpha_3 = -N - 1$  with  $N \in \mathbb{N}$  the dimension of the representation and  $\alpha_0 + \alpha_3$  is the starting parameter of the spectrum of  $K$ .

**Proof.** The structure parameters  $B$ ,  $D_0$  and  $D_1$  can be written in terms of the parameters  $(p_k)_{k=0}^3$ , see Proposition 2.1. Together with requiring that the spectrum of  $K$  is of the form

$$\sinh_q(2n + p_0), \quad n = 0, \dots, N,$$

and the quantization condition  $p_1 - p_0 = 2(N + 1)$  this uniquely determines the roots  $(p_k)_{k=0}^3$ . We can easily compare the expressions for  $B$ ,  $D_0$ ,  $D_1$  from Proposition 2.1 with (2.37), using that  $\sinh_q(2) = \cosh_q(1)\sinh_q(1)$ . This gives

$$p_0 + p_1 = 2\alpha_0, \quad p_2 + p_3 = \alpha_1, \quad p_0 - p_1 = 2\alpha_3, \quad p_2 - p_3 = 2\alpha_2.$$

Rewriting this leads to (2.39). ■

Furthermore, the condition for finite-dimensional representations given by  $p_1 - p_0 = 2(N + 1)$ , now leads to  $\alpha_3 = -(N + 1)$ . Consequently, substituting this into (2.7) gives that the spectrum of  $K$  can be written as

$$\lambda_{n(k)} = \sinh_q(2n(k) + \alpha_0), \quad n(k) = k - \frac{N}{2}, \quad k \in \{0, \dots, N\}, \quad (2.40)$$

where  $k$  will be the degree of the resulting  $q$ -Racah polynomial. Similarly, the spectrum of  $L$  is given by

$$\mu_{m(j)} = \sinh_q(2m(j) + \alpha_1), \quad m(j) = j - \frac{N}{2}, \quad j \in \{0, \dots, N\}, \quad (2.41)$$

where  $j$  will determine the variable of the  $q$ -Racah polynomial. Since the form of the spectra will remain the same in the rank 2 case, we define

$$\lambda_x = \sinh_q(2x + \alpha_0) \quad \text{and} \quad \mu_x = \sinh_q(2x + \alpha_1). \quad (2.42)$$

The parameters  $A_4$  and  $A_5$  are similar to the roles of  $C_0$  and  $C_1$ . That is, by rescaling the generators  $K$  and  $L$  we can assign any value, while keeping the same sign, to  $A_4$  and  $A_5$ . This sign determines the form of the spectrum: positive leads to  $\sinh_q$ , while negative gives  $\cosh_q$ .

In summary, we have the following interpretation of the new structure parameters:

- $\alpha_0 \in \mathbb{R}$  determines the spectrum of  $K$ ,
- $\alpha_1 \in \mathbb{R}$  determines the spectrum of  $L$ ,
- $\alpha_3 \in \mathbb{Z}_+$  determines the dimension of the representation,
- The sign of  $A_4$  determines the form of the spectrum of  $L$ ,
- The sign of  $A_5$  determines the form of the spectrum of  $K$ .

The overlap coefficients of the eigenfunctions of  $K$  and  $L$  are  $q$ -Racah polynomials with parameters that depend in a simple way on  $(p_k)_{k=0}^3$  and the  $(p_k)_{k=0}^3$  are in turn related to the new structure parameters  $(\alpha_k)_{k=0}^3$  via (2.39). Therefore, we see that the  $q$ -Racah polynomials arising from the overlap coefficients of  $K$  and  $L$  can be simply computed from  $(\alpha_k)_{k=0}^3$ .

**Corollary 2.6.** *Let  $P_{n(k)}(m(j))$  be the overlap coefficients from (2.18). If  $\alpha_3 = -N - 1$  with  $N \in \mathbb{N}$ , we have*

$$P_{n(k)}(m(j)) = R_k(y_j; \alpha, \beta, \gamma, \delta; q^2), \quad k, j = 0, \dots, N.$$

Here,  $R_k(y_j; \alpha, \beta, \gamma, \delta; q)$  are the  $q$ -Racah polynomials from (2.15) and

$$\alpha = -q^{\alpha_0 + \alpha_1 + \alpha_2 + \alpha_3}, \quad \beta = q^{\alpha_0 - \alpha_1 - \alpha_2 + \alpha_3}, \quad \gamma = q^{2\alpha_3}, \quad \delta = -q^{2\alpha_1}. \quad (2.43)$$

**Proof.** This is just (2.17) combined with (2.39). ■

Similar to (2.19), we have

$$\delta_{n(k), n(k')} = \sum_{j=0}^N w(j, k) P_{n(k)}(m(j)) \overline{P_{n(k')}(m(j))},$$

where

$$w(j, k) := w(j, k, \alpha_0, \alpha_1, \alpha_2, \alpha_3; q) = \frac{\rho(j, \alpha, \beta, \gamma, \delta; q^2)}{h_n(\alpha, \beta, \gamma, \delta; q^2)}. \quad (2.44)$$

Here, the parameters  $(\alpha_k)_{k=0}^3$  are related to  $(\alpha, \beta, \gamma, \delta)$  via (2.43) and the functions  $\rho$  and  $h_n$  are given in (2.21) and (2.22) respectively.

Moreover,  $a_n$  and  $b_n$  from (2.6) depend explicitly on  $\alpha_0, \alpha_1, \alpha_2, N$ . This can be seen by substituting (2.37) and (2.38) into formula (2.9) for  $b_n$  and (2.39) into formula (2.8) for  $a_n$ . We can simplify the expression for  $b_n$  even more by using

$$\lambda_n - \lambda_{n-1} = \sinh_q(1) \cosh_q(2n + \alpha_0 - 1). \quad (2.45)$$

Because of the result after substitution, for  $\boldsymbol{\alpha} = (\alpha_0, \alpha_1, \alpha_2, \alpha_3) \in \mathbb{R}^4$  we define

$$a_n^2(\boldsymbol{\alpha}) = \frac{-\prod_{k=0}^3 (\sinh_q(2n + \alpha_0 - 1) - \sinh_q(p_k))}{\cosh_q(2n + \alpha_0 - 1)^2 \cosh_q(2n + \alpha_0) \cosh_q(2n + \alpha_0 - 2)}, \quad (2.46)$$

$$b_n(\boldsymbol{\alpha}) = \frac{(A_1 - A_2 A_3) \lambda_n + \cosh_q(1) (A_1 A_3 + A_0 A_2)}{\cosh_q(2n + \alpha_0 - 1) \cosh_q(2n + \alpha_0 + 1)}, \quad (2.47)$$

where  $(p_k)_{k=0}^3$  and  $(A_k)_{k=0}^3$  depend on  $(\alpha_k)_{k=0}^3$  via (2.39) and (2.38) respectively. When  $C_0 > 0$  and  $C_1 < 0$ , the formulas for  $a_n^2$  and  $b_n$  change slightly. For  $a_n^2$ , we have to remove the minus sign in front of the product in the numerator and interchange  $\alpha_1 \leftrightarrow \alpha_2$ . The formula for  $b_n$  changes in the sense that  $(A_k)_{k=0}^3$  depend on  $(\alpha_k)_{k=0}^3$  in a different way. Therefore we define

$$\tilde{a}_n^2(\tilde{\alpha}_0, \tilde{\alpha}_1, \tilde{\alpha}_2, \tilde{\alpha}_3) = -a_n^2(\tilde{\alpha}_0, \tilde{\alpha}_2, \tilde{\alpha}_1, \tilde{\alpha}_3), \quad (2.48)$$

$$\tilde{b}_n(\boldsymbol{\alpha}) = \frac{(\tilde{A}_1 - \tilde{A}_2\tilde{A}_3)\lambda_n + \cosh_q(1)(\tilde{A}_1\tilde{A}_3 + \tilde{A}_0\tilde{A}_2)}{\cosh_q(2n + \alpha_0 - 1)\cosh_q(2n + \alpha_0 + 1)}, \quad (2.49)$$

where

$$\tilde{A}_0 = \sinh_q(\alpha_0), \quad \tilde{A}_1 = \cosh_q(\alpha_1), \quad \tilde{A}_2 = \sinh_q(\alpha_2), \quad \tilde{A}_3 = \cosh_q(\alpha_3).$$

To end this section let us make the connection with the Askey–Wilson algebra as defined in [4]. Let us write

$$\Lambda_1 = A_1, \quad \Lambda_2 = A_3, \quad \Lambda_3 = A_0, \quad \Lambda_{12} = L, \quad \Lambda_{23} = K, \quad \Lambda_{123} = -A_2,$$

and set  $A_4 = A_5 = -(\sinh_q(1))^2$ . Define

$$\Lambda_{13} = -\frac{[\Lambda_{12}, \Lambda_{23}]_q}{\sinh_q(2)} + \frac{\Lambda_1\Lambda_3 + \Lambda_2\Lambda_{123}}{\cosh_q(1)},$$

then the AW-relations (2.2) and (2.3) can be written as

$$\Lambda_{12} = -\frac{[\Lambda_{23}, \Lambda_{13}]_q}{\sinh_q(2)} + \frac{\Lambda_2\Lambda_3 + \Lambda_1\Lambda_{123}}{\cosh_q(1)}, \quad \Lambda_{23} = -\frac{[\Lambda_{13}, \Lambda_{12}]_q}{\sinh_q(2)} + \frac{\Lambda_1\Lambda_2 + \Lambda_3\Lambda_{123}}{\cosh_q(1)}.$$

Moreover, for the Askey–Wilson algebra inside  $\mathcal{U}_q$  generated by  $Y_L$  and  $Y_K$  it follows from [30, Theorem 2.17] that the corresponding Casimir element  $Q$  (2.4) of the Askey–Wilson algebra can be simplified to

$$Q = (\cosh_q(1))^2 - \Lambda_{123}^2 - \Lambda_1^2 - \Lambda_2^2 - \Lambda_3^2 - \Lambda_{123}\Lambda_1\Lambda_2\Lambda_3.$$

This implies that  $Y_L$  and  $Y_K$  generate a *special* Askey–Wilson algebra, as defined in [4, Section 2.1], inside  $\mathcal{U}_q$ .

### 3 Construction of the rank 2 Askey–Wilson algebra $\text{AW}_2$

#### 3.1 Coproducts of $Y_K$ and $Y_L$ and the Askey–Wilson algebra

In [17], it was shown that the overlap coefficients of coproducts of  $Y_K$  and  $Y_L$  are multivariate Askey–Wilson polynomials. Moreover,  $q$ -difference operators for which these multivariate Askey–Wilson polynomials are eigenfunctions can be realized by these coproducts of twisted primitive elements. One can check that these coproducts also satisfy the AW-relations (Theorem 3.1). This then will be our motivation for the defining relations of the rank 2 Askey–Wilson algebra  $\text{AW}_2$ .

Let us consider the following elements in  $\mathcal{U}_q \otimes \mathcal{U}_q$ ,

$$1 \otimes Y_K, \quad \Delta(Y_K), \quad Y_L \otimes 1, \quad \Delta(Y_L) \quad \text{and} \quad \Delta(\Omega).$$

We want to know how these elements relate to each other. Two easy observations are that  $1 \otimes Y_K$  and  $Y_L \otimes 1$  commute and that  $\Delta(Y_K)$  and  $\Delta(Y_L)$  satisfy the AW-relations, since  $\Delta$  is an algebra homomorphism. That is,

$$\begin{aligned} & \cosh_q(2)\Delta(Y_L)\Delta(Y_K)\Delta(Y_L) - \Delta(Y_L)^2\Delta(Y_K) - \Delta(Y_K)\Delta(Y_L)^2 \\ & \quad = \Delta(B)\Delta(Y_L) + C_0\Delta(Y_K) + \Delta(D_0), \\ & \cosh_q(2)\Delta(Y_K)\Delta(Y_L)\Delta(Y_K) - \Delta(Y_K)^2\Delta(Y_L) - \Delta(Y_L)\Delta(Y_K)^2 \\ & \quad = \Delta(B)\Delta(Y_K) + C_1\Delta(Y_L) + \Delta(D_1), \end{aligned}$$

where  $B, C_0, C_1, D_0, D_1$  can be found in (2.24). Notice that  $C_0$  and  $C_1$  are constants, thus

$$\Delta(C_0) = C_0(1 \otimes 1) \quad \text{and} \quad \Delta(C_1) = C_1(1 \otimes 1).$$

However,  $\Delta(B), \Delta(D_0)$  and  $\Delta(D_1)$  are not central elements of  $\mathcal{U}_q \otimes \mathcal{U}_q$  anymore due to the appearance of  $\Delta(\Omega)$ . They do not even commute with our four generators, since  $\Delta(\Omega)$  does not commute with  $1 \otimes Y_K$  or  $Y_L \otimes 1$ . We do see that  $\Delta(\Omega)$  ‘locally’ commutes, i.e., it commutes with  $\Delta(Y_K)$  and  $\Delta(Y_L)$ , the elements of the AW-relations it appears in as a structure parameter. Also, we already noted that  $1 \otimes Y_K$  and  $\Delta(Y_K)$  commute and  $Y_L \otimes 1$  and  $\Delta(Y_L)$  as well because of (2.23).

Let us now study how  $Y_L \otimes 1$  and  $\Delta(Y_K)$  relate. It turns out that  $(Y_L \otimes 1, \Delta(Y_K))$  satisfy the AW(3) relations (2.2) and (2.3) where the structure ‘parameters’ contain the ‘locally’ commuting operators  $\Delta(Y_L)$  and  $1 \otimes Y_K$ . A similar result is (non surprisingly) true for  $(1 \otimes Y_K, \Delta(Y_L))$ . Also, both couples  $(\Delta(\Omega), 1 \otimes Y_K)$  and  $(\Delta(\Omega), Y_L \otimes 1)$  satisfy the AW-relations. This is summarized in the next theorem.

**Theorem 3.1.** *Each pair of the elements  $1 \otimes Y_K, \Delta(Y_K), Y_L \otimes 1, \Delta(Y_L), \Delta(\Omega) \in \mathcal{U}_q \otimes \mathcal{U}_q$  either commutes or satisfies the AW-relations (2.2) and (2.3). The pairs of non-commuting elements satisfy these relations with the structure parameters in Table 1.*

Generator 1	Generator 2	$A_0$	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$
$\Delta(Y_K)$	$\Delta(Y_L)$	$a_s$	$b_t$	$\theta$	$\Delta(\Omega)$	$b_{\hat{E}}b_{\hat{F}}$	$a_{\hat{E}}a_{\hat{F}}$
$1 \otimes Y_K$	$\Delta(Y_L)$	$a_s$	$Y_L \otimes 1$	$\theta$	$1 \otimes \Omega$	$b_{\hat{E}}b_{\hat{F}}$	$a_{\hat{E}}a_{\hat{F}}$
$\Delta(Y_K)$	$Y_L \otimes 1$	$1 \otimes Y_K$	$b_t$	$\theta$	$\Omega \otimes 1$	$b_{\hat{E}}b_{\hat{F}}$	$a_{\hat{E}}a_{\hat{F}}$
$1 \otimes Y_K$	$\Delta(\Omega)$	$a_s$	$\Omega \otimes 1$	$-\Delta(Y_K)$	$1 \otimes \Omega$	$-\sinh_q(1)^2$	$a_{\hat{E}}a_{\hat{F}}$
$\Delta(\Omega)$	$Y_L \otimes 1$	$1 \otimes \Omega$	$b_t$	$-\Delta(Y_L)$	$\Omega \otimes 1$	$b_{\hat{E}}b_{\hat{F}}$	$-\sinh_q(1)^2$

**Table 1.** Askey–Wilson algebra relations of twisted primitive elements.

**Proof.** This is a direct computation in  $\mathcal{U}_q \otimes \mathcal{U}_q$ . For readability, these calculations can be found in Appendix A. ■

**Remark 3.2.** Let us consider the tensor product of two irreducible representations of  $\mathcal{U}_q$ . Clebsch-Gordan coefficients for a basis of eigenvectors of  $\Delta(Y_K)$  which are also eigenvectors of  $1 \otimes Y_K$ , can be obtained from diagonalizing  $\Delta(\Omega)$  acting on such eigenvectors. From Table 1, we see that  $\Delta(\Omega)$  and  $1 \otimes Y_K$  generate an Askey–Wilson algebra, and note that the structure ‘parameters’  $A_1 = \Omega \otimes 1, A_2 = \Delta(Y_K)$  and  $A_3 = 1 \otimes \Omega$  act as multiplication operators in this case. As a consequence, see Section 2.3, the Clebsch-Gordan coefficients are essentially  $q$ -Racah polynomials. Using this reasoning in the case of tensor products of (infinite-dimensional) irreducible representations of  $\mathcal{U}_q(\mathfrak{su}(1, 1))$ , one expects the Clebsch-Gordan coefficients for simultaneous eigenvectors of  $\Delta(Y_K)$  and  $1 \otimes Y_K$  to be eigenfunctions of an Askey–Wilson  $q$ -difference operator. Indeed, in the case of a tensor product of discrete series representations of  $\mathcal{U}_q(\mathfrak{su}(1, 1))$  the Clebsch-Gordan are essentially Askey–Wilson polynomials, see [16, 23].

### 3.2 Defining the rank 2 Askey–Wilson algebra $AW_2$

Inspired by Theorem 3.1, we will define the rank 2 Askey–Wilson algebra  $AW_2$ . Constructing this algebra will be done without any dependence on  $\mathcal{U}_q$ . We will introduce elements in  $AW_2$  which resemble  $Y_K, Y_L, \Omega$  in  $\mathcal{U}_q$  as well as their coproducts. Defining a comultiplication is not needed in  $AW_2$ . This construction of  $AW_2$  will proceed in three steps. First, we will set up two original AW(3) algebras and define the algebra  $\mathscr{A}$  to be their tensor product. Then, we

let  $\text{AW}_1(M_2)$  be the Askey–Wilson algebra that resembles the first row of Table 1. Lastly, we will combine these algebras and define  $\text{AW}_2$  as the algebra generated<sup>4</sup> by the two algebras  $\mathcal{A}$  and  $\text{AW}_1(M_2)$  subject to the Askey–Wilson algebra relations that appear in rows 2–5 of Table 1.

To have a short notation for when two elements of an algebra satisfy the AW-relations, we will introduce the function  $\mathcal{AW}$ .

**Definition 3.3.** Let  $A$  be an algebra over  $\mathbb{C}$  and  $A^n$  be the  $n$ -ary Cartesian product of  $A$ . Define the function  $\mathcal{AW} : A^8 \rightarrow A^2$  by

$$\begin{aligned} \mathcal{AW}(K, L \mid A_0, A_1, A_2, A_3, A_4, A_5) &= \begin{pmatrix} \cosh_q(2)K L K - K^2 L - L K^2 \\ \cosh_q(2)L K L - L^2 K - K L^2 \end{pmatrix} \\ &- \begin{pmatrix} (\sinh_q(1))^2(A_0 A_1 - A_2 A_3)K - (\cosh_q(1))^2 A_5 L + \cosh_q(1)(A_1 A_3 A_5 + (\sinh_q(1))^2 A_0 A_2) \\ (\sinh_q(1))^2(A_0 A_1 - A_2 A_3)L - (\cosh_q(1))^2 A_4 K + \cosh_q(1)(A_0 A_3 A_4 + (\sinh_q(1))^2 A_1 A_2) \end{pmatrix} \end{aligned}$$

for  $K, L, A_0, A_1, A_2, A_3, A_4, A_5 \in A$ . We then call  $K, L$  the generators and  $(A_k)_{k=0}^5$  the structure parameters. Moreover, we say that the structure parameters are locally central if  $(A_k)_{k=0}^5$  commute with each other as well as with the generators  $K$  and  $L$  of that relation. That is,

$$[A_k, A_l] = [A_k, K] = [A_k, L] = 0 \quad \text{for all } k, l \in \{0, 1, 2, 3, 4, 5\},$$

where  $[\cdot, \cdot]$  is the regular commutation bracket defined by

$$[X, Y] = XY - YX.$$

**Remark 3.4.** We have that  $\mathcal{AW}(K, L \mid A_0, A_1, A_2, A_3, A_4, A_5) = 0$  if and only if two elements  $K, L$  of an algebra satisfy the AW-relations with parameters  $A_0, \dots, A_5$ .

From now on, fix constants  $A_0, A_1, A_2, A_{N_1}, A_{N_2}, \sigma_K, \sigma_L \in \mathbb{R}$ . Later on,  $A_{N_i}$  will determine the dimension of the vector space  $V_i$  by taking

$$A_{N_i} = \cosh_q(-N_i - 1).$$

Let us first introduce the two original AW(3) algebras. For  $i \in \{1, 2\}$ , let  $\text{AW}_1(A_{N_i})$  be the algebra generated by  $K_1$  and  $L_1$  subject to the relations

$$\mathcal{AW}(K_1, L_1 \mid A_0, A_1, A_2, A_{N_i}, \sigma_L, \sigma_K) = 0.$$

Then we let

$$\mathcal{A} = \text{AW}_1(A_{N_1}) \otimes \text{AW}_1(A_{N_2}).$$

Secondly, we need the Askey–Wilson algebra  $\text{AW}_1(M_2)$ . This is the algebra generated by  $K_2, L_2$  and  $M_2$  subject to the relations

$$\mathcal{AW}(K_2, L_2 \mid A_0, A_1, A_2, M_2, \sigma_L, \sigma_K) = 0, \tag{3.1}$$

where  $M_2$  is a central element of  $\text{AW}_1(M_2)$ . In the setting of Section 3.1,  $M_2$  would be the coproduct of the Casimir of  $\mathcal{U}_q$ , which is central with respect to algebra  $\Delta(\mathcal{U}_q)$ . Now we are ready to define  $\text{AW}_2$ , where the relations are motivated by the commutation relations of  $\mathcal{U}_q \otimes \mathcal{U}_q$  in rows 2–5 of Table 1.

<sup>4</sup>This is the free product algebra of the algebras  $\mathcal{A}$  and  $\mathcal{B}$  subject to the extra relations.



**Definition 3.5.** Let  $\text{AW}_2$  be the unital, associative, complex algebra generated by the algebras  $\mathcal{A}$  and  $\text{AW}_1(M_2)$  subject to the relations

$$\mathcal{AW}(1 \otimes K_1, L_2 \mid A_0, L_1 \otimes 1, A_2, A_{N_2}, \sigma_L, \sigma_K) = 0, \tag{3.2}$$

$$\mathcal{AW}(K_2, L_1 \otimes 1 \mid 1 \otimes K_1, A_1, A_2, A_{N_1}, \sigma_L, \sigma_K) = 0, \tag{3.3}$$

$$\mathcal{AW}(1 \otimes K_1, M_2 \mid A_0, A_{N_1}, K_2, A_{N_2}, -\sinh_q(1)^2, \sigma_K) = 0, \tag{3.4}$$

$$\mathcal{AW}(M_2, L_1 \otimes 1 \mid A_{N_2}, A_1, L_2, A_{N_1}, \sigma_L, -\sinh_q(1)^2) = 0, \tag{3.5}$$

where all structure parameters are locally central.

**Remark 3.6.** Since  $1 \otimes K_1$  is locally central in (3.3), it commutes with  $K_2$ . Similarly,  $L_1 \otimes 1$  commutes with  $L_2$ . Also,  $M_2$  commutes with both  $K_2$  and  $L_2$ .

The correspondence between elements in  $\mathcal{U}_q \otimes \mathcal{U}_q$  and  $\text{AW}_2$  can be found in Table 2.

Algebra	Generators					Central elements						
$\mathcal{U}_q \otimes \mathcal{U}_q$	$1 \otimes Y_K$	$\Delta(Y_K)$	$Y_L \otimes 1$	$\Delta(Y_L)$	$\Delta(\Omega)$	$a_s$	$b_t$	$\theta$	$\Omega \otimes 1$	$1 \otimes \Omega$	$b_E b_F$	$a_E a_F$
$\text{AW}_2$	$1 \otimes K_1$	$K_2$	$L_1 \otimes 1$	$L_2$	$M_2$	$A_0$	$A_1$	$A_2$	$A_{N_1}$	$A_{N_2}$	$\sigma_L$	$\sigma_K$

**Table 2.** Correspondence between  $\mathcal{U}_q \otimes \mathcal{U}_q$  and the generators of  $\text{AW}_2$ .

**Remark 3.7.** There exists a homomorphism from  $\text{AW}_2$  to the rank 2 Askey–Wilson algebra  $\text{AW}(4)$  defined in [8]. Indeed, let  $\Lambda_A$ ,  $A \subseteq \{1, 2, 3, 4\}$  be defined as in [8, Section 2.3]. It can be checked that the  $\Lambda$ 's satisfy the  $\text{AW}_2$ -relations if we set

$$\begin{aligned} \Lambda_{\{1\}} &= A_1, & \Lambda_{\{2\}} &= A_{N_1}, & \Lambda_{\{3\}} &= A_{N_2}, & \Lambda_{\{4\}} &= A_0, \\ \Lambda_{\{1,2\}} &= L_1 \otimes 1, & \Lambda_{\{2,3\}} &= M_2, & \Lambda_{\{3,4\}} &= 1 \otimes K_1, \\ \Lambda_{\{1,2,3\}} &= L_2, & \Lambda_{\{1,2,3,4\}} &= A_2, & \Lambda_{\{2,3,4\}} &= K_2. \end{aligned} \tag{3.6}$$

However, this correspondence does not give an isomorphism since  $\text{AW}(4)$  has more relations. Namely, the relations between  $\Lambda_A$ ,  $\Lambda_B$  and  $\Lambda_C$  where  $(A, B, C)$  is any cyclic permutation of

$$(\{1, 3\}, \{3, 4\}, \{1, 4\}) \quad \text{or} \quad (\{1, 2\}, \{2, 4\}, \{1, 4\}), \tag{3.7}$$

i.e., the relations where two  $\Lambda$ 's have ‘holes’ in it. An interesting question is whether above relations hold in the subalgebra of  $\mathcal{U}_q \otimes \mathcal{U}_q$  generated by twisted primitive elements, the Casimir  $\Omega$  and their coproducts. That is, we can use Table 2 and (3.6) to obtain a correspondence between that subalgebra of  $\mathcal{U}_q \otimes \mathcal{U}_q$  and  $\text{AW}(4)$ . It can be show that the extra relations (3.7) indeed hold there, which requires large computations in  $\mathcal{U}_q \otimes \mathcal{U}_q$ . For one of the relations we have written out parts of the computation in Appendix B.

The relations in [8] suggest how the relations of a rank  $N$  Askey–Wilson algebra inside  $\mathcal{U}_q^{\otimes N}$  may look. This would simplify checking relations in  $\mathcal{U}_q^{\otimes N}$ , which is done in Appendix A for the ‘easy’ case  $N = 2$ . Let us define  $\Delta^n : \mathcal{U}_q^{\otimes n} \rightarrow \mathcal{U}_q^{\otimes n+1}$  recursively by

$$\Delta^n = (1^{\otimes n-1} \otimes \Delta) \Delta^{n-1},$$

with  $\Delta^0$  the identity on  $\mathcal{U}_q$ . Note that by the coassociativity of  $\Delta$ , this is equal to

$$\Delta^n = (\Delta \otimes 1^{\otimes n-1}) \Delta^{n-1}.$$



Denote by  $[i : j]$  the set of consecutive integers  $\{i, i + 1, \dots, j - 1, j\}$ . Then we conjecture the following correspondence between coproducts of twisted primitive elements and Casimirs in  $\mathcal{U}_q^{\otimes N}$  and  $\Lambda_A$ 's,  $A \subseteq \{1, \dots, N + 2\}$ , in  $\text{AW}(N + 2)$ ,

$$\begin{aligned}\Lambda_{\{1\}} &= a_\sigma, & \Lambda_{\{N+2\}} &= b_t, & \Lambda_{[1:N+2]} &= \theta, \\ \Lambda_{[1:n+1]} &= \Delta^{n-1}(Y_L) \otimes 1^{\otimes N-n}, & n &= 0, \dots, N, \\ \Lambda_{[i:j]} &= 1^{\otimes i-2} \otimes \Delta^{j-i}(\Omega) \otimes 1^{\otimes N+1-j}, & 2 \leq i \leq j \leq N+1, \\ \Lambda_{[n+1:N+2]} &= 1^{\otimes n-1} \otimes \Delta^{N-n}(Y_K), & n &= 1, \dots, N.\end{aligned}$$

### 3.3 Finite-dimensional representations of $\text{AW}_2$

By Theorem 3.1, the elements  $1 \otimes Y_K$ ,  $Y_L \otimes 1$ ,  $\Delta(Y_K)$ ,  $\Delta(Y_L)$  and  $\Delta(\Omega)$  in  $\mathcal{U}_q^{\otimes 2}$  satisfy all relations of  $\text{AW}_2$ . Therefore, we automatically get existence of a representation of  $\text{AW}_2$  from the representation of  $\mathcal{U}_q^{\otimes 2}$  if we take  $A_{N_1}$  and  $A_{N_2}$  to be the constants of the representation value of  $\Omega \otimes 1$  and  $1 \otimes \Omega$  in  $\mathcal{U}_q^{\otimes 2}$ .

**Corollary 3.8.** *Let  $V_1$  and  $V_2$  be vector spaces with  $\dim(V_k) = N_k + 1$  for  $k = 1, 2$ . If  $A_{N_1} = \cosh_q(N_1 + 1)$  and  $A_{N_2} = \cosh_q(N_2 + 1)$ , there exists a representation of  $\text{AW}_2$  on  $V_1 \otimes V_2$ .*

Moreover, we can show that there exists a representation of  $\text{AW}_2$ , where  $1 \otimes K_1$ ,  $K_2$ ,  $L_1 \otimes 1$ ,  $L_2$  and  $M_2$  are self-adjoint in the case  $\sigma_K, \sigma_L \geq 0$  using the representation theory of  $\mathcal{U}_q^{\otimes 2}$  and the  $*$ -structures of the Hopf algebra  $\mathcal{U}_q$ .

**Proposition 3.9.** *Let  $V_1$ ,  $V_2$ ,  $A_{N_1}$  and  $A_{N_2}$  as in Corollary 3.8,  $A_0, A_1, A_2 \in \mathbb{R}$  and let  $\sigma_K, \sigma_L \geq 0$ . Then there exist a representation of  $\text{AW}_2$  on  $V_1 \otimes V_2$  and an inner product on  $V_1 \otimes V_2$  such that  $1 \otimes K_1$ ,  $K_2$ ,  $L_1 \otimes 1$ ,  $L_2$  and  $M_2$  are self-adjoint.*

**Proof.** Let  $*$  be any  $*$ -structure on  $\mathcal{U}_q$ , then we have

$$\begin{aligned}Y_K^* &= q^{-\frac{1}{2}} \bar{a}_{\hat{E}} \hat{K}^* \hat{E}^* + q^{\frac{1}{2}} \bar{a}_{\hat{F}} \hat{K}^* \hat{F}^* + \bar{a}_s (\hat{K}^*)^2, \\ Y_L^* &= q^{\frac{1}{2}} \bar{b}_{\hat{E}} (\hat{K}^{-1})^* \hat{E}^* + q^{-\frac{1}{2}} \bar{b}_{\hat{F}} (\hat{K}^{-1})^* \hat{F}^* + \bar{b}_t ((\hat{K}^{-1})^*)^2.\end{aligned}$$

There are 2 inequivalent  $*$ -structures on  $\mathcal{U}_q$  in the case  $0 < q < 1$ ,

- (i)  $\mathcal{U}_q(\mathfrak{su}(2))$  defined by  $\hat{K}^* = \hat{K}$ ,  $\hat{E}^* = \hat{F}$ ,  $\hat{F}^* = \hat{E}$ ,
- (ii)  $\mathcal{U}_q(\mathfrak{su}(1,1))$  defined by  $\hat{K}^* = \hat{K}$ ,  $\hat{E}^* = -\hat{F}$ ,  $\hat{F}^* = -\hat{E}$ .

Since  $\mathcal{U}_q(\mathfrak{su}(1,1))$  has no irreducible finite-dimensional representations except the trivial one, we will focus on  $\mathcal{U}_q(\mathfrak{su}(2))$ . The Casimir  $\Omega$  is self-adjoint in  $\mathcal{U}_q(\mathfrak{su}(2))$ , which implies  $\Delta(\Omega)$  is as well. In order for  $Y_K$  and  $Y_L$  to be self-adjoint in  $\mathcal{U}_q(\mathfrak{su}(2))$ , we require  $\bar{a}_{\hat{E}} = a_{\hat{F}}$ ,  $\bar{b}_{\hat{E}} = b_{\hat{F}}$  and  $a_s, b_t \in \mathbb{R}$ . Then we have, using Table 2,

$$\sigma_K = |a_{\hat{E}}|^2 \geq 0 \quad \text{and} \quad \sigma_L = |b_{\hat{E}}|^2 \geq 0$$

and  $A_0, A_1, A_2 \in \mathbb{R}$ . For each dimension  $N + 1 \in \mathbb{N}$ , the algebra  $\mathcal{U}_q(\mathfrak{su}(2))$  has an irreducible  $*$ -representation, see, e.g., [22]. This in turn defines a  $*$ -representation on  $\mathcal{U}_q(\mathfrak{su}(2)) \otimes \mathcal{U}_q(\mathfrak{su}(2))$ . By Theorem 3.1, this is automatically a representation of  $\text{AW}_2$  in which  $1 \otimes K_1$ ,  $K_2$ ,  $L_1 \otimes 1$ ,  $L_2$  and  $M_2$  are self-adjoint.  $\blacksquare$

**Remark 3.10.** In the following sections we use the above defined finite-dimensional representation of  $\text{AW}_2$ . For existence of this representation we referred to  $*$ -representations of  $\mathcal{U}_q$ . However, let us stress that the calculations in the following sections only make use of the  $\text{AW}_2$ -relations, and other than for existence of the representations, no representation theory of  $\mathcal{U}_q$  is needed.

## 4 Bivariate Askey–Wilson polynomials as overlap coefficients of $AW_2$

We will now show that the overlap coefficients of eigenvector of  $K_2$  and  $L_2$  are bivariate  $q$ -Racah polynomials similar to the ones defined by Gasper and Rahman [12]. We will construct a representation of  $AW_2$  on a tensor product of vector spaces  $V_1$  and  $V_2$ , which have dimensions  $N_1 + 1$  and  $N_2 + 1$  respectively.

A representation of  $AW_2$  on  $V_1 \otimes V_2$  exists by Corollary 3.8. Note that  $AW_1(A_{N_1}) \otimes AW_1(A_{N_2})$  has a natural representation on  $V_1 \otimes V_2$  coming from the representations from  $AW_1(A_{N_1})$  on  $V_1$  and  $AW_1(A_{N_2})$  on  $V_2$  given by (2.5)–(2.9). We will extend this representation of  $AW_1(A_{N_1}) \otimes AW_1(A_{N_2})$  to  $AW_2$ . Let us fix  $\sigma_L = \sigma_K = (\sinh_q(1))^2$  and  $A_{N_i} = \cosh_q(-N_i - 1)$ . Then we are in the same setting<sup>5</sup> as before. Then, by (2.5) and (2.40) we get a basis for  $V_2$  given by  $\{\psi_{n_2(k)}\}_{k=0}^{N_2}$ , where

$$n_2(k) = k - \frac{1}{2}N_2.$$

These are the eigenvectors of  $K_1 \in AW_1(A_{N_2})$  corresponding to eigenvalue  $\lambda_{n_2(k)}$ . We will often write

$$\psi_{n_2} := \psi_{n_2(k)} \quad \text{and} \quad \lambda_{n_2} := \lambda_{n_2(k)}$$

when the index  $k$  is not relevant.

Similarly, by (2.12) and (2.41) there is a basis  $\{\phi_{m_1(j)}\}_{j=0}^{N_1}$  for  $V_1$ , which are the eigenvectors of  $L_1 \in AW_1(A_{N_1})$  with eigenvalue  $\mu_{m_1(j)}$ . Here

$$m_1(j) = j - \frac{1}{2}N_1.$$

Similar to before, we will write

$$\phi_{m_1} := \phi_{m_1(j)} \quad \text{and} \quad \mu_{m_1} := \mu_{m_1(j)}$$

when the index  $j$  is not relevant.

Thus, if  $v_1 \in V_1$  and  $v_2 \in V_2$ , we have

$$\begin{aligned} (1 \otimes K_1)(v_1 \otimes \psi_{n_2}) &= \lambda_{n_2} v_1 \otimes \psi_{n_2}, \\ (L_1 \otimes 1)(\phi_{m_1} \otimes v_2) &= \mu_{m_1} \phi_{m_1} \otimes v_2, \end{aligned}$$

where  $\lambda_k$  and  $\mu_k$  are given in (2.42). Using these eigenvectors, we can define an inner product on  $V_1$  and  $V_2$  on the basis elements by

$$\langle \phi_{m_1}, \phi_{m'_1} \rangle_{V_1} = \delta_{m_1 m'_1} \quad \text{and} \quad \langle \psi_{n_2}, \psi_{n'_2} \rangle_{V_2} = \delta_{n_2 n'_2}.$$

This in turn defines an inner product on  $V_1 \otimes V_2$  by

$$\langle v_1 \otimes v_2, v'_1 \otimes v'_2 \rangle_{V_1 \otimes V_2} = \langle v_1, v'_1 \rangle_{V_1} \langle v_2, v'_2 \rangle_{V_2}, \quad v_1, v'_1 \in V_1, \quad v_2, v'_2 \in V_2.$$

With respect to this inner product, both  $L_1 \otimes 1$  and  $1 \otimes K_1$  are self-adjoint. Also, we can use  $\psi_{n_2}$  and  $\phi_{m_1}$  to construct eigenvectors of  $K_2$  and  $L_2$  respectively. Let us start with  $K_2$ , for which we can find an eigenvector coming from the eigenvector  $\psi_{n_2}$  of  $K_1$ .

**Proposition 4.1.** *For each  $\psi_{n_2}$ , there exists  $\xi \in V_1$  such that  $\xi \otimes \psi_{n_2}$  is an eigenvector of  $K_2$ .*

<sup>5</sup>That is,  $C_0 = C_1 = -(\sinh_q(2))^2$ .

**Proof.** Let  $\lambda_{n_2}$  be the eigenvalue corresponding to eigenvector  $\psi_{n_2}$ , i.e.,

$$K_1 \psi_{n_2} = \lambda_{n_2} \psi_{n_2}.$$

Since  $K_2$  and  $1 \otimes K_1$  commute, we have for any vector  $v \in V_1$ ,

$$(1 \otimes K_1) K_2(v \otimes \psi_{n_2}) = \lambda_{n_2} K_2(v \otimes \psi_{n_2}).$$

Thus  $K_2(v \otimes \psi_{n_2})$  is also an eigenvector of  $1 \otimes K_1$  with eigenvalue  $\lambda_{n_2}$ . Since the eigenspaces of  $K_1$  in  $V_2$  are one-dimensional, there exists a unique  $w \in V_1$  such that

$$K_2(v \otimes \psi_{n_2}) = w \otimes \psi_{n_2}.$$

Define  $A$  to be the operator on  $V_1$  that resembles the action of  $K_2$  on the left side of the above tensor product, i.e.,

$$Av = w.$$

Since  $K_2$  is a linear operator,  $A$  is as well. Therefore,  $A$  has an eigenvector, say  $\xi$  with eigenvalue  $\lambda'$ . Then we have

$$K_2(\xi \otimes \psi_{n_2}) = (A\xi) \otimes \psi_{n_2} = \lambda' \xi \otimes \psi_{n_2}. \quad \blacksquare$$

Since  $K_2$  and  $L_1 \otimes 1$  satisfy the AW relation (3.3), we get a similar ‘ladder’ property as in the original AW(3). Namely we can use  $L_1 \otimes 1$  to create a ladder of eigenvectors for  $K_2$  from the eigenvector  $\xi \otimes \psi_{n_2}$ . Moreover, the ‘Leonard pair’ property still holds. That is,  $L_1 \otimes 1$  acts as a three-term operator on the eigenvectors of  $K_2$ . The proof is similar to the original AW(3), where one has to realize that the non-constant term ‘ $1 \otimes K_1$ ’ that appears in the AW-relation (3.3) must be treated as the constant  $\lambda_{n_2}$ : the eigenvalue of how it acts on  $\xi \otimes \psi_{n_2}$ .

**Proposition 4.2.** *For each  $\psi_{n_2}$ , there is a basis for  $V_1$  given by*

$$\{\psi_{n_1(k)}^{n_2}\}_{k=0}^{N_1},$$

such that  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$  is an eigenvector of  $K_2$  with eigenvalue  $\lambda_{n_1}$ ,

$$K_2(\psi_{n_1}^{n_2} \otimes \psi_{n_2}) = \lambda_{n_1} \psi_{n_1}^{n_2} \otimes \psi_{n_2}.$$

Here,

$$n_1 := n_1(k) = k + n_2 - \frac{1}{2}N_1.$$

Moreover,  $L_1 \otimes 1$  acts as a three-term operator on these eigenvectors,

$$\begin{aligned} (L_1 \otimes 1)(\psi_{n_1}^{n_2} \otimes \psi_{n_2}) &= a_{n_1}(\alpha_{L_1}^{n_2}) \psi_{n_1-1}^{n_2} \otimes \psi_{n_2} + b_{n_1}(\alpha_{L_1}^{n_2}) \psi_{n_1}^{n_2} \otimes \psi_{n_2} \\ &\quad + a_{n_1+1}(\alpha_{L_1}^{n_2}) \psi_{n_1+1}^{n_2} \otimes \psi_{n_2}, \end{aligned}$$

where  $\alpha_{L_1}^{n_2} = (\alpha_0 + 2n_2, \alpha_1, \alpha_2, -N_1 - 1)$  and the functions  $a_n$  and  $b_n$  are given by (2.46) and (2.47).

**Proof.** The proof is similar to the construction of the representation of the original AW(3), see [35]. Let  $\psi_{n_2}$  be an eigenvector of  $K_1$ . By the previous proposition, there exists an eigenvector  $\psi_p^{n_2} \otimes \psi_{n_2}$  of  $K_2$ , say with eigenvalue  $\lambda(p)$ ,

$$K_2 \psi_p^{n_2} \otimes \psi_{n_2} = \lambda(p) \psi_p^{n_2} \otimes \psi_{n_2}. \quad (4.1)$$

Define

$$A = [K_2, L_1 \otimes 1]_q,$$

which plays the role of the third generator of the original AW(3). We will use the ladder property of the Askey–Wilson algebra (3.3). That is, we will show there exist  $\alpha, \beta, \gamma \in \mathbb{C}$  such that

$$\psi_s^{n_2} \otimes \psi_{n_2} = (\alpha K_2 + \beta(L_1 \otimes 1) + \gamma A) \psi_p^{n_2} \otimes \psi_{n_2}$$

is also an eigenvector of  $K_2$  with a different eigenvalue  $\lambda(s)$ . Requiring

$$K_2 \psi_s^{n_2} \otimes \psi_{n_2} = \lambda(s) \psi_s^{n_2} \otimes \psi_{n_2}$$

implies

$$(\alpha K_2^2 + \beta K_2(L_1 \otimes 1) + \gamma K_2 A) \psi_p^{n_2} \otimes \psi_{n_2} = \lambda(s)(\alpha K_2 + \beta(L_1 \otimes 1) + \gamma A) \psi_p^{n_2} \otimes \psi_{n_2}.$$

Using (4.1) and the algebra relations (3.3), this leads to

$$\begin{aligned} 0 &= \psi_p^{n_2} \otimes \psi_{n_2} [\alpha \lambda(p)^2 + \beta(q^{-2} \lambda(p)(L_1 \otimes 1) + q^{-1} A) \\ &\quad + \gamma(q^2 \lambda(p) A - q \lambda(p) \hat{B} - q \hat{C}_1(L_1 \otimes 1) - q \hat{D}_1) - \lambda(s) \alpha \lambda(p) + \beta(L_1 \otimes 1) + \gamma A]. \end{aligned}$$

Here,  $\hat{B}$ ,  $\hat{C}_1$ ,  $\hat{D}_1$  are the parameters of the Askey–Wilson algebra (3.3) such that

$$\cosh_q(2) K_2(L_1 \otimes 1) K_2 - K_2^2(L_1 \otimes 1) - (L_1 \otimes 1) K_2^2 = \hat{B} K_2 + \hat{C}_1(L_1 \otimes 1) + \hat{D}_1.$$

That is,

$$\begin{aligned} \hat{B} &= (\sinh_q(1))^2 (A_1(1 \otimes K_1) - A_2 A_{N_1}), & \hat{C}_1 &= -(\cosh_q(1))^2 \sigma_K, \\ \hat{D}_1 &= \cosh_q(1) (A_1 A_{N_1} \sigma_K + (\sinh_q(1))^2 A_2(1 \otimes K_1)). \end{aligned}$$

Since

$$(1 \otimes K_1) \psi_{n_1}^{n_2} \otimes \psi_{n_2} = \lambda_{n_2} \psi_{n_1}^{n_2} \otimes \psi_{n_2},$$

$1 \otimes K_1$  acts as the constant  $\lambda_{n_2}$  on  $\psi_p^{n_2} \otimes \psi_{n_2}$ . Therefore, we can interpret  $\hat{B}$ ,  $\hat{C}_1$  and  $\hat{D}_1$  as constants and proceed as Zhedanov in [35]. That is, we can find a ladder of eigenvectors of  $K_2$

$$\psi_{n_1}^{n_2} \otimes \psi_{n_2}, \quad n_1 = n_2 - \frac{1}{2} N_1, n_2 - \frac{1}{2} N_1 + 1, \dots, n_2 + \frac{1}{2} N_1$$

with eigenvalues  $\lambda_{n_1}$ . Also,  $L_1 \otimes 1$  acts as a three-term operator on these eigenvectors,

$$(L_1 \otimes 1) (\psi_{n_1}^{n_2} \otimes \psi_{n_2}) = a_{n_1} \psi_{n_1-1}^{n_2} \otimes \psi_{n_2} + b_{n_1} \psi_{n_1}^{n_2} \otimes \psi_{n_2} + a_{n_1+1} \psi_{n_1+1}^{n_2} \otimes \psi_{n_2}.$$

Let us find the coefficients  $a_{n_1}$  and  $b_{n_1}$ . Since  $1 \otimes K_1$  can be interpreted here as

$$\lambda_{n_2} = \sinh_q(\alpha_0 + 2n_2),$$

we are in the setting (2.38) where we have to replace  $\alpha_0$  by  $\alpha_0 + 2n_2$ . Therefore,  $a_{n_1}$  and  $b_{n_1}$  can be found using the formulas (2.46) and (2.47) with  $\alpha_{L_1}^{n_2} = (\alpha_0 + 2n_2, \alpha_1, \alpha_2, -N_1 - 1)$ . ■

**Remark 4.3.** Note that the label  $n_2$  of the eigenvector of  $1 \otimes K_1$  gets into the parameter of the three-term recurrence relation for the left side of the eigenvectors for  $K_2$ . We will see that in the bivariate  $q$ -Racah polynomials this leads to the degree of the right  $q$ -Racah polynomial getting into the parameters of the left  $q$ -Racah polynomial.

By symmetry, we get a similar result for  $L_2$  and  $1 \otimes K_1$ .

**Corollary 4.4.** *For each  $\phi_{m_1}$ , there is a basis for  $V_2$  given by*

$$\{\phi_{m_2(j)}^{m_1}\}_{j=0}^{N_2},$$

such that  $\phi_{m_1} \otimes \phi_{m_2}^{m_1}$  is an eigenvector of  $L_2$  with eigenvalue  $\mu_{m_2}$ ,

$$L_2(\phi_{m_1} \otimes \phi_{m_2}^{m_1}) = \mu_{m_2} \phi_{m_1} \otimes \phi_{m_2}^{m_1}.$$

Here we have

$$m_2 := m_2(j) = j + m_1 - \frac{1}{2}N_2.$$

Moreover,  $1 \otimes K_1$  acts as a three-term operator on these eigenvectors,

$$\begin{aligned} (1 \otimes K_1)(\phi_{m_1} \otimes \phi_{m_2}^{m_1}) &= a_{m_2}(\alpha_{K_1}^{m_1})\phi_{m_1} \otimes \phi_{m_2-1}^{m_1} + b_{m_2}(\alpha_{K_1}^{m_1})\phi_{m_1} \otimes \phi_{m_2}^{m_1} \\ &\quad + a_{m_2+1}(\alpha_{K_1}^{m_1})\phi_{m_1} \otimes \phi_{m_2+1}^{m_1}, \end{aligned}$$

where  $\alpha_{K_1}^{m_1} = (\alpha_1 + 2m_1, \alpha_0, \alpha_2, -N_2 - 1)$  and the functions  $a_m$  and  $b_m$  are again given by (2.46) and (2.47).

**Proof.** From (2.37) and (2.38), we see that interchanging the roles of  $K$  and  $L$  is the same as interchanging  $\alpha_0 \leftrightarrow \alpha_1$  and  $\sigma_L \leftrightarrow \sigma_K$ . Therefore, this result is just Proposition 4.2 together with these substitutions.  $\blacksquare$

We are now ready to prove the main theorem of this section. Define the overlap coefficients

$$P_{n_1, n_2}(m_1, m_2) = C(n_1, n_2, m_1, m_2) \langle \phi_{m_1} \otimes \phi_{m_2}^{m_1}, \psi_{n_1}^{n_2} \otimes \psi_{n_2} \rangle_{V_1 \otimes V_2}, \quad (4.2)$$

where  $C$  is the normalizing function defined by

$$C(n_1, n_2, m_1, m_2) = \frac{\langle \phi_{m_1(0)}, \psi_{n_1(0)}^{n_2} \rangle_{V_1} \langle \phi_{m_2(0)}, \psi_{n_2(0)} \rangle_{V_2}}{\langle \phi_{m_1}, \psi_{n_1(0)}^{n_2} \rangle_{V_1} \langle \phi_{m_2}^{m_1}, \psi_{n_2(0)} \rangle_{V_2} \langle \phi_{m_1(0)}, \psi_{n_1}^{n_2} \rangle_{V_1} \langle \phi_{m_2(0)}^{m_1}, \psi_{n_2} \rangle_{V_2}}. \quad (4.3)$$

Then we can show that  $P_{n_1, n_2}(m_1, m_2)$  are bivariate  $q$ -Racah polynomials. This comes from the observation that just as in the univariate case,  $\langle \phi_{m_1}, \psi_{n_1}^{n_2} \rangle$  and  $\langle \phi_{m_2}^{m_1}, \psi_{n_2} \rangle$  are  $q$ -Racah polynomials with parameters  $\alpha_{L_1}^{n_2}$  and  $\alpha_{K_1}^{m_2}$  respectively instead of  $\alpha = (\alpha_0, \alpha_1, \alpha_2, \alpha_3)$  in (2.43). There is essentially only one difference in the proof of the bivariate case compared to the univariate case. The overlap coefficient  $\langle \phi_{m_2}^{m_1}, \psi_{n_2} \rangle$  is a  $q$ -Racah polynomial of degree  $j_2$  in the variable  $k_2$ , i.e., the degree and variable have switched. We can interchange these by a simple change in parameters.

**Theorem 4.5.** *We have*

$$P_{n_1(k_1), n_2(k_2)}(\mu_{m_1(j_1)}, \mu_{m_2(j_2)}) = R_{k_1, k_2}(y_{j_1}, y_{j_2}; \alpha_0, \alpha_1, \alpha_2, N_1, N_2; q^2),$$

where the right-hand side is a product of two  $q$ -Racah polynomials given by

$$\begin{aligned} R_{k_1}(y_{j_1}; -q^{\alpha_0+2k_2-N_2+\alpha_1+\alpha_2-N_1-1}, q^{\alpha_0+2k_2-N_2-\alpha_1-\alpha_2-N_1-1}, q^{-2N_1-2}, -q^{2\alpha_1}; q^2) \\ \times R_{k_2}(y_{j_2}; -q^{\alpha_0+\alpha_1+2j_1-N_1-\alpha_2-N_2-1}, q^{\alpha_0-\alpha_1-2j_1+N_1+\alpha_2-N_2-1}, \\ q^{-2N_2-2}, -q^{2\alpha_1+4j_1-2N_1}; q^2), \end{aligned} \quad (4.4)$$

with  $y_{j_1} = q^{-2j_1} - q^{2\alpha_1-2N_1+2j_1}$  and  $y_{j_2} = q^{-2j_2} - q^{2\alpha_1-2N_1-2N_2+4j_1+2j_2}$ .

**Proof.** The proof is similar to the original AW(3), we only have to do it twice. It is convenient to split  $P_{n_1, n_2}(m_1, m_2)$  into the two parts concerning  $V_1$  and  $V_2$  and analyze both parts separately. We have

$$P_{n_1, n_2}(m_1, m_2) = \frac{\langle \phi_{m_1}, \psi_{n_1}^{n_2} \rangle_{V_1} \langle \phi_{m_1(0)}, \psi_{n_1(0)}^{n_2} \rangle_{V_1}}{\langle \phi_{m_1}, \psi_{n_1(0)}^{n_2} \rangle_{V_1} \langle \phi_{m_1(0)}, \psi_{n_1}^{n_2} \rangle_{V_1}} \times \frac{\langle \phi_{m_2}^{m_1}, \psi_{n_2} \rangle_{V_2} \langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}{\langle \phi_{m_2}^{m_1}, \psi_{n_2(0)} \rangle_{V_2} \langle \phi_{m_2(0)}^{m_1}, \psi_{n_2} \rangle_{V_2}}. \quad (4.5)$$

For notational convenience, we will define  $q$ -Racah polynomials in terms of  $\alpha = (\alpha_0, \alpha_1, \alpha_2, \alpha_3)$ ,

$$r_k(j; \alpha) = R_k(y_j; -q^{\alpha_0 - \alpha_1 + \alpha_2 + \alpha_3}, q^{\alpha_0 - \alpha_1 - \alpha_2 + \alpha_3}, q^{2\alpha_3}, -q^{-2\alpha_1}; q^2).$$

We will show that the part concerning  $V_1$  of (4.5) is equal to

$$P_{n_1(k_1)}^{V_1}(m_1(j_1); n_2) := \frac{\langle \phi_{m_1(j_1)}, \psi_{n_1(k_1)}^{n_2} \rangle_{V_1} \langle \phi_{m_1(0)}, \psi_{n_1(0)}^{n_2} \rangle_{V_1}}{\langle \phi_{m_1(j_1)}, \psi_{n_1(0)}^{n_2} \rangle_{V_1} \langle \phi_{m_1(0)}, \psi_{n_1(k_1)}^{n_2} \rangle_{V_1}} = r_{k_1}(j_1; \alpha_{L_1}^{n_2}), \quad (4.6)$$

where  $\alpha_{L_1}^{n_2} = (\alpha_0 + 2n_2, \alpha_1, \alpha_2, -N_1 - 1)$ . For the part concerning  $V_2$  of (4.5) we have

$$\begin{aligned} P_{n_2(k_2)}^{V_2}(m_2(j_2); m_1) &:= \frac{\langle \phi_{m_2(j_2)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2} \langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}{\langle \phi_{m_2(j_2)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2} \langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2}} \\ &= r_{k_2}(j_2; \alpha_0, \alpha_1 + 2m_1, -\alpha_2, -N_2 - 1), \end{aligned} \quad (4.7)$$

which comes from  $\alpha_{K_1}^{n_1}$  where  $\alpha_0 \leftrightarrow \alpha_1 + 2m_1$  and  $\alpha_2 \leftrightarrow -\alpha_2$ , which is necessary to interchange degree and variable of the  $q$ -Racah polynomial.

Note that

$$P_{n_1(0)}^{V_1}(m_1; n_2) = 1 = P_{n_1}^{V_1}(m_1(0); n_2) \quad \text{and} \quad P_{n_2(0)}^{V_2}(m_2; m_1) = 1 = P_{n_2}^{V_2}(m_2(0); m_1).$$

Let us start with  $P_{n_1}^{V_1}(m_1; n_2)$ . We know that the self adjoint operator  $L_1 \otimes 1$  acts as multiplication by  $\mu_{m_1}$  on  $\phi_{m_1} \otimes \phi_{m_2}^{m_1}$  and as a three-term operator (Proposition 4.2) on  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$ . It only acts non-trivially on  $V_1$ , thus we can look at the action on the left side of the inner product. Entirely similar to (2.13) we get, using a slight abuse of notation,

$$\begin{aligned} \mu_{m_1} \langle \phi_{m_1}, \psi_{n_1}^{n_2} \rangle_{V_1} &= \langle L_1 \phi_{m_1}, \psi_{n_1}^{n_2} \rangle_{V_1} = \langle \phi_{m_1}, L_1 \psi_{n_1}^{n_2} \rangle_{V_1} \\ &= a_{n_1}(\alpha_{L_1}^{n_2}) \langle \phi_{m_1}, \psi_{n_1-1}^{n_2} \rangle_{V_1} + b_{n_1}(\alpha_{L_1}^{n_2}) \langle \phi_{m_1}, \psi_{n_1}^{n_2} \rangle_{V_1} \\ &\quad + a_{n_1+1}(\alpha_{L_1}^{n_2}) \langle \phi_{m_1}, \psi_{n_1+1}^{n_2} \rangle_{V_1}, \end{aligned}$$

where  $\alpha_{L_1}^{n_2}$  can be found in Proposition 4.2 and  $a_{n_1}(\alpha)$ ,  $b_{n_1}(\alpha)$  are given by (2.46), (2.47). We want to obtain a three-term recurrence relation for  $P_{n_1}^{n_2}(m_1)$  from this equation. However, this will not work because of the appearance of  $n_1$  in the normalizing factor  $\langle \phi_{m_1(0)}, \psi_{n_1}^{n_2} \rangle_{V_1}$ . To solve this, we define

$$p_{n_1}^{V_1}(m_1; n_2) := \frac{\langle \phi_{m_1}, \psi_{n_1}^{n_2} \rangle_{V_1}}{\langle \phi_{m_1}, \psi_{n_1(0)}^{n_2} \rangle_{V_1}},$$

which is only the left part of  $P_{n_1}^{V_1}(m_1; n_2)$  in (4.6). Now we have

$$\begin{aligned} \mu_{m_1} p_{n_1}^{V_1}(m_1; n_2) &= a_{n_1}(\alpha_{L_1}^{n_2}) p_{n_1-1}^{V_1}(m_1; n_2) + b_{n_1}(\alpha_{L_1}^{n_2}) p_{n_1}^{V_1}(m_1; n_2) \\ &\quad + a_{n_1+1}(\alpha_{L_1}^{n_2}) p_{n_1+1}^{V_1}(m_1; n_2). \end{aligned}$$

Together with the initial conditions

$$p_{n_1(0)}^{V_1}(m_1; n_2) = 1 \quad \text{and} \quad p_{n_1(-1)}^{V_1}(m_1; n_2) = 0,$$

this three-term recurrence relation generates a set  $\{p_{n_1(k_1)}^{V_1}(m_1; n_2)\}_{k_1=0}^{N_1}$  of polynomials in the variable  $\mu_{m_1}$ . Similarly as in the original AW(3), one can show that this coincides with the recurrence relation for  $q$ -Racah polynomials with parameters  $\alpha_{L_1}^{n_2}$ . Therefore,

$$p_{n_1(k_1)}^{V_1}(m_1(j_1); n_2) = h(n_1, n_2)r_{k_1}(j_1; \alpha_{L_1}^{n_2}), \quad (4.8)$$

where  $h$  is some normalization function. To prove (4.6), we have to show that  $1/h$  is exactly the right-hand side of  $P_{n_1}^{V_1}(m_1; n_2)$ , i.e.,

$$\frac{1}{h(n_1, n_2)} = \frac{\langle \phi_{m_1(0)}, \psi_{n_1(0)}^{n_2} \rangle_{V_1}}{\langle \phi_{m_1(0)}, \psi_{n_1}^{n_2} \rangle_{V_1}}. \quad (4.9)$$

Since  $P_{n_1}^{V_1}(m_1(0); n_2) = 1$ , we can use (4.8) to obtain

$$1 = p_{n_1(k_1)}^{V_1}(m_1(0); n_2) \frac{\langle \phi_{m_1(0)}, \psi_{n_1(0)}^{n_2} \rangle_{V_1}}{\langle \phi_{m_1(0)}, \psi_{n_1}^{n_2} \rangle_{V_1}} = h(n_1, n_2)r_{k_1}(0; \alpha_{L_1}^{n_2}) \frac{\langle \phi_{m_1(0)}, \psi_{n_1(0)}^{n_2} \rangle_{V_1}}{\langle \phi_{m_1(0)}, \psi_{n_1}^{n_2} \rangle_{V_1}}.$$

By definition of the  $q$ -hypergeometric series, we also have

$$r_{k_1}(0; \alpha_{L_1}^{n_2}) = 1,$$

which proves our claim (4.9).

Let us now turn to  $P_{n_2}^{V_2}(m_2; m_1)$ , which is slightly more subtle because we do not know how  $1 \otimes L_1$  acts on  $\phi_{m_1} \otimes \phi_{m_2}^{m_1}$ . However, since we do know that  $1 \otimes K_1$  acts as a three-term operator on  $\phi_{m_1} \otimes \phi_{m_2}^{m_1}$ , we get a three-term recurrence relation in the variable  $\mu_{m_2}$  instead of the degree  $n_2$ . That is, the roles of ‘ $K$ ’ and ‘ $L$ ’ have interchanged: we use  $1 \otimes K_1$  as multiplication and three-term operator instead of  $L_1 \otimes 1$ . Using the symmetry of AW<sub>2</sub> we can see that the overlap coefficients of  $\phi_{m_2}^{m_1}$  and  $\psi_{n_2}$  are  $q$ -Racah polynomials of degree  $m_2$  in the variable  $n_2$ .

That is, Corollary 4.4 tells us that

$$\begin{aligned} \lambda_{n_2} \langle \phi_{m_2}^{m_1}, \psi_{n_2} \rangle_{V_2} &= \langle \phi_{m_2}^{m_1}, K_1 \psi_{n_2} \rangle_{V_2} = \langle K_1 \phi_{m_2}^{m_1}, \psi_{n_2} \rangle_{V_2} \\ &= a_{m_2}(\alpha_{K_1}^{m_1}) \langle \phi_{m_2-1}^{m_1}, \psi_{n_2} \rangle_{V_2} + b_{m_2}(\alpha_{K_1}^{m_1}) \langle \phi_{m_2}^{m_1}, \psi_{n_2} \rangle_{V_2} + a_{m_2+1}(\alpha_{K_1}^{m_1}) \langle \phi_{m_2+1}^{m_1}, \psi_{n_2} \rangle_{V_2}, \end{aligned}$$

Therefore,

$$\frac{\langle \phi_{m_2(j_2)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2}}{\langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2}} = g(m_1, m_2(j_2))r_{j_2}(k_2; \alpha_{K_1}^{m_1}),$$

for some normalizing function  $g$ . Using the duality property of  $q$ -Racah polynomials, which can directly be seen from the  $q$ -hypergeometric series (2.15), we can swap degree and variable by interchanging  $\alpha_0 \leftrightarrow \alpha_1$  and  $\alpha_2 \leftrightarrow -\alpha_2$ . In terms of our original definition of the  $q$ -Racah polynomials (2.15), this interchanges  $\alpha\beta \leftrightarrow \gamma\delta$  and  $\beta\delta \leftrightarrow \alpha$ , while keeping  $\gamma$  the same. Therefore,

$$\frac{\langle \phi_{m_2(j_1)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2}}{\langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2}} = g(m_1, m_2)r_{k_2}(j_2, \beta),$$

where  $\beta = (\alpha_0, \alpha_1 + 2m_1, -\alpha_2, -N_2 - 1)$ . Consequently,

$$\begin{aligned} P_{n_2(k_2)}^{V_2}(m_2(j_2); m_1) &= \frac{\langle \phi_{m_2(j_2)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2}}{\langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(k_2)} \rangle_{V_2}} \frac{\langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}{\langle \phi_{m_2(j_2)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}} \\ &= g(m_1, m_2) r_{k_2}(j_2, \beta) \frac{\langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}{\langle \phi_{m_2(j_2)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}. \end{aligned} \quad (4.10)$$

Using that

$$1 = P_{n_2(0)}^{V_2}(m_2(j_2); m_1) = g(m_1, m_2) r_0(j_2; \beta) \frac{\langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}{\langle \phi_{m_2(j_2)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}$$

and

$$r_0(j_2, \beta) = 1,$$

we get

$$\frac{g(m_1, m_2)}{\langle \phi_{m_2}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}} = \frac{1}{\langle \phi_{m_2(0)}^{m_1}, \psi_{n_2(0)} \rangle_{V_2}}.$$

Substituting this into (4.10) gives

$$P_{n_2(k_2)}^{V_2}(m_2(j_2); m_1) = r_{k_2}(j_2, \beta),$$

which proves the theorem. ■

**Remark 4.6.** Note that the bivariate  $q$ -Racah polynomials in the theorem above are formally not polynomials but rational functions. This is because of the appearance of  $j_1$  in the parameters of the right  $q$ -Racah polynomial  $R_{k_2}$ . This can be solved easily by putting an appropriate factor in front.

**Remark 4.7.** Orthogonality from the bivariate  $q$ -Racah polynomials arises similarly as in the univariate case. Let

$$\mathbf{N} = \{(l_1, l_2) : l_1 = 0, \dots, N_1 \text{ and } l_2 = 0, \dots, N_2\}.$$

From  $\{\phi_{m_1(j_1)} \otimes \phi_{m_2(j_2)}^{m_1}\}_{(j_1, j_2) \in \mathbf{N}}$  and  $\{\psi_{n_1(k_1)}^{n_2(k_2)} \otimes \psi_{n_2(k_2)}\}_{(k_1, k_2) \in \mathbf{N}}$  both being orthonormal, we obtain

$$\delta_{k_1, k'_1} \delta_{k_2, k'_2} = \delta_{n_1(k_1), n_1(k'_1)} \delta_{n_2(k_2), n_2(k'_2)} = \langle \psi_{n_1(k'_1)}^{n_2(k'_2)}, \psi_{n_1(k_1)}^{n_2(k_2)} \rangle_{V_1} \langle \psi_{n_2(k'_2)}, \psi_{n_2(k_2)} \rangle_{V_2}. \quad (4.11)$$

Using that

$$\psi_{n_1(k'_1)}^{n_2(k'_2)} = \sum_{j_1=0}^{N_1} \langle \psi_{n_1(k'_1)}^{n_2(k'_2)}, \phi_{m_1(j_1)} \rangle \phi_{m_1(j_1)}$$

and

$$\psi_{n_2(k'_2)} = \sum_{j_2=0}^{N_2} \langle \psi_{n_2(k'_2)}, \phi_{m_2(j_2)}^{m_1(j_1)} \rangle \phi_{m_2(j_2)}^{m_1(j_1)},$$



we obtain that (4.11) is equal to

$$\sum_{j_1=0}^{N_1} \sum_{j_2=0}^{N_2} \langle \psi_{n_1(k'_1)}^{n_2(k'_2)}, \phi_{m_1(j_1)} \rangle_{V_1} \langle \phi_{m_1(j_1)}, \psi_{n_1(k_1)}^{n_2(k_2)} \rangle_{V_1} \langle \psi_{n_2(k'_2)}, \phi_{m_2(j_2)}^{m_1(j_1)} \rangle_{V_2} \langle \phi_{m_2(j_2)}, \psi_{n_2(k_2)} \rangle_{V_2}.$$

Rearranging this expression gives

$$\begin{aligned} & \sum_{j_1=0}^{N_1} \sum_{j_2=0}^{N_2} \langle \phi_{m_1(j_1)} \otimes \phi_{m_2(j_2)}^{m_1(j_1)}, \psi_{n_1(k_1)}^{n_2(k_2)} \otimes \psi_{n_2(k_2)} \rangle_{V_1 \otimes V_2} \\ & \quad \times \overline{\langle \phi_{m_1(j_1)} \otimes \phi_{m_2(j_2)}, \psi_{n_1(k'_1)}^{n_2(k'_2)} \otimes \psi_{n_2(k'_2)} \rangle_{V_1 \otimes V_2}}. \end{aligned}$$

Therefore, using (4.2), we obtain the orthogonality

$$\begin{aligned} & \sum_{j_1=0}^{N_1} \sum_{j_2=0}^{N_2} w_2(j_1, j_2, k_1, k_2) P_{n_1(k_1), n_2(k_2)}(m_1(j_1), m_2(j_2)) \overline{P_{n_1(k'_1), n_2(k'_2)}(m_1(j_1), m_2(j_2))} \\ & \quad = \delta_{k_1, k'_1} \delta_{k_2, k'_2}, \end{aligned}$$

where the weight function is given by

$$w_2(j_1, j_2, k_1, k_2) = \frac{1}{|C(n_1(k_1), n_2(k_2), m_1(j_1), m_2(j_2))|^2}.$$

The normalizing function  $C$  can be found in (4.3). This weight function is just a product of the weight functions of the two univariate  $q$ -Racah polynomials in (4.4),

$$\begin{aligned} w_2(j_1, j_2, k_1, k_2) & := w_2(j_1, j_2, k_1, k_2, \alpha_0, \alpha_1, \alpha_2, \alpha_3; q) \\ & = w(j_1, k_1, \boldsymbol{\alpha}_{L_1}^{n_2(k_2)}; q) w(j_2, k_2, \boldsymbol{\beta}; q), \end{aligned} \quad (4.12)$$

where  $w$  is the weight function for  $q$ -Racah polynomials given in (2.44),  $\boldsymbol{\alpha}_{L_1}^{n_2(k_2)}$  is given in Proposition 4.2 and  $\boldsymbol{\beta} = (\alpha_0, \alpha_1 + 2m_1(j_1), -\alpha_2, -N_2 - 1)$ . This can be seen from analyzing both  $q$ -Racah polynomials (4.6) and (4.7) from  $P_{n_1, n_2}(m_1, m_2)$ . Let us show this for  $P_{n_1(k_1)}^{V_1}(m_1, m_2)$  in (4.6). Entirely similar to the univariate case (2.19) we have

$$\begin{aligned} \delta_{k_1, k'_1} & = \langle \psi_{n_1(k'_1)}^{n_2(k_2)}, \psi_{n_1(k_1)}^{n_2(k_2)} \rangle_{V_1} = \sum_{j_1=0}^{N_1} \langle \psi_{n_1(k'_1)}^{n_2(k_2)}, \phi_{m_1(j_1)} \rangle_{V_1} \langle \phi_{m_1(j_1)}, \psi_{n_1(k_1)}^{n_2(k_2)} \rangle_{V_1} \\ & = \sum_{j_1=0}^{N_1} w(j_1, k_1, \boldsymbol{\alpha}_{L_1}^{n_2(k_2)}; q) P_{n_1(k_1)}^{V_1}(m_1(j_1); m_2) \overline{P_{n_1(k'_1)}^{V_1}(m_1(j_1); m_2)}. \end{aligned}$$

Similarly, one finds that

$$\delta_{k_2, k'_2} = \sum_{j_2=0}^{N_2} w(j_2, k_2, \boldsymbol{\beta}; q) P_{n_2(k_2)}^{V_2}(m_2(j_2); n_1) \overline{P_{n_2(k'_2)}^{V_2}(m_2(j_2); n_1)},$$

which proves (4.12).

**Remark 4.8.** The bivariate  $q$ -Racah polynomials (4.4) are the same, up to a factor in front of the two  $q$ -hypergeometric series, as found by Gasper and Rahman [12] after a change of

parameters, variables and degrees. In the notation of [12, equation (2.6)], looking only at the  $q$ -hypergeometric series we have

$$\begin{aligned}
 R_{n_1, n_2}(x_1, x_2; a_1, a_2, a_3, b, N; q) &= r_{n_1}(x_1; b, a_2/q, a_1q^{2x_2}, x_2; q)r_{n_2}(x_2 - n_1; ba_2q^{n_1}, a_3/q, a_1a_2q^{N+n_1}, N - n_1; q) \\
 &= {}_4\phi_3 \left[ \begin{matrix} q^{-n_1}, ba_2q^{n_1}, q^{-x_1}, a_1q^{x_1} \\ bq, a_1a_2q^{x_2}, q^{-x_2} \end{matrix}; q; q \right] \\
 &\quad \times {}_4\phi_3 \left[ \begin{matrix} q^{-n_2}, ba_2a_3q^{2n_1+n_2}, q^{n_1-x_2}, a_1a_2q^{n_1+x_2} \\ ba_2q^{2n_1+1}, a_1a_2a_3q^{n_1+N}, q^{n_1-N} \end{matrix}; q; q \right].
 \end{aligned} \tag{4.13}$$

Let us now make a change of parameters, variables and degrees. First, define

$$\alpha = \alpha_0 + \alpha_1 + \alpha_2 \quad \text{and} \quad \hat{\alpha} = \alpha_0 - \alpha_1 + \alpha_2.$$

Then take parameters

$$\begin{aligned}
 a_1 &= -q^{2\alpha_0+2\alpha_2+2}, & a_2 &= q^{-2N_2}, & a_3 &= q^{-2N_1}, & b &= -q^{2\alpha_0}, \\
 2N &= -\hat{\alpha} + N_1 + N_2 - 1,
 \end{aligned}$$

variables

$$2x_1 = 2j_1 + 2j_2 - \hat{\alpha} - N_1 - N_2 - 1, \quad 2x_2 = 2j_1 - \hat{\alpha} - N_1 + N_2 - 1,$$

and degrees  $n_1 = k_2$  and  $n_2 = k_1$ . If we also take  $q^2$  instead of  $q$ , we obtain that (4.13) is equal to

$$\begin{aligned}
 &{}_4\phi_3 \left[ \begin{matrix} q^{-2k_2}, -q^{2k_2+2\alpha_0-2N_2}, q^{-2j_1-2j_2+\hat{\alpha}+N_1+N_2+1}, -q^{2j_1+2j_2+\alpha-N_1-N_2+1} \\ -q^{2\alpha_0+2}, -q^{2j_1+\alpha-N_1-N_2-1}, q^{-2j_1+\hat{\alpha}+N_1-N_2+1} \end{matrix}; q^2; q^2 \right] \\
 &\times {}_4\phi_3 \left[ \begin{matrix} q^{-2k_1}, -q^{4k_2+2k_1+2\alpha_0-2N_1-2N_2}, q^{2k_2-2j_1+\hat{\alpha}+N_1-N_2+1}, -q^{2k_2+2j_1+\alpha-N_1-N_2-1} \\ -q^{4k_2+2\alpha_0-2N_2+2}, -q^{2k_2+\alpha-N_1-N_2-1}, q^{2k_2+\hat{\alpha}-N_1-N_2+1} \end{matrix}; q^2; q^2 \right].
 \end{aligned} \tag{4.14}$$

Then apply to both  $q$ -Racah polynomials (4.14) Sears' transformation formula for a balanced  ${}_4\phi_3$  [10, equation (III.15)],

$${}_4\phi_3 \left[ \begin{matrix} q^{-n}, \alpha, \beta, \gamma \\ \delta, \epsilon, \zeta \end{matrix}; q; q \right] = \frac{(\alpha^{-1}\delta, \alpha^{-1}\zeta; q)_n}{(\delta, \zeta; q)_n} {}_4\phi_3 \left[ \begin{matrix} q^{-n}, \alpha, \beta^{-1}\epsilon, \gamma^{-1}\epsilon \\ \alpha\delta^{-1}q^{1-n}, \epsilon, \alpha\zeta^{-1}q^{1-n} \end{matrix}; q; q \right].$$

Afer this transformation, our product of  $q$ -Racah polynomials (4.4) is the same as the bivariate  $q$ -Racah polynomial defined by Gasper and Rahman [12] up to a factor in front of the  $q$ -hypergeometric series.

## 5 AW<sub>2</sub> and $q$ -difference operators for bivariate $q$ -Racah polynomials

Until now, the structure parameters of an AW-relation could be interpreted as a constant. Either it was a central element of AW<sub>2</sub> or it acted as multiplication by an eigenvalue. In this way, one generator of the AW-relation worked as a three-term operator on the eigenvectors of the other generator. In this section, we will see that this is no longer true for all generators that satisfy an AW-relation. We will show that  $L_2$  acts as a 9-term operator on the eigenvectors  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$ . Crucial here is the following observation. Suppose we have elements  $K, L$  and  $(A_k)_{k=0}^3$  in an algebra and  $A_4, A_5 \in \mathbb{R}$  such that

$$\mathcal{AW}(K, L \mid A_0, A_1, A_2, A_3, A_4, A_5) = 0$$

and  $(A_k)_{k=0}^3$  are locally central. Then we will show that  $K$  still acts as a three-term operator on the eigenspaces of  $L$ . Also, the form of the spectrum of  $K$  and  $L$  is similar to what we have seen before. This result is proven in the following lemma.

**Lemma 5.1.** *Let  $\mathcal{A}$  be an algebra,  $K, L$  and  $(A_k)_{k=0}^3$  elements in  $\mathcal{A}$  and  $A_4, A_5 \in \mathbb{R}$ . Suppose*

$$\mathcal{AW}(K, L \mid A_0, A_1, A_2, A_3, A_4, A_5) = 0$$

and  $(A_k)_{k=0}^3$  are locally central. Then we have the following:

- (i) *The spectra of  $K$  and  $L$  have the same hyperbolic form as in the original AW(3). In particular, if  $A_4 = A_5 = (\sinh_q(1))^2$  we have*

$$\lambda_n(p_0) = \sinh_q(2n + p_0 + 1)$$

for some  $p_0 \in \mathbb{R}$ .

- (ii) *Denote the eigenspace corresponding to  $\lambda_n(p_0)$  of  $K$  by  $\Psi(n)$ . Then  $L$  acts as a three-term operator on the eigenspaces of  $K$ . That is,*

$$L\Psi(n) \subset \Psi(n-1) \cup \Psi(n) \cup \Psi(n+1).$$

Here the eigenspace  $\Psi(n)$  is defined as subspace of vectors which have eigenvalue  $\lambda_n(p_0)$  and

$$L\Psi(n) = \{Lv : v \in \Psi(n)\}.$$

**Proof.** We proceed similarly as in the original algebra AW(3) and in the proof of Proposition 4.2, only with a slight adjustment, since in the current setting  $(A_k)_{k=0}^3$  cannot all be interpreted as constants. Let  $B, C_0, C_1, D_0, D_1$  as in (2.37) and let  $\psi_n$  be an eigenvector of  $K$ . We want to find a new eigenvector  $\psi_s$  of  $K$ . Take

$$\psi_s = (\beta L + \gamma[K, L]_q + \delta B + \varepsilon D_1)\psi_n$$

for some constants  $\beta, \gamma, \delta, \varepsilon$  that still need to be determined. Requiring  $\psi_s$  to be an eigenvector of  $K$  with a different eigenvalue leads to

$$\lambda_n^2 + \lambda_s^2 - \cosh_q(2)\lambda_n\lambda_s + C_1 = 0 \tag{5.1}$$

and

$$-q\gamma(\lambda_n B + D_1) + (\lambda_n - \lambda_s)\delta B + \varepsilon(\lambda_n - \lambda_s)D_1 = 0.$$

This second equation is equivalent to

$$(\delta(\lambda_n - \lambda_s) - q\gamma\lambda_n)B + (\varepsilon(\lambda_n - \lambda_s) - q\gamma)D_1 = 0, \tag{5.2}$$

which has a nontrivial solution for any nonzero  $\gamma$  and  $\lambda_s \neq \lambda_n$ . Equation (5.1) is the same as in the original AW(3) and forces the spectrum of  $K$  to be of the same hyperbolic form, proving (i).

For (ii), let  $\psi_n \in \Psi(n)$ . Since (5.2) can be solved for all  $n$  and  $s \in \{n-1, n+1\}$ , there exists  $\psi_{n+1} \in \Psi(n+1)$  and  $\psi_{n-1} \in \Psi(n-1)$  and constants  $\beta^+, \gamma^+, \delta^+, \varepsilon^+, \beta^-, \gamma^-, \delta^-, \varepsilon^-$  such that

$$\begin{aligned} \psi_{n+1} &= (\beta^+ L + \gamma^+[K, L]_q + \delta^+ B + \varepsilon^+ D_1)\psi_n, \\ \psi_{n-1} &= (\beta^- L + \gamma^-[K, L]_q + \delta^- B + \varepsilon^- D_1)\psi_n. \end{aligned} \tag{5.3}$$

By substitution,<sup>6</sup> we can eliminate  $[K, L]_q$  from (5.3) and obtain

$$L\psi_n = a_n\psi_{n-1} + b_n\psi_{n+1} + c_nB\psi_n + d_nD_1\psi_n,$$

for suitable constants  $a_n, b_n, c_n, d_n$ . Since  $B$  and  $D_1$  commute with  $K$ , it leaves its eigenspaces intact. Therefore,

$$B\psi_n, D_1\psi_n \in \Psi(n),$$

which proves (ii). ■

**Remark 5.2.** In the original AW(3), the eigenspaces of  $K$  and  $L$  were one-dimensional. However, this need not be true in general as we already saw in AW<sub>2</sub>. There, the eigenspaces of  $K_2$  and  $L_2$  can have dimensions up to  $(N_1 + 1) + (N_2 + 1)$ .

We can use Lemma 5.1 twice for  $L_2$ , since it appears in two AW-relations: (3.1) and (3.2). Consequently,  $L_2$  acts on  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$  as a three term operator in the eigenspaces of both  $n_1$  and  $n_2$ , which means  $L_2$  is a 9-term operator.

**Proposition 5.3.**  $L_2$  acts as a 9-term operator on  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$ . That is, there exists constants  $a_{n_1, n_2}, b_{n_1, n_2}, c_{n_1, n_2}, d_{n_1, n_2}$  and  $e_{n_1, n_2}$  such that

$$\begin{aligned} L_2(\psi_{n_1}^{n_2} \otimes \psi_{n_2}) &= a_{n_1, n_2} \psi_{n_1-1}^{n_2-1} \otimes \psi_{n_2-1} + b_{n_1, n_2} \psi_{n_1-1}^{n_2} \otimes \psi_{n_2} + c_{n_1, n_2} \psi_{n_1-1}^{n_2+1} \otimes \psi_{n_2+1} \\ &\quad + d_{n_1, n_2} \psi_{n_1}^{n_2-1} \otimes \psi_{n_2-1} + e_{n_1, n_2} \psi_{n_1}^{n_2} \otimes \psi_{n_2} + d_{n_1, n_2+1} \psi_{n_1}^{n_2+1} \otimes \psi_{n_2+1} \\ &\quad + c_{n_1+1, n_2-1} \psi_{n_1+1}^{n_2-1} \otimes \psi_{n_2-1} + b_{n_1+1, n_2} \psi_{n_1+1}^{n_2} \otimes \psi_{n_2} \\ &\quad + a_{n_1+1, n_2+1} \psi_{n_1+1}^{n_2+1} \otimes \psi_{n_2+1}, \end{aligned} \tag{5.4}$$

where

$$\begin{aligned} n_2 &\in \left\{ -\frac{N_2}{2}, -\frac{N_2}{2} + 1, \dots, \frac{N_2}{2} - 1, \frac{N_2}{2} \right\}, \\ n_1 &\in \left\{ n_2 - \frac{N_1}{2}, n_2 - \frac{N_1}{2} + 1, \dots, n_2 + \frac{N_1}{2} - 1, n_2 + \frac{N_1}{2} \right\}. \end{aligned} \tag{5.5}$$

**Proof.** We apply Lemma 5.1 twice and then we will see that  $L_2$  has to be a 9-term operator. We will work with simultaneous eigenvectors of both  $1 \otimes K_1$  and  $K_2$  given by

$$\psi_{n_1}^{n_2} \otimes \psi_{n_2},$$

which corresponds, a bit confusingly, to either the eigenvalue  $\lambda_{n_1}$  for  $K_2$  or  $\lambda_{n_2}$  for  $K_1$ . Moreover,  $n_1, n_2$  are as in (5.5). Fix  $n_1$  and  $n_2$  and denote the eigenspace corresponding to  $\lambda_{n_1}$  by  $\Psi_{K_2}(n_1)$  and to  $\lambda_{n_2}$  by  $\Psi_{K_1}(n_2)$ . First of all, since (3.2) holds, Lemma 5.1 tells us that

$$L_2\Psi_{K_1}(n_2) \subset \Psi_{K_1}(n_2 - 1) \cup \Psi_{K_1}(n_2) \cup \Psi_{K_1}(n_2 + 1).$$

Said differently, there exists  $v_1, v_2, v_3 \in V_1$  such that

$$L_2(\psi_{n_1}^{n_2} \otimes \psi_{n_2}) = v_1 \otimes \psi_{n_2-1} + v_2 \otimes \psi_{n_2} + v_3 \otimes \psi_{n_2+1}. \tag{5.6}$$

Secondly, because (3.1) holds, Lemma 5.1 tells us that

$$L_2\Psi_{K_2}(n_1) \subset \Psi_{K_2}(n_1 - 1) \cup \Psi_{K_2}(n_1) \cup \Psi_{K_2}(n_1 + 1).$$

---

<sup>6</sup>If  $\lambda_{n-1} \neq \lambda_{n+1}$ , the matrix  $\begin{pmatrix} \beta^- & \gamma^- \\ \beta^+ & \gamma^+ \end{pmatrix}$  is non-singular and we can always solve (5.3) for  $L\psi_n$  while eliminating  $[K, L]_q$ .

Combining this with (5.6) gives that

$$\begin{aligned} v_1 \otimes \psi_{n_2-1} &\in \Psi_{K_2}(n_1 - 1) \cup \Psi_{K_2}(n_1) \cup \Psi_{K_2}(n_1 + 1), \\ v_2 \otimes \psi_{n_2} &\in \Psi_{K_2}(n_1 - 1) \cup \Psi_{K_2}(n_1) \cup \Psi_{K_2}(n_1 + 1), \\ v_3 \otimes \psi_{n_2+1} &\in \Psi_{K_2}(n_1 - 1) \cup \Psi_{K_2}(n_1) \cup \Psi_{K_2}(n_1 + 1). \end{aligned}$$

Therefore, there exist constants  $(c_k)_{k=0}^8$  such that

$$\begin{aligned} v_1 &= c_0 \psi_{n_1-1}^{n_2-1} \otimes \psi_{n_2-1} + c_1 \psi_{n_1}^{n_2-1} \otimes \psi_{n_2-1} + c_2 \psi_{n_1+1}^{n_2-1} \otimes \psi_{n_2-1}, \\ v_2 &= c_3 \psi_{n_1-1}^{n_2} \otimes \psi_{n_2} + c_4 \psi_{n_1}^{n_2} \otimes \psi_{n_2} + c_5 \psi_{n_1+1}^{n_2} \otimes \psi_{n_2}, \\ v_3 &= c_5 \psi_{n_1-1}^{n_2+1} \otimes \psi_{n_2+1} + c_7 \psi_{n_1}^{n_2+1} \otimes \psi_{n_2+1} + c_8 \psi_{n_1+1}^{n_2+1} \otimes \psi_{n_2+1}. \end{aligned}$$

By Proposition 3.9, we can require  $L_2$  to be self-adjoint, which gives the form (5.4).  $\blacksquare$

To compute the coefficients of (5.4), we need to know how  $M_2$  acts on  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$ . This is the subject of the next proposition, which is also of interest of its own. Since  $M_2$  and  $1 \otimes K_1$  satisfy the AW-relations (3.4) and  $M_2$  commutes with  $K_2$ , we can show that  $M_2$  acts as a three-term operator on the eigenvectors  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$ .

**Proposition 5.4.** *We have*

$$\begin{aligned} M_2(\psi_{n_1}^{n_2} \otimes \psi_{n_2}) &= \tilde{a}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1}) \psi_{n_1}^{n_2-1} \otimes \psi_{n_2-1} + \tilde{b}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1}) \psi_{n_1}^{n_2} \otimes \psi_{n_2} \\ &\quad + \tilde{a}_{n_2+1}(\boldsymbol{\alpha}_{M_2}^{n_1}) \psi_{n_1}^{n_2+1} \otimes \psi_{n_2+1}, \end{aligned}$$

where  $\boldsymbol{\alpha}_{M_2}^{n_1} = (\alpha_0, -N_1 - 1, -\alpha_0 - 2n_1, -N_2 - 1)$ . The coefficients  $\tilde{a}_n^2$  and  $\tilde{b}_n$  can be found from (2.48) and (2.49), respectively.

**Proof.** Denote by  $\Psi_{K_1}(n_2)$  the eigenspace of  $1 \otimes K_1$  corresponding to the eigenvalue  $\lambda_{n_2}$ . From Lemma 5.1(ii) and the AW-relations (3.4), we know that

$$M_2(\psi_{n_1}^{n_2} \otimes \psi_{n_2}) \in \Psi(n_2 - 1) \cup \Psi(n_2) \cup \Psi(n_2 + 1). \quad (5.7)$$

Since  $M_2$  and  $K_2$  commute, the eigenspaces of  $K_2$ , denoted by  $\Psi_{K_2}(n_1)$  for the eigenvalue  $\lambda_{n_1}$ , are invariant under the action of  $M_2$ ,

$$M_2 \Psi_{K_2}(n_1) \subset \Psi_{K_2}(n_1).$$

Combining this with (5.7) implies that there exists constants  $c_{n_2-1}$ ,  $c_{n_2}$  and  $c_{n_2+1}$  such that

$$M_2(\psi_{n_1}^{n_2} \otimes \psi_{n_2}) = c_{n_2-1} \psi_{n_1}^{n_2-1} \otimes \psi_{n_2-1} + c_{n_2} \psi_{n_1}^{n_2} \otimes \psi_{n_2} + c_{n_2+1} \psi_{n_1}^{n_2+1} \otimes \psi_{n_2+1}.$$

It remains to find the constants  $c_{n_2-1}$ ,  $c_{n_2}$  and  $c_{n_2+1}$ . Observe that we can now interpret  $K_2$  in the AW-relations (3.4) as the ‘constant’  $\lambda_{n_1}$ . Therefore, we can repeat the proof of Proposition 4.2. Note that we are in the setting  $C_0 > 0$  and  $C_1 < 0$ . Therefore, we have to use the formulas (2.48) and (2.49). We find

$$c_{n_2-1} = \tilde{a}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1}), \quad c_{n_2} = \tilde{b}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1}) \quad \text{and} \quad c_{n_2+1} = \tilde{a}_{n_2+1}(\boldsymbol{\alpha}_{M_2}^{n_1}). \quad \blacksquare$$

Let us now compute  $L_2$  explicitly.

**Theorem 5.5.** *The coefficients in (5.4) of the 9-term operator  $L_2$  are given by*

$$a_{n_1, n_2} = \frac{a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2}) d_{n_1-1, n_2} - a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2-1}) d_{n_1, n_2}}{b_{n_1-1}(\boldsymbol{\alpha}_{L_1}^{n_2-1}) - b_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})},$$

$$\begin{aligned}
b_{n_1, n_2} &= \frac{a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})(A_0\lambda_{n_2} + \cosh_q(1)A_3^{(2)})}{\cosh_q(2n_2 + \alpha_0 - 1)\cosh_q(2n_2 + \alpha_0 + 1)}, \\
c_{n_1, n_2} &= \frac{a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})d_{n_1-1, n_2+1} - a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2+1})d_{n_1, n_2+1}}{b_{n_1-1}(\boldsymbol{\alpha}_{L_1}^{n_2+1}) - b_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})}, \\
d_{n_1, n_2} &= \frac{\tilde{a}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1})(\cosh_q(1)A_1 - A_2\lambda_{n_1})}{\cosh_q(2n_1 + \alpha_0 - 1)\cosh_q(2n_1 + \alpha_0 + 1)}, \\
e_{n_1, n_2} &= \frac{b_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1})(\cosh_q(1)A_1 - A_2\lambda_{n_1}) + A_0(A_1\lambda_{n_1} + \cosh_q(1)A_2)}{\cosh_q(2n_1 + \alpha_0 - 1)\cosh_q(2n_1 + \alpha_0 + 1)}.
\end{aligned}$$

**Proof.** Computing  $b_{n_1, n_2}$ ,  $d_{n_1, n_2}$ ,  $e_{n_1, n_2}$  is similar to calculating  $b_n$  in the original AW(3). The ‘corner’ terms  $a_{n_1, n_2}$ ,  $c_{n_1, n_2}$  can be deduced from the commutativity of  $L_2$  and  $L_1 \otimes 1$  and the expressions for  $b_{n_1, n_2}$ ,  $d_{n_1, n_2}$ ,  $e_{n_1, n_2}$ . Also note that we do not need to worry about consistency of the coefficients because of Proposition 3.9.

Let us start with  $d_{n_1, n_2}$  and  $e_{n_1, n_2}$ . We can apply both sides of the first AW-relation of (3.1) to  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$ ,

$$\begin{aligned}
&(\cosh_q(2)K_2L_2K_2 - K_2^2L_2 - L_2K_2^2)\psi_{n_1}^{n_2} \otimes \psi_{n_2} \\
&= [(\sinh_q(1))^2(A_0A_1 - A_2M_2)K_2 - (\sinh_q(2))^2L_2 \\
&\quad + \cosh_q(1)(\sinh_q(1))^2(A_1M_2 + A_0A_2)]\psi_{n_1}^{n_2} \otimes \psi_{n_2}.
\end{aligned}$$

If we use Proposition 5.4 and

$$K_2\psi_{n_1}^{n_2} \otimes \psi_{n_2} = \lambda_{n_1}\psi_{n_1}^{n_2} \otimes \psi_{n_2},$$

we get 9 equations. Each one coming from the term in front of one of the eigenvectors  $\psi_{n_1+i}^{n_2+j} \otimes \psi_{n_2+j}$  with  $i, j \in \{-1, 0, 1\}$ . Similar to the original AW(3), one can show that the 6 equations coming from  $\psi_{n_1 \pm 1}^{n_2+j} \otimes \psi_{n_2+j}$  are satisfied automatically using (2.11). Looking at the terms in front of  $\psi_{n_1}^{n_2+j} \otimes \psi_{n_2+j}$  for  $j \in \{-1, 0, 1\}$  gives

$$c_{n_1}d_{n_1, n_2} = (\sinh_q(1))^2(\cosh_q(1)A_1\tilde{a}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1} - A_2\tilde{a}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1})\lambda_{n_1})), \quad (5.8)$$

$$c_{n_1}e_{n_1, n_2} = (\sinh_q(1))^2((A_0A_1 - A_2\tilde{b}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1}))\lambda_{n_1} + \cosh_q(1)(A_1\tilde{b}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1}) + A_0A_2)), \quad (5.9)$$

$$c_{n_1}d_{n_1, n_2+1} = (\sinh_q(1))^2(\cosh_q(1)A_1\tilde{a}_{n_2+1}(\boldsymbol{\alpha}_{M_2}^{n_1} - A_2\tilde{a}_{n_2+1}(\boldsymbol{\alpha}_{M_2}^{n_1})\lambda_{n_1})), \quad (5.10)$$

where

$$\begin{aligned}
c_{n_1} &= \lambda_{n_1}^2(\cosh_q(2) - 2) + \cosh_q(2)^2 \\
&= (\sinh_q(1))^2\cosh_q(2n_1 + \alpha_0 - 1)\cosh_q(2n_1 + \alpha_0 + 1),
\end{aligned}$$

using (2.11) and (2.45). It is easy to see that (5.10) is just (5.8) with  $n_2 + 1$  instead of  $n_2$ . The coefficients  $d_{n_1, n_2}$  and  $e_{n_1, n_2}$  can be computed from (5.8) and (5.9),

$$\begin{aligned}
d_{n_1, n_2} &= \frac{\tilde{a}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1})(\cosh_q(1)A_1 - A_2\lambda_{n_1})}{\cosh_q(2n_1 + \alpha_0 - 1)\cosh_q(2n_1 + \alpha_0 + 1)}, \\
e_{n_1, n_2} &= \frac{\tilde{b}_{n_2}(\boldsymbol{\alpha}_{M_2}^{n_1})(\cosh_q(1)A_1 - A_2\lambda_{n_1}) + A_0(A_1\lambda_{n_1} + \cosh_q(1)A_2)}{\cosh_q(2n_1 + \alpha_0 - 1)\cosh_q(2n_1 + \alpha_0 + 1)}.
\end{aligned} \quad (5.11)$$

Similarly, we can apply both sides of the first AW-relation of (3.2) to  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$  to compute  $b_{n_1, n_2}$  and  $e_{n_1, n_2}$ . Instead of Proposition 5.4, we will use Proposition 4.2. Equating the terms in front

of each of the eigenvectors  $\psi_{n_1+i}^{n_2+j} \otimes \psi_{n_2+j}$  with  $i, j \in \{-1, 0, 1\}$  again gives 9 equations, where now the 6 equations coming from  $\psi_{n_1+i}^{n_2\pm 1} \otimes \psi_{n_2\pm 1}$ ,  $i \in \{-1, 0, 1\}$ , are satisfied automatically. The other 3 lead in a similar way as before to

$$\begin{aligned} b_{n_1, n_2} &= \frac{a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})(A_0\lambda_{n_2} + \cosh_q(1)A_3^{(2)})}{\cosh_q(2n_2 + \alpha_0 - 1)\cosh_q(2n_2 + \alpha_0 + 1)}, \\ e_{n_1, n_2} &= \frac{b_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})(A_0\lambda_{n_2} + \cosh_q(1)A_3^{(2)}) - A_2(A_3^{(2)}\lambda_{n_2} - \cosh_q(1)A_0)}{\cosh_q(2n_2 + \alpha_0 - 1)\cosh_q(2n_2 + \alpha_0 + 1)}. \end{aligned} \quad (5.12)$$

By Proposition 3.9, we know that both expressions (5.11) and (5.12) are consistent. One can also check this by doing a simple, quite tedious computation.

Let us now compute the corner terms  $a_{n_1, n_2}$  and  $c_{n_1, n_2}$ . Since  $L_2$  and  $L_1 \otimes 1$  commute, we have

$$L_2(L_1 \otimes 1)\psi_{n_1}^{n_2} \otimes \psi_{n_2} = (L_1 \otimes 1)L_2\psi_{n_1}^{n_2} \otimes \psi_{n_2}.$$

Working this out gives 15 equations for each of the eigenvectors  $\psi_{n_1+i}^{n_2+j} \otimes \psi_{n_2+j}$ , where  $i \in \{-2, -1, 0, 1, 2\}$  and  $j \in \{-1, 0, 1\}$ . Let us first focus on the eigenvector  $\psi_{n_1-1}^{n_2-1} \otimes \psi_{n_2-1}$  for which there are two possibilities to get terms in front. Comparing these terms on both sides gives

$$a_{n_1, n_2}b_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2}) + d_{n_1-1, n_2}a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2}) = b_{n_1-1}(\boldsymbol{\alpha}_{L_1}^{n_2})a_{n_1, n_2} + a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2-1})d_{n_1, n_2}.$$

This is equivalent to

$$a_{n_1, n_2} = \frac{a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})d_{n_1-1, n_2} - a_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2-1})d_{n_1, n_2}}{b_{n_1-1}(\boldsymbol{\alpha}_{L_1}^{n_2-1}) - b_{n_1}(\boldsymbol{\alpha}_{L_1}^{n_2})}.$$

Doing the same for  $\psi_{n_1-1}^{n_2+1} \otimes \psi_{n_2+1}$  gives the expression for  $c_{n_1, n_2}$ . ■

Since  $L_2$  acts as a 9-term operator on the basis  $\psi_{n_1}^{n_2} \otimes \psi_{n_2}$  and as a multiplication operator on the basis  $\phi_{m_1} \otimes \phi_{m_2}^{m_1}$ , it follows immediately that the overlap coefficient  $P_{n_1, n_2}(m_1, m_2)$  defined by (4.2) satisfies a 9-term recurrence relation, or equivalently, it is an eigenfunction of a 9-term difference operator in the variables  $n_1$  and  $n_2$ . Similarly, from the action of  $L_1 \otimes 1$  it follows that  $P_{n_1, n_2}(m_1, m_2)$  is also an eigenfunction of a 3-term difference operator. In this way we recover Iliev's difference operators for the bivariate  $q$ -Racah polynomials, see [20, Proposition 4.5 and Remark 2.3] in case  $d = 2$ . So  $\text{AW}_2$  encodes the bispectral properties of Gasper and Rahman's (or Tratnik-type) bivariate  $q$ -Racah polynomials.

**Remark 5.6.** A recent paper [5] studies the rank 2 Racah algebra, which can be considered as a  $q = 1$  version of a rank 2 Askey–Wilson algebra. The Tratnik-type bivariate Racah polynomials appear as overlap coefficients, but also other overlap coefficients resembling  $9j$ -symbols are studied. These are shown to be ‘Griffith-like’ bivariate Racah polynomials, which are different from the bivariate Tratnik-type Racah polynomials, and the algebraic setting provides difference operators for these polynomials. This shows that that the rank 2 Racah algebra not only encodes the spectral properties of the Tratnik-type bivariate Racah polynomials, but also of other bivariate functions. It would be very interesting to see if similar ‘Griffith-like’ bivariate  $q$ -Racah polynomials can be obtained in the setting of the algebra  $\text{AW}_2$ . Presumably this requires the extra relations (3.7) that are present in  $\text{AW}_2$  inside  $\mathcal{U}_q \otimes \mathcal{U}_q$ , but not in the general setup of Definition 3.5.

## A Proof of Theorem 3.1

Let  $Y_K, Y_L, \Omega$  as in Section 2.4 and  $B, C_0, C_1, D_0, D_1$  as in Theorem 2.2. We will show that each pair of the elements  $1 \otimes Y_K, \Delta(Y_K), Y_L \otimes 1, \Delta(Y_L), \Delta(\Omega) \in \mathcal{U}_q \otimes \mathcal{U}_q$  either commutes or satisfies the AW-relations (2.2) and (2.3). The pairs of non-commuting elements satisfy these relations with the structure parameters in Table 3, where  $\theta = -\sinh_q(1)^{-2}(a_{\hat{E}}b_{\hat{F}})$ .

Generator 1	Generator 2	$A_0$	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$
$\Delta(Y_K)$	$\Delta(Y_L)$	$a_s$	$b_t$	$\theta$	$\Delta(\Omega)$	$b_{\hat{E}}b_{\hat{F}}$	$a_{\hat{E}}a_{\hat{F}}$
$1 \otimes Y_K$	$\Delta(Y_L)$	$a_s$	$Y_L \otimes 1$	$\theta$	$1 \otimes \Omega$	$b_{\hat{E}}b_{\hat{F}}$	$a_{\hat{E}}a_{\hat{F}}$
$\Delta(Y_K)$	$Y_L \otimes 1$	$1 \otimes Y_K$	$b_t$	$\theta$	$\Omega \otimes 1$	$b_{\hat{E}}b_{\hat{F}}$	$a_{\hat{E}}a_{\hat{F}}$
$1 \otimes Y_K$	$\Delta(\Omega)$	$a_s$	$\Omega \otimes 1$	$-\Delta(Y_K)$	$1 \otimes \Omega$	$-(\sinh_q(1))^2$	$a_{\hat{E}}a_{\hat{F}}$
$\Delta(\Omega)$	$Y_L \otimes 1$	$1 \otimes \Omega$	$b_t$	$-\Delta(Y_L)$	$\Omega \otimes 1$	$b_{\hat{E}}b_{\hat{F}}$	$-(\sinh_q(1))^2$

**Table 3.** Askey–Wilson algebra relations of twisted primitive elements.

The commuting part of the proof was already discussed in Section 2.4 as well as the first row of Table 3. We will show rows three and four. The others follow by symmetry. For elements  $a, b, c$  in an algebra  $A$ , define

$$f_A(a, b, c) := \cosh_q(2)abc - bca - cab,$$

coming from the AW(3) relations (2.2) and (2.3). That is,

$$\begin{aligned} f_{\mathcal{U}_q}(Y_L, Y_K, Y_L) &= BY_L + C_0Y_K + D_0, \\ f_{\mathcal{U}_q}(Y_K, Y_L, Y_K) &= BY_K + C_1Y_L + D_1. \end{aligned}$$

Let us start with showing the AW-relation between  $\Delta(Y_K)$  and  $Y_L \otimes 1$ . Explicitly calculating gives

$$\begin{aligned} f_{\mathcal{U}_q^{\otimes 2}}(\Delta(Y_K), Y_L \otimes 1, \Delta(Y_K)) &= f_{\mathcal{U}_q}(\hat{K}^2, Y_L, \hat{K}^2) \otimes Y_K^2 + f(\tilde{Y}_K, Y_L, \tilde{Y}_K) \otimes 1 \\ &\quad + [f_{\mathcal{U}_q}(\hat{K}^2, Y_L, \tilde{Y}_K) + f_{\mathcal{U}_q}(\tilde{Y}_K, Y_L, \hat{K}^2)] \otimes Y_K. \end{aligned}$$

Using (2.24) with  $a_{\hat{E}} = a_{\hat{F}} = 0$ , we obtain

$$\begin{aligned} f_{\mathcal{U}_q^{\otimes 2}}(\Delta(Y_K), Y_L \otimes 1, \Delta(Y_K)) &= (\sinh_q(1))^2 b_t \hat{K}^2 \otimes Y_K^2 + (\tilde{B}\tilde{Y}_K + C_1Y_L + \tilde{D}) \otimes 1 \\ &\quad + [f_{\mathcal{U}_q}(\hat{K}^2, Y_L, \tilde{Y}_K) + f_{\mathcal{U}_q}(\tilde{Y}_K, Y_L, \hat{K}^2)] \otimes Y_K, \end{aligned} \quad (\text{A.1})$$

where  $\tilde{B} = (a_{\hat{E}}b_{\hat{F}} + a_{\hat{F}}b_{\hat{E}})\Omega$  and  $\tilde{D}_1 = \cosh_q(1)a_{\hat{E}}a_{\hat{F}}b_t\Omega$ . We have

$$\begin{aligned} &f_{\mathcal{U}_q}(\hat{K}^2, Y_L, \tilde{Y}_K) + f_{\mathcal{U}_q}(\tilde{Y}_K, Y_L, \hat{K}^2) \\ &= f(\tilde{Y}_K + \hat{K}^2, Y_L, \tilde{Y}_K + \hat{K}^2) - f(\tilde{Y}_K, Y_L, \tilde{Y}_K) - f(\hat{K}^2, Y_L, \hat{K}^2) \\ &= (\sinh_q(1))^2 b_t \tilde{Y}_K + \tilde{B}\hat{K}^2 + \tilde{D}, \end{aligned}$$

where  $\tilde{D} = -\cosh_q(1)(a_{\hat{E}}b_{\hat{F}} + a_{\hat{F}}b_{\hat{E}})$ . Therefore, (A.1) becomes

$$\begin{aligned} &f_{\mathcal{U}_q^{\otimes 2}}(\Delta(Y_K), Y_L \otimes 1, \Delta(Y_K)) \\ &= (\tilde{B} \otimes 1)\Delta(Y_K) + C_1Y_L \otimes 1 + \tilde{D}_1 + (\sinh_q(1))^2 b_t \Delta(Y_K)(1 \otimes Y_K) + \tilde{D}(1 \otimes Y_K). \end{aligned}$$

For the other relation of  $Y_L \otimes 1$  and  $\Delta(Y_K)$ , we obtain

$$f_{\mathcal{U}_q^{\otimes 2}}(Y_L \otimes 1, \Delta(Y_K), Y_L \otimes 1) = f_{\mathcal{U}_q}(Y_L, \hat{K}^2, Y_L) \otimes Y_K + f_{\mathcal{U}_q}(Y_L, \tilde{Y}_K, Y_L) \otimes 1$$



$$\begin{aligned}
&= ((\sinh_q(1))^2 b_t Y_L + C_0 \hat{K}^2 + \tilde{D}_0) \otimes Y_K \\
&\quad + (\tilde{B} Y_L + C_0 \tilde{Y}_K + \tilde{D} b_t) \otimes 1 \\
&= (\tilde{B} \otimes 1)(Y_L \otimes 1) + C_0 \Delta(Y_K) + \tilde{D} b_t \\
&\quad + (\sinh_q(1))^2 b_t (Y_L \otimes 1)(1 \otimes Y_K) + (\tilde{D}_0 \otimes 1)(1 \otimes Y_K),
\end{aligned}$$

where  $\tilde{D}_0 = \cosh_q(1) b_{\hat{E}} b_{\hat{F}} \Omega$ .

Let us now show the AW-relation between  $\Delta(\Omega)$  and  $1 \otimes Y_K$ . Let us first calculate the coproduct of the Casimir of  $\mathcal{U}_q$ . Using that  $\hat{K}$ ,  $\hat{K}^{-1}$  are group-like<sup>7</sup> and  $\hat{E}$ ,  $\hat{F}$  are twisted primitive with respect to  $\hat{K}$ , we obtain

$$\begin{aligned}
\frac{\Delta(\Omega)}{(\sinh_q(1))^2} &= \frac{q^{-1} \hat{K}^2 \otimes \hat{K}^2 + q \hat{K}^{-2} \otimes \hat{K}^{-2}}{(\sinh_q(1))^2} + (\hat{K} \otimes \hat{E} + \hat{E} \otimes \hat{K}^{-1})(\hat{K} \otimes \hat{F} + \hat{F} \otimes \hat{K}^{-1}) \\
&= \frac{\hat{K}^2 \otimes \Omega + \Omega \otimes \hat{K}^{-2} - \cosh_q(1) \hat{K}^2 \otimes \hat{K}^{-2}}{(\sinh_q(1))^2} + (\hat{K} \hat{F} \otimes \hat{E} \hat{K}^{-1} + \hat{E} \hat{K} \otimes \hat{K}^{-1} \hat{F}).
\end{aligned}$$

Note that all the factors that appear are specific versions of  $Y_K$  and  $Y_L$ . For example,  $\hat{E} \hat{K}^{-1}$  is  $Y_L$  where  $b_{\hat{F}} = b_t = 0$  and  $b_{\hat{E}} = q^{1/2}$ . Therefore, we can use (2.24) to obtain

$$\begin{aligned}
f_{\mathcal{U}_q^{\otimes 2}}(1 \otimes Y_K, \Delta(\Omega), 1 \otimes Y_K) &= (\sinh_q(1))^2 \hat{K}^2 \otimes Y_K^2 \Omega + \Omega \otimes f_{\mathcal{U}_q}(Y_K, \hat{K}^{-2}, Y_K) \\
&\quad + (\sinh_q(1))^2 \hat{K} \hat{F} \otimes f_{\mathcal{U}_q}(Y_K, \hat{E} \hat{K}^{-1}, Y_K) \\
&\quad + (\sinh_q(1))^2 \hat{E} \hat{K} \otimes f_{\mathcal{U}_q}(Y_K, \hat{K}^{-1} \hat{F}, Y_K) \\
&\quad - \cosh_q(1) \hat{K}^2 \otimes f_{\mathcal{U}_q}(Y_K, \hat{K}^{-2}, Y_K).
\end{aligned} \tag{A.2}$$

Using (2.2) and (2.24), we get

$$\begin{aligned}
f_{\mathcal{U}_q}(Y_K, \hat{K}^{-2}, Y_K) &= (\sinh_q(1))^2 a_s Y_K + C_1 \hat{K}^{-2} + \cosh_q(1) a_{\hat{E}} a_{\hat{F}} \Omega, \\
f_{\mathcal{U}_q}(Y_K, \hat{E} \hat{K}^{-1}, Y_K) &= q^{1/2} a_{\hat{F}} \Omega Y_K + C_1 \hat{E} \hat{K}^{-1} - q^{1/2} \cosh_q(1) a_{\hat{F}} a_s, \\
f_{\mathcal{U}_q}(Y_K, \hat{K}^{-1} \hat{F}, Y_K) &= q^{1/2} a_{\hat{E}} \Omega Y_K + C_1 \hat{K}^{-1} \hat{F} - q^{1/2} \cosh_q(1) a_{\hat{E}} a_s.
\end{aligned}$$

Therefore, (A.2) becomes

$$\begin{aligned}
f_{\mathcal{U}_q^{\otimes 2}}(1 \otimes Y_K, \Delta(\Omega), 1 \otimes Y_K) &= (\sinh_q(1))^2 (1 \otimes \Omega) \Delta(Y_K) (1 \otimes Y_K) + C_1 \Delta(\Omega) \\
&\quad + \cosh_q(1) a_{\hat{E}} a_{\hat{F}} \Omega \otimes \Omega + (\sinh_q(1))^2 a_s (\Omega \otimes 1) (1 \otimes Y_K) \\
&\quad - \cosh_q(1) (\sinh_q(1))^2 a_s \Delta(Y_K).
\end{aligned}$$

Computing  $f_{\mathcal{U}_q^{\otimes 2}}(\Delta(\Omega), 1 \otimes Y_K, \Delta(\Omega))$  is the most tedious, since we end up with 75 terms. We will not present the full calculation, as it is just a straightforward computation. One can simplify the calculation by observing that some terms vanish because

$$\begin{aligned}
f_A(a, b, c) &= 0 \quad \text{if } ab = q^2 ba \text{ and } cb = q^2 bc, \\
f_A(a, b, c) + f_A(c, b, a) &= 0 \quad \text{if } ab = q^2 ba, ac = q^{-4} ca \text{ and } bc = cb.
\end{aligned}$$

## B Calculations for Remark 3.7

Let  $S \subset \mathcal{U}_q \otimes \mathcal{U}_q$  be the subalgebra generated by

$$Y_L \otimes 1, \quad \Delta(Y_L), \quad 1 \otimes Y_K, \quad \Delta(Y_K), \quad \Omega \otimes 1, \quad 1 \otimes \Omega, \quad \Delta(\Omega).$$

<sup>7</sup>An element  $Y \in \mathcal{U}_q$  is called group-like if  $\Delta(Y) = Y \otimes Y$ .

Then we have the following correspondence between  $S$  and AW(4):

$$\begin{aligned}\Lambda_{\{1\}} &= b_t, & \Lambda_{\{2\}} &= \Omega \otimes 1, & \Lambda_{\{3\}} &= 1 \otimes \Omega, & \Lambda_{\{4\}} &= a_s, \\ \Lambda_{\{1,2\}} &= Y_L \otimes 1, & \Lambda_{\{2,3\}} &= \Delta(\Omega), & \Lambda_{\{3,4\}} &= 1 \otimes Y_K, \\ \Lambda_{\{1,2,3\}} &= \Delta(Y_L), & \Lambda_{\{1,2,3,4\}} &= -\frac{a_{\hat{E}}b_{\hat{F}} + a_{\hat{F}}b_{\hat{E}}}{(\sinh_q(1))^2}, & \Lambda_{\{2,3,4\}} &= \Delta(Y_K).\end{aligned}\tag{B.1}$$

We can write the relation [8, equation (23)], when translating to the Askey–Wilson algebra setting, as

$$\Lambda_C = \alpha[\Lambda_A, \Lambda_B]_q + \beta(\Lambda_{A \cap B} \Lambda_{A \cup B} + \Lambda_{A \setminus B} \Lambda_{B \setminus A}),$$

with  $\alpha = -(\sinh_q(2))^{-1}$  and  $\beta = (\cosh_q(1))^{-1}$ . We use this relation to define  $\Lambda_{\{1,3\}}$  by

$$\Lambda_{\{1,3\}} = \alpha[\Lambda_{\{1,2\}}, \Lambda_{\{2,3\}}]_q + \beta(\Lambda_{\{2\}} \Lambda_{\{1,2,3\}} + \Lambda_{\{1\}} \Lambda_{\{3\}}).$$

Similarly, we define  $\Lambda_{\{1,4\}}$  and  $\Lambda_{\{1,3,4\}}$  by

$$\begin{aligned}\Lambda_{\{1,4\}} &= \alpha[\Lambda_{\{1,2,3\}}, \Lambda_{\{2,3,4\}}]_q + \beta(\Lambda_{\{2,3\}} \Lambda_{\{1,2,3,4\}} + \Lambda_{\{1\}} \Lambda_{\{4\}}), \\ \Lambda_{\{1,3,4\}} &= \alpha[\Lambda_{\{1,2\}}, \Lambda_{\{2,3,4\}}]_q + \beta(\Lambda_{\{2\}} \Lambda_{\{1,2,3,4\}} + \Lambda_{\{1\}} \Lambda_{\{3,4\}}).\end{aligned}$$

Let us now check that the relation

$$\Lambda_{\{1,4\}} = \alpha[\Lambda_{\{1,3\}}, \Lambda_{\{3,4\}}]_q + \beta(\Lambda_{\{3\}} \Lambda_{\{1,3,4\}} + \Lambda_{\{1\}} \Lambda_{\{4\}})\tag{B.2}$$

also holds in  $S$ . We will substitute (B.1) in (B.2) and focus only on factors of the form  $\hat{F}^2 \otimes X$ , with  $X \in \mathcal{U}_q$ . Substituting the definition of  $\Lambda_{\{1,4\}}$ , we obtain for the left-hand side,

$$\alpha[\Delta(Y_L), \Delta(Y_K)]_q - \beta \frac{(a_{\hat{E}}b_{\hat{F}} + a_{\hat{F}}b_{\hat{E}})\Delta(\Omega)}{(\sinh_q(1))^2} + \beta b_t a_s.$$

The only term that has a factor  $\hat{F}^2 \otimes X$  is  $\alpha[\Delta(Y_L), \Delta(Y_K)]_q$ , which is equal to

$$-a_{\hat{F}}b_{\hat{F}} \hat{F}^2 \otimes \hat{K}^{-2}.$$

For the right-hand side of (B.2), we substitute the definitions for  $\Lambda_{\{1,3\}}$  and  $\Lambda_{\{1,3,4\}}$  to obtain

$$\begin{aligned}\alpha[\alpha[Y_L \otimes 1, \Delta(\Omega)]_q + \beta(\Omega \otimes 1)\Delta(Y_L) + \beta b_t(1 \otimes \Omega), 1 \otimes Y_K]_q \\ + \beta(1 \otimes \Omega) \left( \alpha[Y_L \otimes 1, \Delta(Y_K)]_q - \beta \frac{(a_{\hat{E}}b_{\hat{F}} + a_{\hat{F}}b_{\hat{E}})(\Omega \otimes 1)}{(\sinh_q(1))^2} + \beta b_t 1 \otimes Y_K \right) + \beta b_t a_s.\end{aligned}$$

Here, the only terms that have a factor  $\hat{F}^2 \otimes X$  is  $\alpha^2[[Y_L \otimes 1, \Delta(\Omega)]_q, 1 \otimes Y_K]_q$  and  $\alpha\beta(1 \otimes \Omega)[Y_L \otimes 1, \Delta(Y_K)]_q$ . These are, respectively,

$$\alpha^2 a_{\hat{F}} b_{\hat{F}} \sinh_q(2) (\sinh_q(1))^2 \hat{F}^2 \otimes [E, F]_q \quad \text{and} \quad \alpha\beta a_{\hat{F}} b_{\hat{F}} \sinh_q(2) \hat{F}^2 \otimes \Omega.$$

It follows from writing out  $\Omega$  and using defining relations for  $\mathcal{U}_q$  that the factor with  $\hat{F}^2 \otimes X$  on the right-hand side of relation (B.2) is equal to the the factor on the left-hand side. In the same way the other terms in (B.2) can be checked, showing that this relation is indeed valid in  $S$ .

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