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# The impact of processing conditions and post-deposition oxidation on the opto-electrical properties of hydrogenated amorphous and nano-crystalline Germanium films

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## ABSTRACT

Low-cost multijunction photovoltaic devices are the next step in the solar energy revolution. Adding a bottom junction with a low bandgap energy material through plasma enhanced chemical vapor deposition (PECVD) processing could potentially provide a low-cost boost in conversion efficiency. A logical candidate for this low bandgap material is germanium. In this work we investigate the growth of PECVD processed hydrogenated amorphous/nano-crystalline germanium (a/nc-Ge:H), by characterizing over 100 samples, processed with a wide range of deposition pressures, powers, temperatures and GeH<sub>4</sub> dilution in hydrogen, using elemental analysis, vibrational analysis and analysis of the opto-electrical properties. We have identified a small processing window in which nc-Ge:H films are processed reproducibly. We also report on the strong correlation between the refractive index of the films and the presence- and extent of post-deposition oxidation. Notably, the oxidation generally increased the photoresponse of the films, as it results in a decrease of room temperature  $\sigma_d$  by 1-3 orders of magnitude. However, oxidation results in an increase of the bandgap energy and therefore impedes the development of a low bandgap material. The lowest  $E_{04}$  we report is about 1.1eV, with an  $E_{Tauc}$  of 0.9eV and an  $\sigma_{ph}/\sigma_d$  of 3.4.

## 1. Introduction

In 2015, for the first time in the history of photovoltaics, the PV module cost was no longer the dominant factor in the cumulative costs of installing a PV system [1]. This makes it even more crucial to increase the efficiency of PV devices, while maintaining low production costs. To that end a lot of effort is being devoted to develop a high bandgap absorber, often to partner with a crystalline silicon (c-Si) solar cell, the industry's workhorse. Examples of these are perovskite/c-Si tandems [2], GaAsP/c-Si tandems [3] and CZTS/c-Si tandems [4]. What these approaches have in common is that photons with an energy below 1.1eV are not utilized. A path to yet higher conversion efficiencies would be the utilization of these low energy photons. For the AM1.5G solar spectrum, a total of about  $9.92 \times 10^{20} \text{ s}^{-1} \cdot \text{m}^{-2}$  photons are available in the 0.7eV-1.1eV spectral range, which equals a current density of 15.9 mA $\text{cm}^{-2}$ . For the 0.5-1.1eV spectral range the available current density is equal to 17.1 mA $\text{cm}^{-2}$ . A fraction of this current density would be large enough to not limit the output current of monolithically integrated 2-terminal multijunction devices such as an amorphous silicon/nano-crystalline silicon/ crystalline silicon hybrid device [5], thin film

silicon triple and quadruple junction devices [6-9] and the commercially available micromorph modules by [10] and [11]. All these devices are processed using plasma enhanced chemical vapor deposition (PECVD). Consequently, adding a bottom junction with a low bandgap energy material through PECVD processing, could potentially provide a low-cost boost in conversion efficiency.

A logical candidate for a PECVD processed low bandgap material is the group IV element germanium. Being larger and heavier than silicon, with its valence shell further removed from the nucleus, germanium forms weaker covalent bonds, resulting in a lower bandgap energy. In the past, attention has been devoted to processing hydrogenated amorphous germanium (a-Ge:H) through RF [12] and DC magnetron sputtering [13,14] thermal evaporation [15], and glow discharge chemical vapor deposition [16-25]. Limited work has been performed however on RF PECVD processing of thin film germanium [26,27]. In this work we investigate the growth of hydrogenated amorphous germanium and nanocrystalline germanium (nc-Ge:H), by performing a full characterization of the parameter space of processing conditions. To that end, well over 100 a/nc-Ge:H films are processed to characterize the influence of deposition temperature, power, pressure and GeH<sub>4</sub> dilution

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in hydrogen, using elemental analysis, vibrational analysis and analysis of the opto-electrical properties.

## 2. Experimental section

Here, all reported films have a thickness of about 80–120 nm. The films are simultaneously processed on 4 inch, 500 μm thick monocrystalline silicon wafers cut in quarters for Fourier Transform Infrared (FTIR) spectroscopy measurements, on 2 cm × 1 cm quartz substrates for Photothermal Deflection Spectroscopy (PDS) measurements and on 10 cm × 2.5 cm Corning Eagle XG glass for all other measurements. The films were processed in the Cascade RF-PECVD reactor, which has a circular electrode with a diameter of 160 mm and an electrode spacing of 20 mm. Cascade is a laminar flow reactor, where germane (GeH<sub>4</sub>) and molecular hydrogen are used as precursor gasses.

FTIR spectra were obtained using a Thermo Fisher Nicolet 5700 spectrometer. The FTIR spectra were fitted using the Fityk freeware [28]. The background was subtracted manually. Fig. 1. Shows an example of a fitted FTIR spectrum. From the fitted spectra two material characteristics were determined. To facilitate extraction of these characteristics the absorbance spectrum was obtained from the transmission data using:

$$A_{\text{abs}}(\lambda) = \alpha(\lambda)d = \frac{T(\lambda)}{I_0(\lambda)} \quad (1)$$

Where  $A_{\text{abs}}$  is the absorbance,  $\alpha$  is the material specific absorption coefficient,  $d$  is the thickness,  $T$  is the transmittance, and  $I_0$  is the incident intensity. This simplification ignores the exponential decay of light through an absorptive medium. We argue this to be a valid assumption since  $\alpha d < 0.01$ .

The hydrogen concentration ( $C_H$ ) was determined using the area of the Ge:H absorbance peak at 560 cm<sup>-1</sup>, using the following equation:

$$C_H = \frac{\rho_{\text{Ge}}}{\rho_{\text{H}}} \left( A \cdot \frac{A_{\text{abs}}(\omega)}{d} \ln(10) \cdot \omega^{-1} \right) \quad (2)$$

where the part between brackets represents the hydrogen content  $N_H$ .  $C_H$  is calculated from  $N_H$ , through division of the hydrogen content by the atomic density of Hydrogen  $\rho_{\text{H}}$  over that of germanium  $\rho_{\text{Ge}}$ . Here  $\omega$  is the wavenumber,  $d$  the thickness of the films,  $A_{\text{abs}}$  the area of the Gaussian measured around 560 cm<sup>-1</sup>.  $A$  is a proportionally constant which is amongst other things a function of the dipole mass, frequency

and effective charge [29]. In this work, a value of 1.3 · 10<sup>19</sup> cm<sup>-1</sup> is used for  $A$ , which was determined by [30] and is in the same order as the value of 1.1 · 10<sup>19</sup> cm<sup>-1</sup> used by [31].

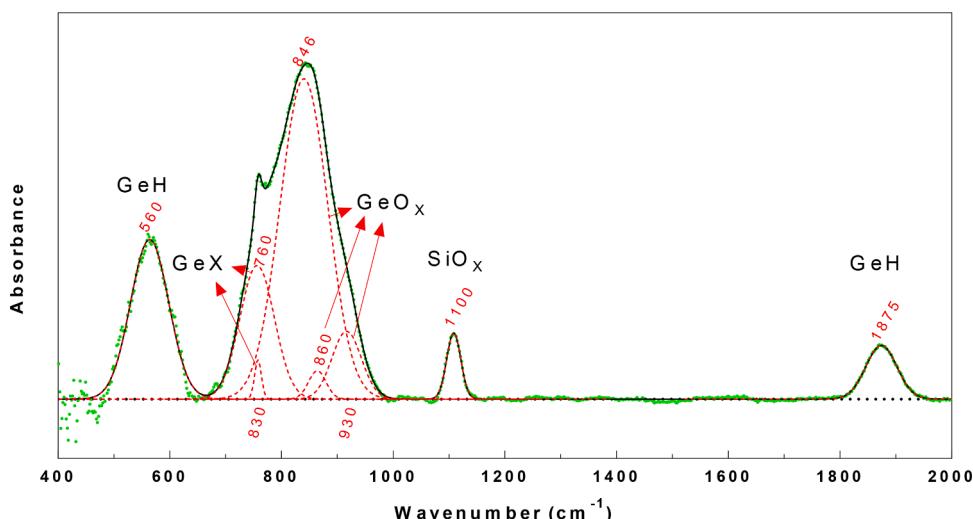
To quantitatively express the GeO<sub>x</sub> peak intensity, as measured by FTIR, the absorption coefficient of the GeO<sub>x</sub> peaks is used. This is done because multiple Gaussians are fitted in the 800–1050 cm<sup>-1</sup> range, with peak centres at roughly 846 cm<sup>-1</sup>, 860 cm<sup>-1</sup>, 930 cm<sup>-1</sup> and 1000 cm<sup>-1</sup>, likely corresponding to different GeO<sub>x</sub> bonding configurations. These different bonding configurations make determining a single proportionally constant rather arbitrary. Therefore, the absorption coefficient of the summed GeO<sub>x</sub> peaks ( $\alpha_{\text{GeO}_x}$ ) is used in this work as a thickness independent metric for sum of the relative GeO<sub>x</sub> peak intensities. This metric is calculated by:

$$\alpha_{\text{GeO}_x} = d^{-1} \cdot \ln(10) \cdot \sum \left( A_{\text{absGeO}_x}(\omega) \cdot \omega^{-1} \right) \quad (3)$$

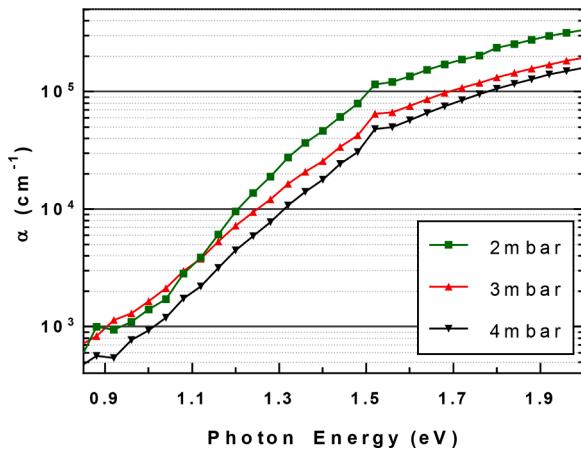
It should also be noted that the GeO<sub>x</sub> peaks in our samples are often, but not always, accompanied by absorbance peaks with a centre wavenumber of around 760 cm<sup>-1</sup> and 830 cm<sup>-1</sup>. These unidentified peaks are designated GeX in Fig. 1. The peak at 1100 cm<sup>-1</sup> is related to SiO<sub>x</sub> bonds present on the surface of the silicon substrates.

The thickness, real part of the refractive index and optical bandgap energies were determined through Spectroscopic Ellipsometry (SE). The SE measurements were fitted using a Cody-Lorentz model. The  $E_{04}$  was determined by calculating the photon energy at which the absorption coefficient equals 10<sup>4</sup> cm<sup>-1</sup>. The  $E_{04}$  can therefore be considered an effective optical bandgap. The Tauc bandgap energy ( $E_{\text{Tauc}}$ ) is reported at certain points in this work. The  $E_{\text{Tauc}}$  is closer to the electrical bandgap of the material and is obtained directly from the fitted model. The spectral absorption coefficient, as obtained from Photothermal Deflection Spectroscopy, of a few selected Ge:H films is shown in Fig. 2, so that the position of  $E_{04}$  with respect to the absorption curves can be determined.

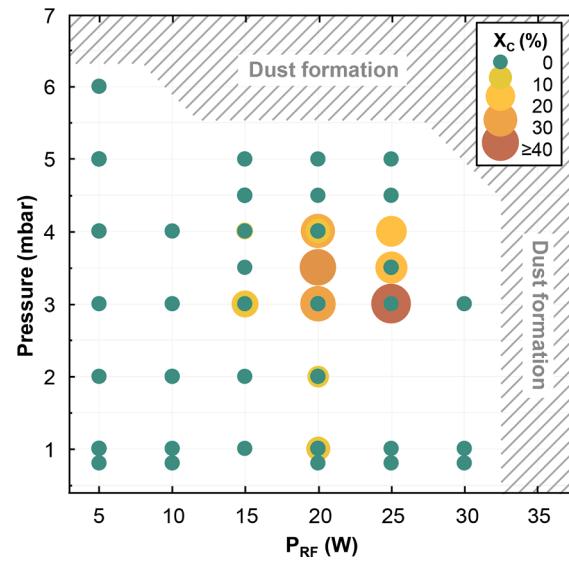
Raman measurements were performed using a Renishaw inVia Raman Microscope, using an Argon laser with an operational wavelength of 514.5 nm. The spectra are then fitted using Gaussian distributions at Raman shifts of 177 cm<sup>-1</sup>, 230 cm<sup>-1</sup> and 278 cm<sup>-1</sup> for the LA, LO and TO amorphous germanium stretching modes [32,33] and an additional Gaussian distribution for the crystalline germanium peak at 300 cm<sup>-1</sup> [34,35]. No Gaussian was added for the a-Ge TA mode, as its Raman shift of about 80 cm<sup>-1</sup> is located outside of the measured range. The crystallinity ( $X_C$ ) in this work is defined as:



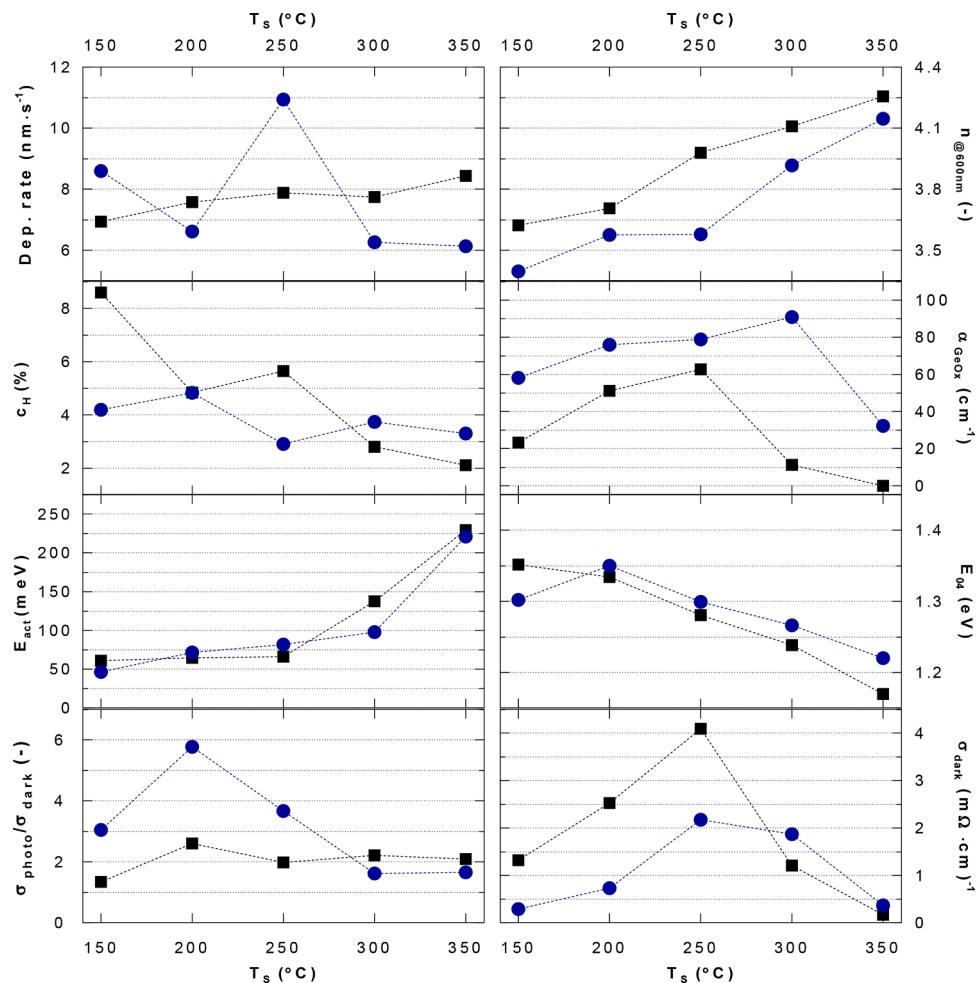
**Fig. 1.** Example of the fitted FTIR absorbance spectrum of a typical Ge:H film after oxidation. Green symbols indicate measured data after background correction. Black solid curve indicates fitted spectrum. Red curves indicate the individual fitted Gaussians. The centre wavenumbers of fitted Gaussians are indicated in red above/below the curves. The authors' identification of the fitted peaks are indicated in black above the fitted curves.



**Fig. 2.** Absorption coefficient as a function of photon energy for a few selected a-Ge:H films. Spectra are obtained from Photothermal Deflection Spectroscopy measurements. The films are processed at different pressures and at a F(H<sub>2</sub>)/F(GeH<sub>4</sub>) of 400, 20W and 200°C.



**Fig. 3.** Pressure plotted as a function of Power, for all processed Ge:H films. Crystallinity of films indicated by both the icon size and color. The shaded area indicates the boundaries of the processing window, where dust formation occurred.



**Fig. 4.** The effect of substrate temperature on material properties. The samples represented by the blue circles are processed at 3.5 mbar, 25W and a F(H<sub>2</sub>)/F(GeH<sub>4</sub>) of 400. The dep.rate at 250°C for these samples has been omitted due to an error in recording the deposition time. Samples represented by black squares processed at 4.5mbar, 15W and a F(H<sub>2</sub>)/F(GeH<sub>4</sub>) of 350.

$$X_C = \frac{I_{c-Ge}}{I_{c-Ge} + \gamma \cdot I_{a-Ge}} \quad (4)$$

Where  $I_{c-Ge}$  is the integrated area of the Gaussian corresponding to crystalline germanium and  $I_{a-Ge}$  is the area of the Gaussian of the a-Ge TO mode, the largest of a-Ge stretching modes.  $\gamma$  is a correction factor for the difference in cross section for phonon excitation of c-Ge with respect to a-Ge. In this work a value of 0.85 is used.

For the dark- and photo-conductivity measurements parallel electrodes consisting of 500nm-thick aluminum bars were evaporated onto the films. The dark conductivity at room temperature ( $\sigma_d$ ) was determined by measuring the current at a fixed voltage of 10V for at different temperatures, ranging from 130°C to 60°C in 5°C increments, in a dark environment. From this measurement the activation energy ( $E_{act}$ ) and pre-exponential factor  $\sigma_0$  of the films was also calculated, using the Arrhenius equation:

$$\sigma_d = \sigma_0 \exp\left(\frac{-E_{act}}{k_b T}\right) \quad (5)$$

The photoconductivity ( $\sigma_{ph}$ ) was calculated by measuring the current at a fixed voltage of 10V, using an AM1.5G solar simulator at an illumination of 100mW $\cdot$ cm $^{-2}$  and a controlled temperature of 25°C. Finally, the setup used to obtain the PDS measurements is described in detail elsewhere [36].

### 3. Results and Discussion

#### 3.1. The processing window

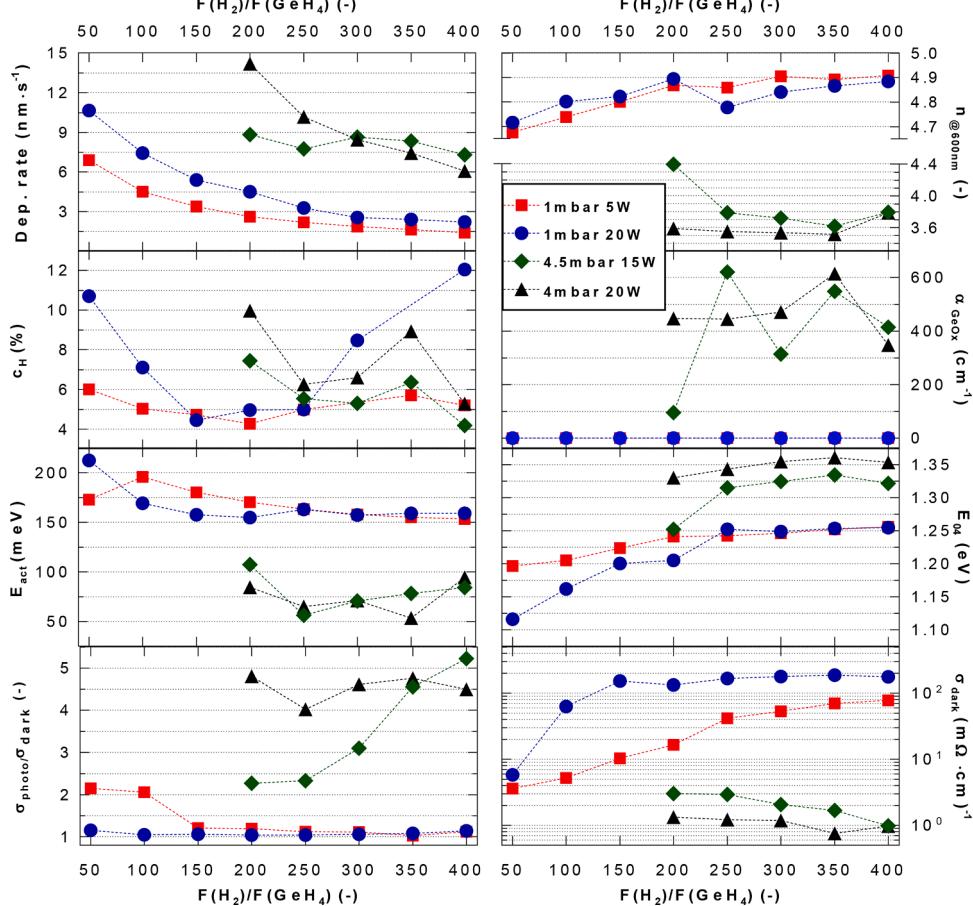
In this work we explore the full processing window for Ge:H thin films in our reactor, for a fixed electrode gap. The extent of this processing

window is indicated in Fig. 3, where the crystallinity of the grown films is plotted as a function of the deposition pressure and power. The plotted powers and pressures represent the extent of the processing window, at a 20mm electrode gap. Dust formation occurred for samples processed at pressure above 5-6mbar and powers above 25-30W, as indicated in Fig. 3. For conditions below 5W and 0.5-0.8mbar a stable plasma could no longer be ignited. In Fig. 3 all processed samples are plotted. The size and colors of the symbols indicate the development of a crystalline germanium phase. A crystalline phase was only observed for certain samples processed at 200°C and at the highest  $F(H_2)/F(GeH_4)$  of 400.

At power conditions of 20 W and 25 W and with increasing pressures, the material phase of the Ge:H films changes from amorphous to nanocrystalline at 2-3 mbar and from nanocrystalline back to amorphous at pressures above 4 mbar. This material phase dependence on pressure is qualitatively similar to what has been observed for Si:H alloys processed with a diluted silane plasma [38]. Although power densities in Ref. [38] are higher (due to higher dissociation energies for silane molecules in reference to the germane molecules) the dominant growth mechanisms are the same. At lower pressures the ion bombardment energy is too high and ion induced atom bulk displacement [41] results in an amorphous lattice. At a critical pressure the number of ion-neutral collisions in the plasma sheath are sufficient to reduce the ion energy below the threshold of ion-bulk displacement. At higher pressures the secondary reaction with atomic hydrogen H in the plasma bulk reduces the total H flux to the growth surface leading to amorphous growth again.

#### 3.2. The influence of deposition temperature

Having determined the processing window, we consider the effect of varying a single deposition parameter. We start with the effect of the



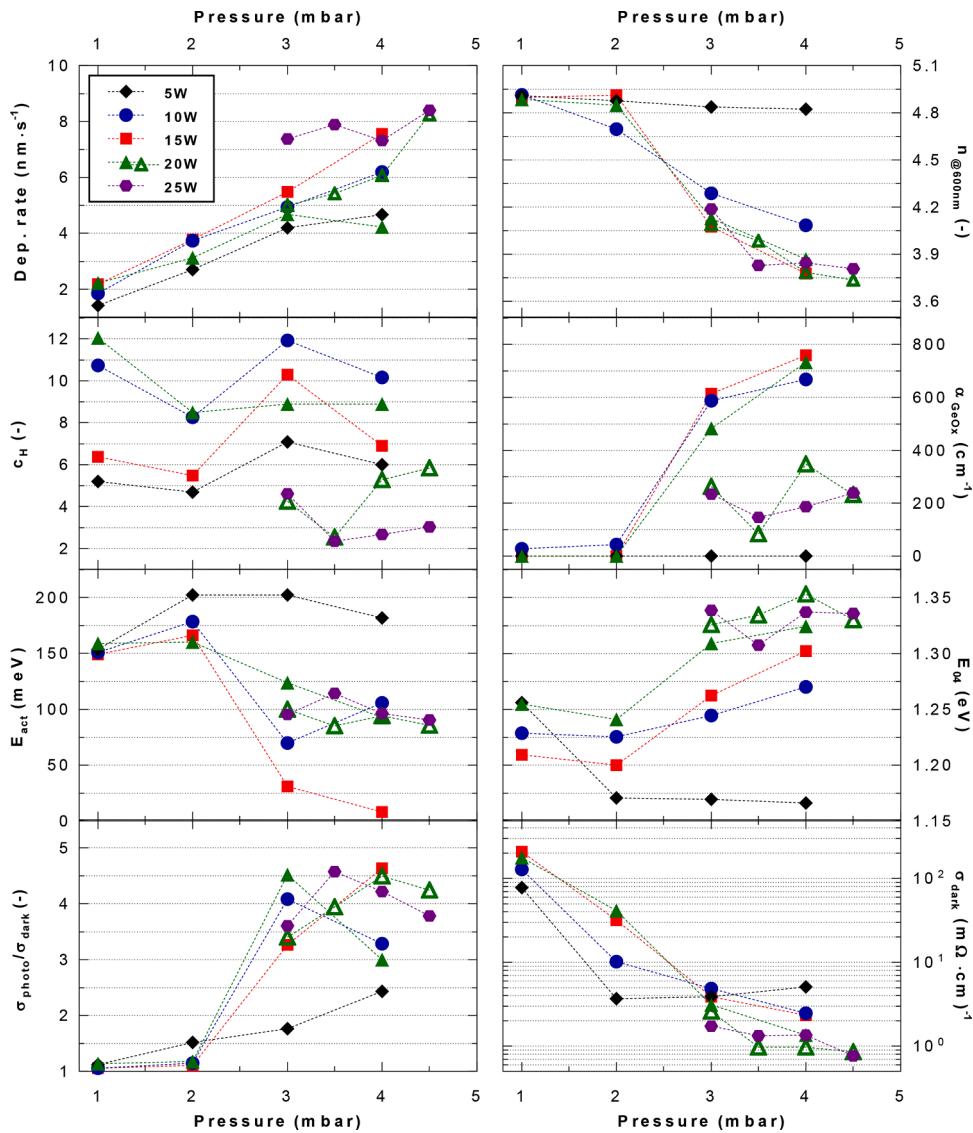
**Fig. 5.** Effect of hydrogen dilution on the measured material properties. The films are processed at 200°C. Deposition power and pressure are indicated in the legend.

substrate temperature ( $T_S$ ) on the measured film characteristics, as presented in Fig. 4. In the case of silicon, processing conditions close to the amorphous-to-nanocrystalline transition regime produces the best quality amorphous material. For that reason, two sets of conditions are chosen that result in amorphous Ge:H films, but are close to the nc-Ge:H regime. The first characteristic that grabs the attention is the relatively high deposition rate, of several nanometers per second. This is 1-2 orders of magnitude higher than that of amorphous silicon in a similar PECVD reactor in our lab. The deposition rate slightly increases when  $T_S$  is increased, for the samples processed at 4.5 mbar/15W. The samples processed at a lower pressure/power ratio do not show the same trend however. For both series, the refractive index ( $n_{@600\text{nm}}$ ) steadily increases with temperature, while the hydrogen concentration ( $C_H$ ) and the optical bandgap energy ( $E_{04}$ ) steadily decrease. In fact, the  $E_{04}$  and  $n_{@600\text{nm}}$  seem to linearly depend on the  $C_H$ , which is in line with earlier reports [18]. The  $E_{04}, n_{@600\text{nm}}$  and  $C_H$  trends indicate the growth of a less porous material with increasing  $T_S$ .

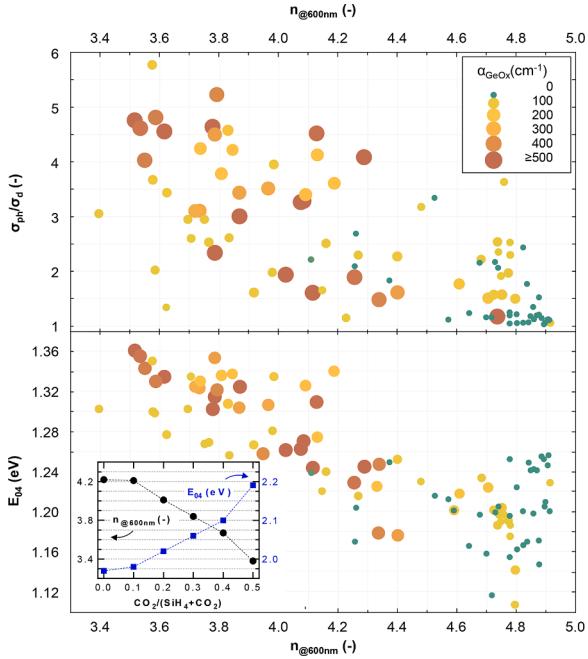
More information is provided by the presence of absorbance peaks in the FTIR spectra in the 850-1050 cm<sup>-1</sup> range. We suspect that the multiple peaks in this broad spectral range originate from GeO<sub>x</sub> stretching modes, as a similar absorbance signature has been widely reported in oxygenated silicon samples [27,37-40]. With respect to the SiO<sub>x</sub>

stretching modes, the GeO<sub>x</sub> peaks are shifted down by about 100-150 cm<sup>-1</sup>. This is in line with expectations since several Ge-H stretching modes are also shifted down with respect to their silicon counterparts. As to the origin of the GeO<sub>x</sub> peaks, a large fraction Ge:H films do not have an GeO<sub>x</sub> signature. It is therefore likely that the GeO<sub>x</sub> bonds are formed during a post-deposition oxidation process. It should also be noted that the GeO<sub>x</sub> peaks in our samples are often, but not always, accompanied by absorbance peaks with a centre frequency of around 760 cm<sup>-1</sup> and 830 cm<sup>-1</sup>. These peaks reportedly appear in environmentally unstable films hydrogenated germanium films [26]. The nature of these peaks is currently being investigated and will be published at a later time.

The absorption coefficient of the GeO<sub>x</sub> peaks ( $\alpha_{\text{GeO}_x}$ ) has a maximum between a deposition temperature of 250°C and 300°C, which allows for the division into a low and high temperature region. In the lower temperature region,  $\alpha_{\text{GeO}_x}$  steadily increases with deposition temperature. The underlying mechanism for this increase is not clear. In the high temperature region, the GeO<sub>x</sub> peak intensity decreases. This is likely related to a reduction in the void density of the material, which reportedly occurs from a certain threshold temperature [21] and is in line with the increasing refractive index. We believe that the underlying cause of the decreasing void density is not the lack of dissociation of



**Fig. 6.** Effect of deposition pressure and power on the material properties. The films are processed at 200°C and a F(H<sub>2</sub>)/F(GeH<sub>4</sub>) of 400.



**Fig. 7.**  $\sigma_{ph}/\sigma_d$  (Top) and  $E_{04}$  (Bottom) plotted as a function of  $n_{@600nm}$ .  $\alpha_{GeOx}$  is indicated by the size and color of the icons. The small green symbols represent films for which no  $GeO_x$  signature was detected. Inset shows  $n_{@600nm}$  (black circles) on the left y-axis and  $E_{04}$  (blue squares) on the right y-axis of intrinsic silicon oxide films as a function of the relative  $CO_2$  flow rate ( $CO_2/(SiH_4+CO_2)$ )

larger  $Ge_2H_6$  and  $Ge_3H_8$  plasma phase polymers as described elsewhere [19]. Rather, the temperature dependence of void integration is surface diffusion controlled, as has been observed in amorphous silicon [29,41]. With respect to silicon, germanium is a relatively large and heavy atom, forming weaker chemical bonds. Consequently, germane dissociates more readily than silane [16,23,42], leading to a relatively large flux of  $Ge$ -radicals to the growing surface. Moreover, the  $Ge$ -radicals have much higher reactivity, so lower mobility, on the growing surface [43]. The large growth flux in combination with low surface diffusion result in a porous material with a relatively large void density. For the  $Ge$ -radicals incident on the growing surface to reach and fill a site that would result in a void, a certain activation energy is required. It is likely that, from a certain threshold temperature, small voids are filled. This results in a dense film that is resistant to post-oxidation of the bulk. The surface mobility of  $Ge$ -radicals and densification of the  $Ge:H$  tissue is further increased with increasing temperature, resulting in the total absence of a  $GeO_x$  signature for the higher pressure/power sample at 350°C. The surface-diffusion-controlled void incorporation is a function

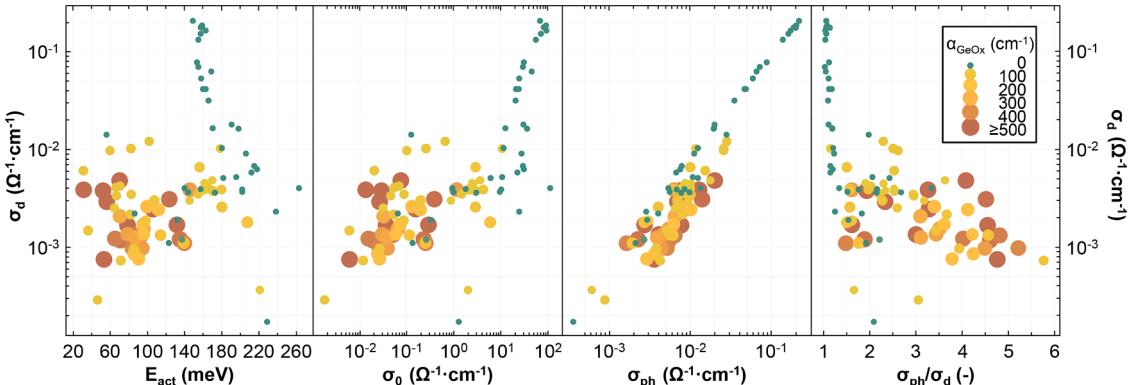
of the growth flux [41], which explains the different position of the threshold temperature for the 2 series in Fig. 4.

$\alpha_{GeOx}$  has a strong correlation with the electrical properties of the  $a$ - $Ge:H$  films. The films with a strong  $GeO_x$  signature have a relatively low activation energy ( $E_{act}$ ) of 50–75meV. It has been reported that oxygen contamination of  $Ge$  films creates defects with energy levels close to the conduction band [13,46]. We have confirmed with Hall measurements that the samples are indeed n-type. In the high temperature regime, where  $\alpha_{GeOx}$  is strongly reduced,  $E_{act}$  increases to about 250meV. This value is still significantly less than half the bandgap energy, with a Tauc bandgap energy ( $E_{Tauc}$ ) of around 1eV. In fact, none of the samples processed in this work, a large fraction of which had no FTIR peaks other than those related to  $Ge-H$  stretching, had an activation energy of over 280meV. This shows that the dominant defect type present in the amorphous germanium films have energy levels relatively close to the conduction band edge, which corroborates the conclusion of earlier work on glow-discharge  $a$ - $Ge:H$  films [22]. The dark conductivity ( $\sigma_d$ ) evolution as a function of  $T_S$  shows a strong correlation with the  $\alpha_{GeOx}$  trend.  $\sigma_d$  is highest for the samples with the strongest oxygen contamination. The dark conductivity for all these samples is relatively high, resulting in relatively poor photo/dark conductivity ratios ( $\sigma_{ph}/\sigma_d$ ) of no more than 5–6. This relatively poor photoresponse of  $a/nc$ - $Ge:H$  tissue, with respect to  $a/nc$ - $Si:H$ , is in line with earlier reports [44,45].

In order to process device quality films, an effort should be made to decrease the deposition rate of the  $Ge:H$  films. This could potentially be achieved by changing I. the power and pressure at which the samples are processed, or II. by changing the dilution of the  $GeH_4$  precursor in  $H_2$ . We start with an investigation of the latter.

### 3.3. The influence of $GeH_4$ dilution in $H_2$

Plotted in Fig. 5 are 2 curves processed at relatively low pressure and 2 curves processed at relatively high pressure. None of the samples processed in this series had a significant crystalline fraction. The deposition rate for all samples decreases with increasing  $F(H_2)/F(GeH_4)$ . This could be a result of increased atomic hydrogen etching with respect to the  $Ge$  growth flux. Alternatively, it could be a result of decreased availability of  $Ge$ -radicals in the plasma, or a combination of both. A slight deviation from the trends visible in some of the plots between a  $F(H_2)/F(GeH_4)$  of 200 and 250 is likely a result of a change in absolute flow rate around that point, as  $H_2/GeH_4$  is changed from 200sccm/1sccm to 125sccm/0.5sccm. For the low pressure samples, when  $F(H_2)/F(GeH_4)$  is increased from 50 to about 200–250 the  $C_H$  and  $E_{act}$  decrease, while  $E_{04}, n_{@600nm}$  and  $\sigma_d$  increase.  $\alpha_{GeOx}$  shows that none of the low-pressure samples are oxygen contaminated. As to the underlying mechanism, apparently the complex environment created by increased atomic hydrogen etching, reduced availability of  $Ge$ -radicals and less energetic ion bombardment creates conditions in which the void



**Fig. 8.** The  $E_{act}$  (left, semi-log plot),  $\sigma_0$  (centre left, log-log plot),  $\sigma_{ph}$  (centre right, log-log plot) and  $\sigma_{ph}/\sigma_d$  (right, semi-log plot) plotted as a function of  $\sigma_d$ .  $\alpha_{GeOx}$  is indicated by the size and color of the icons. The small green symbols represent films for which no  $GeO_x$  signature was detected

fraction decreases, making the material less porous. Moreover, in this environment  $C_H$  decreases, resulting in a decreased passivation fraction of Ge dangling bonds, thereby increasing the defect density in the material. As Ge defect states have energy levels closer to the conduction band [22], the material becomes slightly more n-type, resulting in a lower  $E_{act}$  and higher  $\sigma_d$ . The increased defect density also increases  $E_{04}$ , as defect related sub-bandgap absorption is increased. The high pressure samples largely show the same trends. However, the higher pressure results in more porous films, with lower  $n_{@600nm}$  and a significant  $\alpha_{GeO_x}$  signature. The presence of oxygen results in a lower  $E_{act}$ , higher  $E_{04}$ , and higher  $\sigma_{ph}/\sigma_d$ . Surprisingly, the presence of oxygen results in much lower  $\sigma_d$ , despite the much lower  $E_{act}$  of these samples. We'll discuss this in more detail later.

#### 3.4. The influence of deposition pressure and power

In Fig. 6 the same material characteristics are plotted, for a range of powers, as a function of pressure. For all reported powers, the deposition rate increases as a function of pressure. This results in more porous material growth, as indicated by a decrease of  $n_{@600nm}$ . It should be noted that the  $n_{@600nm}$  of the samples processed at 5W and 10W decrease linearly with increasing pressure, while the  $n_{@600nm}$  drops significantly between 2 and 3 mbar. This is because the samples processed at  $\leq 15W$  start to develop a crystalline phase, as shown in Fig. 3. The crystallinity of the Ge films influence the opto-electrical properties to a certain degree. The maximal  $\sigma_{ph}/\sigma_d$ , for instance, roughly coincide with the highest crystallinity fractions. However, the material properties are more strongly affected by the degree of oxidation. The  $\sigma_d, E_{04}$  and  $E_{act}$  all follow the  $\alpha_{GeO_x}$  trend. Notably,  $E_{04}$  initially decreases between 1-2 mbar, when  $\alpha_{GeO_x}$  is minimal, following the linear relation between  $n_{@600nm}, C_H$  and  $E_{04}$  as was observed in the temperature series.

#### 3.5. The effect of post-deposition oxidation

The results from the previous sections seem to indicate that there is a strong correlation between the  $\alpha_{GeO_x}$  and the opto-electrical properties. To gain some more insight into the nature of this effect the  $E_{04}$  and  $\sigma_{ph}/\sigma_d$  are plotted as a function of  $n_{@600nm}$  in Fig. 7. The  $\alpha_{GeO_x}$  is indicated through the color and size of the icons. From this visual it is clear that with increasing  $\alpha_{GeO_x}$  signature, both the  $E_{04}$  and  $\sigma_{ph}/\sigma_d$  are increased. In fact,  $E_{04}$  values of over 1.25eV and  $\sigma_{ph}/\sigma_d$  ratios of over 3.4 are only reached for samples with a significant  $\alpha_{GeO_x}$  signature.

This raises 3 questions: I. Why does oxidation occur for some samples but not others? II. Why does oxidation lead to the increase of the  $E_{04}$ ? III. And why does oxidation lead to an increase of the  $\sigma_{ph}/\sigma_d$ ?

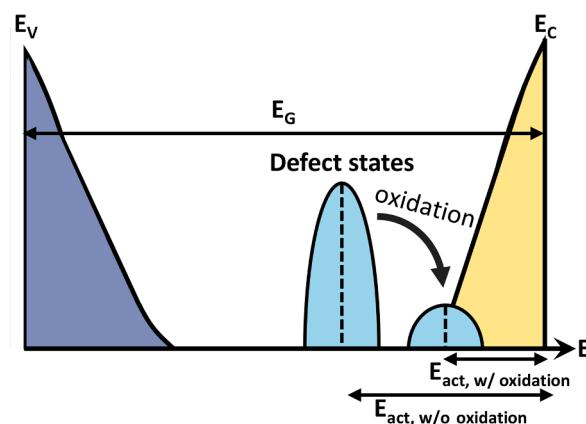
To gain some more insight into the first two questions, we consider the strong correlation between the  $E_{04}$  and  $n_{@600nm}$ . If the refractive index of the films decreases, the  $E_{04}$  is generally higher. Moreover, as the  $n_{@600nm}$  decreases, both the fraction of films with  $\alpha_{GeO_x} > 0$  and the absolute value of  $\alpha_{GeO_x}$  increases. In other words, the films without  $\alpha_{GeO_x}$  signature are the films with a high refractive index. This means that films with a high density do not undergo post-deposition oxidation. As to the underlying cause, there are two plausible explanations. First, a decrease of the refractive index indicates an increase of the porosity and consequently the void density in the material. It might be that the defects present at the void surfaces are energetically well aligned with the reaction potential for the reaction resulting in  $GeO_x$  formation. This would mean that with increasing void density, the number of potential reaction sites for the  $GeO_x$  formation would increase. Increased porosity would thereby consequently lead to an increased  $\alpha_{GeO_x}$ . However, in this case you might expect to see a more continuous increase of  $\alpha_{GeO_x}$  with decreasing  $n_{@600nm}$  than presented in this work. The oxidation as a function of  $n_{@600nm}$  is near discrete. The second explanation is therefore more likely. For this we consider that the species responsible for the oxidation cannot diffuse into the material bulk when the Ge:H is too

dense. The average Ge-Ge bond length in a relatively dense amorphous germanium film is about 2.46 [47], while the diameter of an  $H_2O$  molecule is about 2.75 [48]. Therefore, in a relatively dense a-Ge:H film, water vapor from the ambient would not be able to penetrate. If enough Ge atoms are missing from the amorphous lattice, so with a high enough void fraction, water vapor would be able to diffuse into the porous lattice. The in-diffusion of water molecules into the porous bulk would result in the observed oxidation of the a-Ge:H bulk. Besides this microscopic sensitivity to water induced oxidation, a similar effect can occur in the case of macroscopic cracks in the grown films, which is especially likely to occur in films with a relatively high crystalline fraction.

It is hard to make an assumption with respect to the causality of the relation between the level of oxidation and the  $n_{@600nm}$ . It is likely that more porous films will oxidize more strongly during the limited exposure time. On the other hand, increasing the level of oxidation of an amorphous material also generally results in a lower refractive index. An experiment with intrinsic amorphous silicon oxide, for instance, showed a strong decrease of the  $n_{@600nm}$  with increasing  $CO_2$  precursor gas flow rate during PECVD deposition, as shown in the inset in Fig. 7. This effect, a decrease of  $n_{@600nm}$  with increased oxidation, has been reported earlier, both for intrinsic a-SiO<sub>x</sub>:H [49] and a-GeO<sub>x</sub>:H [13]. The fact that  $n_{@600nm}$  does not scale linearly with  $\alpha_{GeO_x}$  suggests that it might be a combination of both porosity facilitated oxidation and oxidation induced porosification.

To answer the third question, related to the origin of the  $\sigma_{ph}/\sigma_d$  increase with  $\alpha_{GeO_x}$ , the  $E_{act}$ , pre-exponential factor ( $\sigma_0$ ),  $\sigma_{ph}$  and  $\sigma_{ph}/\sigma_d$  are plotted as a function  $\sigma_d$  in Fig. 8, on semi-log and log-log axes. The  $\sigma_d, \sigma_0$  and  $E_{act}$  are related according to Eq. 4. The  $E_{act}$  represents the difference between the Fermi level and the nearest band edge, which is the conduction band edge for a-Ge:H. Eq. 4 shows that there are two effects that influence  $\sigma_d$ . I. An increase of  $E_{act}$  decreases  $\sigma_d$ . II. An increase of  $\sigma_0$  increases  $\sigma_d$ . The first effect is visible for the samples without  $\alpha_{GeO_x}$  signature (green icons) with an  $E_{act}$  in the 150-250meV range (Fig. 8, left). For these samples, the  $\sigma_d$  clearly decreases with increasing  $E_{act}$ . The effect  $E_{act}$  has on the  $\sigma_d$  is minor however with respect to the increase of  $\sigma_0$ , that dominates the strong increase in  $\sigma_d$ . For the samples without  $\alpha_{GeO_x}$  signature and  $\sigma_d$  above  $10^2$ , the increase in  $\sigma_{ph}$  is directly proportional to the increase in  $\sigma_d$  (Fig. 8, centre right), resulting in a constant  $\sigma_{ph}/\sigma_d$  of 1.

The largest  $\sigma_{ph}/\sigma_d$  are achieved for the samples with  $\alpha_{GeO_x}$  signature. With respect to the samples without oxidation, they have an  $\sigma_d$  that is 1-3 orders of magnitude lower, despite much lower activation energies. The effect of the decreased  $\sigma_0$  on the  $\sigma_d$  is much stronger than the  $\sigma_d$



**Fig. 9.** Simplified density of states diagram showing the effect of oxidation on the Ge:H material. The blue area (left) and yellow area (right) represent the valence and conduction band tails, respectively. The light blue areas (centre) represent the defect states with and without oxidation.  $E_V$  is the valence band edge,  $E_C$  the conduction band edge,  $E_G$  the mobility gap of the Ge:H material.

increase resulting from the  $E_{act}$  decrease. Given that oxidation results in a decrease of  $\sigma_0$  by 1-5 orders of magnitude, and the highest  $\sigma_{ph}$  are achieved by the un-oxidized samples, the improved  $\sigma_{ph}/\sigma_d$  ratios are clearly a result of a decreased  $\sigma_d$  rather than an increased  $\sigma_{ph}$ .

The question is why oxidation strongly decreases  $\sigma_0$  in our Ge:H samples. Conductivity is a function of carrier density and mobility. The proportional increase of the  $\sigma_{ph}$  with the  $\sigma_d$ , in combination with the lack of additional photo-generated charge carriers upon external illumination, seems to indicate that high  $\sigma_d$  of the samples without GeO<sub>X</sub> signature is the result of a high carrier density rather than high carrier mobility. The high carrier density is likely the result of a very high defect density. Upon oxidation both  $\sigma_0$ , indicative of the defect density, and  $E_{act}$ , indicative of the relative energy level of the dominant defect type (s), are decreased. The decrease of both metrics seems to suggest that the oxygen passivates the defects in a/nc-Ge:H, decreasing the defect density and consequently the  $\sigma_0$  and  $\sigma_d$ . A simplified density of states diagram is presented in Fig. 9 as a visual aid for the effect of oxidation on the defect density and average energy level. The formed GeO<sub>X</sub> complexes have an energy level much closer to the conduction band, thereby shifting the Fermi level closer to the band edge and decreasing the  $E_{act}$ . In other words, a large density of defects with energy levels around 150–250meV from the conduction band edge is replaced by a much smaller defect density, closer the band edge.

#### 4. Conclusion

In this work we investigate the influence of deposition temperature, power, pressure and the dilution of GeH<sub>4</sub> in H<sub>2</sub> on the material properties of well over a 100 PECVD processed a/nc-Ge:H films. We identified the processing window for Ge:H films in our reactor, with an electrode gap of 20mm. This window ranges from about 1-5mbar and 5-30W. nc-Ge:H films, with a crystallinity up to 54%, were obtained in the 15-25W range at 3-4mbar, 200°C, under the highest hydrogen dilution of F(H<sub>2</sub>)/F(GeH<sub>4</sub>)=400.

We found that there is a strong correlation between the refractive index and the presence of a GeO<sub>X</sub> signature. We speculate that a high refractive index indicates a dense a-Ge:H network with a low void density, which prevents the in-diffusion of water from the ambient. This water vapour is responsible for the post-deposition oxidation of the Ge:H bulk. The oxidation has a very strong effect on the electrical properties of the films, as  $E_{act}$  is decreased, while  $\sigma_0$  is strongly reduced. The decrease of  $\sigma_0$  outweighs the increase of  $E_{act}$ , resulting in a decrease of  $\sigma_d$  by 1-3 orders of magnitude. We believe the oxygen has a passivating effect, decreasing the Ge-dangling bond related defect density in the bulk. The highest  $\sigma_{ph}/\sigma_d$  ratios of 5-6 are realized by Ge:H films with a GeO<sub>X</sub> signature.

We've observed that the oxidation results in an increase of  $E_{04}$ . It therefore impedes the development of a low bandgap material. The lowest  $E_{04}$  we report is about 1.1eV, with an  $E_{Tauc}$  of 0.9eV and an  $\sigma_{ph}/\sigma_d$  of 3.4

#### CRediT authorship contribution statement

**Thierry de Vrijer:** Conceptualization, Supervision, Writing - original draft, Writing - review & editing. **Ashwath Ravichandran:** Investigation, Formal analysis. **Bilal Bouazzata:** Investigation, Formal analysis. **Arno H.M. Smets:** Conceptualization, Writing - review & editing, Funding acquisition.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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