

## **Rethinking Wastewater Treatment Plant Effluent Standards Nutrient Reduction or Nutrient Control?**

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1 **Rethinking wastewater treatment plant effluent standards: nutrient reduction or**  
2 **nutrient control?**

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8 Many surface waters in the world suffer from eutrophication. Major investments in  
9 wastewater treatment plants (WWTPs) in developed countries have been made the last  
10 decades to meet the regulations enforcing reduction of nitrogen and phosphorus emissions. As  
11 a positive result, nutrient levels in receiving surface waters are decreasing. However, blue-  
12 green algae blooms appear to occur more often<sup>1</sup>. Nitrogen limitation, as well as increased  
13 temperatures, contribute to these blue-green algae blooms.<sup>1,2</sup> Blue-green algae blooms can  
14 produce metabolites, toxic to many organisms including humans, presenting risks regarding  
15 safe drinking water supply and possible health problems for e.g. swimmers.<sup>1,3</sup>

16 Controlling nutrient levels and ratios are possibly effective means to prevent blue-green algae  
17 blooms. Although wastewater treatment plants have always been assessed by their nutrient  
18 removal efficiencies, they could also act as points for controlled nutrient release to actively  
19 control the nutrient levels and nutrient ratio in receiving surface waters.

20 Wastewater treatment plant operators face strict effluent regulations. In Europe, they have to  
21 meet the European Urban Wastewater Treatment Directive and the Water Framework  
22 Directive (WFD), while in the United States effluent discharges to surface waters are  
23 regulated under the National Pollutant Discharge Elimination System (NPDES) and the Clean  
24 Water Act (CWA). These regulations have in common that they focus on effluent limits on a  
25 general minimal level and, where necessary, more stringent limits regarding nitrogen and

26 phosphorus removal. This approach, however, can have a downside, which is illustrated in the  
27 following situation.

28 European Union countries have to meet the WFD requirements, with the objective to obtain a  
29 good status (clear water, without (blue-green) algae (blooms)) of groundwater and surface  
30 waters. To get clear water, eutrophication should be reduced. Therefore, in the last few  
31 decades efforts have been made to reduce the nitrogen and phosphorus discharges into  
32 freshwater systems, with on average quite good results (see Figure 1). However, despite large  
33 reductions in nitrogen and phosphorus discharge to freshwater systems, blue-green algae  
34 blooms occur more frequently.<sup>1</sup> Unfortunately, this is the result of the accepted notion among  
35 policy makers that eutrophication can be reduced or limited by nitrogen or phosphorus  
36 limitation, despite evidence in literature, that eutrophication of freshwater systems cannot be  
37 controlled solely by nitrogen limitation.<sup>5,6</sup> Instead, eutrophication could solely be controlled  
38 by phosphorus limitation.<sup>4</sup> In addition, the ratio of nitrogen to phosphorus (N:P ratio) in  
39 surface waters should not be neglected.<sup>7</sup> A low N:P ratio favours the growth of blue-green  
40 algae with nitrogen fixing capacities compared to other algae.<sup>2</sup> Moreover, low nitrate  
41 concentrations can also lead to an increase in release of phosphorus from the sediment<sup>8</sup>, which  
42 in turn reinforces a low N:P ratio. If the relative abundance of blue-green algae in the algae  
43 community increases, the grazing pressure of zooplankton (such as Daphnia) on algae  
44 decreases because the blue-green algae negatively impact the zooplankton. These effects  
45 create situations in which blue-green algae dominate, despite overall relatively low nutrient  
46 concentrations.

47 To restore the water bodies to the required oligotrophic state, the phosphorus load  
48 (phosphorus emissions and release of phosphorus present in the surface water body (mainly  
49 present in the sediment bed)) should be reduced<sup>9</sup> and care should be taken that the N:P ratio in  
50 the freshwater system stays high enough to prevent a growth advantage for blue-green algae.

51 Surface water nutrient load originates from diffuse sources as well from point sources. E.g. in  
52 the Netherlands, WWTPs contribute to 34% of the annual phosphorous load and 14% of the  
53 annual nitrogen load, while agriculture contributes 62% for phosphorous and 41% for  
54 nitrogen. The diffuse sources are difficult to control actively, but the point source effluent of  
55 a WWTP can easily be controlled. This effluent could be used to increase the nitrogen  
56 concentration of surface waters by discharging higher nitrate concentrations in spring and  
57 summer. The nitrogen should be released during the spring and the summer when the water  
58 temperatures are rising, to counteract the warmer water conditions that are favorable for blue-  
59 green algae blooms. Higher nitrate concentrations lead to higher N:P ratios at which blue-  
60 green nitrogen fixing algae can be outcompeted by green-algae and plants. The take up of  
61 phosphorous by the green-algae and plants result in a situation where phosphorous is no  
62 longer available for the blue-green nitrogen fixing algae.<sup>2</sup> Research has demonstrated that  
63 additional discharge of nitrate does not lead to additional eutrophication of surface water.<sup>10</sup> As  
64 such, it is a safe option for controlling blue-green algae blooms during the next decades when  
65 the phosphorus load in the sediment bed and phosphorus release from agriculture will remain  
66 too high to control these blooms.

67 This approach requires flexibility in the effluent standards, allowing to discharge more nitrate  
68 when appropriate for improving surface water quality. This flexibility is lacking in current  
69 regulations, as these regulations perceive the WWTP as a means to protect the surface water  
70 rather than as an installation capable of creating effluent that could control and improve the  
71 surface water.

72 Controlling effluent quality is by no means the final and sole answer to blue-green algae  
73 blooms. Reduction of the phosphorus load, reduction of the fish population to relieve the  
74 grazing pressure on zooplankton<sup>11</sup> and, if possible, reducing hydraulic retention times may all  
75 be necessary. However, by focusing on current effluent limits aiming at surface water

76 protection rather than surface water control, a big opportunity for improvement is missed. A  
77 holistic approach towards effluent limits could be beneficial to both surface water quality as  
78 well as to other recent objectives for WWTP performance, such as resource recovery.

79 Flexible discharge limits for WWTPs related to the desired status of the surface waters could  
80 transform WWTPs from a nutrient removal facility to a nutrient control facility. This  
81 challenges further research on flexible control of WWTPs, decision support for balancing  
82 conflicting objectives for freshwater and saltwater<sup>12</sup>, and on the influence of nutrient  
83 concentration dynamics on algal bloom dynamics. The latter would also stimulate further  
84 development of water quality monitoring, as data to facilitate this research is scarce.

## 85 **Author Contributions**

86 The manuscript was written through contributions of all authors. All authors have given  
87 approval to the final version of the manuscript. ‡These authors contributed equally.

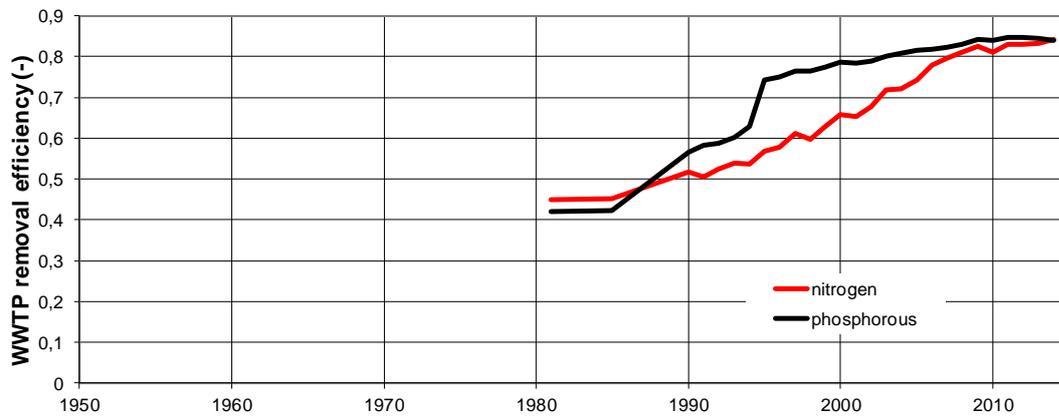
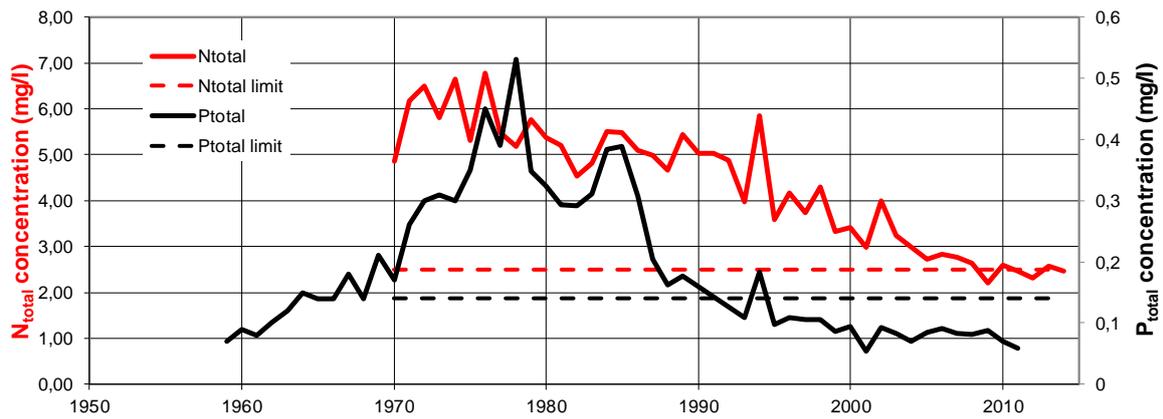
## 88 **Notes**

89 The authors declare no competing financial interest.

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**Figure 1. Nutrient concentrations in Dutch rivers (top) and nutrient removal efficiency at Dutch WWTPs (bottom). The minimal required average removal efficiency for nitrogen and phosphorous is 75%. (Source: statline.cbs.nl)**